

Aspects of Resurgence

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Theory Seminar November 2, 2016

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Perturbation theory is an important tool in physics

- Unfortunately, it generally diverges
- Not well-defined even after resummation

Non-perturbative effects should be included explicitly

• In particular: quantum tunnelling

Resurgence sheds new light on these challenges in QM and QFT

• Perturbation theory encodes non-perturbative effects

Quantum electrodynamics

• Excellent perturbative prediction of e⁻ magnetic moment

$$\left[\frac{1}{2}(g-2)\right]_{th} = 0.001\,159\,652\,181\,78(77)$$
$$\left[\frac{1}{2}(g-2)\right]_{ex} = 0.001\,159\,652\,180\,73(28)$$

Quantum chromodynamics

- Perturbativity breaks down as α_s grows towards low energy scales
- Recent progress in toy models using resurgence idea
 - Connected to QM with periodic potential

- 1. Can perturbation theory make sense?
- 2. Non-perturbative instanton effects
- 3. The cosine potential, perturbatively
- 4. Resurgence to the rescue

Can perturbation theory make sense?

Perturbation theory: traditional recipe gone wrong

Find perturbative series through iterative procedures

$$E(g^2) = \sum_{n=0}^{\infty} a_n (g^2)^n$$

Due to factorial growth of number of Feynman diagrams: $a_n \sim n!$ \rightarrow Generally divergent, but asymptotic series

 $\bullet\,$ Converge at first, then diverge \longrightarrow truncate optimally



Wait, really divergent?!

Yep. Look at quantum mechanical ground states

$$E(g^2) = \sum_{n=0}^{\infty} a_n (g^2)^n$$

Some physical examples:

Context	$a_n\sim\ldots$
Zeeman effect	$(-1)^{n}(2n)!$
Stark effect	(2n)!
Cubic oscillator	$\Gamma(n+\frac{1}{2})$
Quartic oscillator	$(-1)^{n}\Gamma(n+\frac{1}{2})$
Double well	
Periodic cosine well	<i>n</i> !

Also, Dyson (1952) asserted QED perturbation theory must diverge.

Physical argument: the perturbative expansion

$$F(e^2) = a_0 + a_1 e^2 + a_2 e^4 + \dots$$

is a power series converging inside some open disk. However, for $e^2 < 0$:

"[...] every physical state is unstable against the spontaneous creation of large numbers of particles. Further, a system once in a pathological state will not remain steady; there will be a rapid creation of more and more particles, an explosive disintegration of the vacuum by spontaneous polarization." Perturbative expansions are generally divergent asymptotic series:

$$\sum_{n=0}^{\infty} f_n(z-z_0)^n = \sum_{n=0}^{N-1} f_n(z-z_0)^n + R_N(z)$$

- For any fixed N: when $z o z_0$, remainder $|R_N(z)| \ll |z-z_0|^N$
- \leftrightarrow Convergent series: at fixed z, remainder $|R_N(z)| \rightarrow 0$ when $N \rightarrow \infty$
 - Exponential accuracy possible when truncated optimally

Consider the series

$$\sum_{n=0}^{\infty} f_n z^n \text{ with } f_n \sim (-1)^n n!$$

• Optimal truncation just before least term: $N \approx 1/z$, because

$$\frac{\mathsf{d}}{\mathsf{dn}}\ln|f_n z^n| \sim \ln n z$$

• The error is exponentially small:

$$|R_N(z)| \approx |f_N z^N| \sim N! N^{-N} \sim \sqrt{N} e^{-N} \approx \frac{e^{-1/z}}{\sqrt{z}}$$

Superasymptotics: exponential accuracy

Consider the series



Superasymptotics: exponential accuracy

Consider the series



Consider a factorially divergent series:

$$\sum_{n=0}^{\infty} f_n z^n$$

Step 1: Borel transform of the series:

$$\mathcal{B}[f](t) = \sum_{n=0}^{\infty} \frac{f_n}{n!} t^n$$

Step 2: Resummation of the series:

$$\mathcal{S}[f](z) = rac{1}{z} \int_0^\infty \mathrm{d}t \; \mathrm{e}^{-t/z} \, \mathcal{B}[f](t)$$

Borel resummation: example

Example of an alternating asymptotic series

$$\sum_{n=0}^{\infty} f_n z^n \text{ with } f_n \sim (-1)^n n!$$

 \rightarrow Borel transform:

$$\mathcal{B}[f](t) = \sum_{n=0}^{\infty} (-1)^n t^n = \frac{1}{1+t}$$

 $\rightarrow~\mbox{Resummation}:$

$$S[f](z) = \frac{1}{z} \int_0^\infty dt \ e^{-t/z} \frac{1}{1+t} = \frac{1}{z} e^{1/z} E_1\left(\frac{1}{z}\right)$$

Borel resummation: example

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 \rightarrow Surprise:

$$\sum_{n=0}^{\infty} (-1)^n n! = 1 - 1 + 2 - 6 + 24 - 120 + \ldots = e E_1(1) \approx 0.596$$

Example of a non-alternating asymptotic series

$$\sum_{n=0}^{\infty} f_n z^n \text{ with } f_n \sim \frac{n!}{n!}$$

$$\rightarrow$$
 Borel transform:

$$\mathcal{B}[f](t) = \sum_{n=0}^{\infty} t^n = \frac{1}{1-t}$$

 \rightarrow Resummation:

$$S[f](z) = \frac{1}{z} \int_0^\infty dt \ e^{-t/z} \frac{1}{1-t} = ?!$$

Directional resummation: a tale of two possibilities

Borel transform and resummed perturbation series:

$$\mathcal{B}[f](t) = \sum_{n=0}^{\infty} \frac{f_n}{n!} t^n \quad \longrightarrow \quad \mathcal{S}[f](z) = \frac{1}{z} \int_0^{\infty} dt \ e^{-t/z} \, \mathcal{B}[f](t)$$

Beware of singularities on the integration path!

 \rightarrow Non-Borel summable series

Avoiding singularities yields an ambiguous imaginary contribution

$$\rightarrow$$
 Proportional to residue: Im $\left[\sum_{n=0}^{\infty} n! z^n\right] = \pm \pi \frac{1}{z} e^{-1/z}$



Imaginary energies, sure ...

For unstable states, energy $E = \operatorname{Re} E - i\frac{\Gamma}{2}$

- $\rightarrow\,$ Usual time evolution: ${\rm e}^{-{\it i} E t}={\rm e}^{-{\it i} t {\rm Re}\,\,E}{\rm e}^{-\Gamma t/2}$
- ightarrow Lifetime $au = 1/\Gamma$

Example: quartic anharmonic oscillator $V(x) = \frac{1}{2}m\omega^2 x^2 + gx^4$



Context	$a_n\sim\ldots$	Stability
Zeeman effect	$(-1)^{n}(2n)!$	stable
Stark effect	(2n)!	unstable
Quartic oscillator	$(-1)^{n}\Gamma(n+\frac{1}{2})$	stable
Cubic oscillator	$\Gamma(n+\overline{\frac{1}{2}})$	unstable
Double well	<i>n</i> !	stable?!
Periodic cosine well	<i>n</i> !	stable?!

Not all imaginary ambiguities can be explained!

 $\rightarrow\,$ Perturbation theory is ill-defined. What is missing?

Non-perturbative instanton effects

Quantum effects are important

Path integrals determine quantum amplitudes as a sum over all possible paths

• Each path contribution is weighted by $e^{iS/\hbar}$

Action
$$S[x(t)] = \int_{t_i}^{t_f} dt [T - V]$$

- The classical path dominates the oscillatory integral
- But tunnelling trajectories (instantons) give quantum corrections!

Path integrals determine the energy spectrum via partition functions



Action of trajectory x(t):

$$S[x(t)] = \int_{-t_0/2}^{+t_0/2} dt \left[\frac{1}{2} \left((x')^2 - V(x) \right) \right]$$

The Feynman path integral in Minkowski space:

$$\langle x_f | e^{-iHt_0/\hbar} | x_i \rangle = N \int \mathcal{D}[x(t)] e^{iS[x(t)]/\hbar}$$

- Oscillatory behaviour
- Semiclassical expansion in \hbar starts with classical trajectory $x_{cl}(t)$

After Wick rotation $(t = -i\tau)$ to Euclidean space:

$$S_{E}[x(\tau)] = \int_{-T/2}^{T/2} d\tau \left[\frac{1}{2} \left(\dot{x}^{2} + V(x) \right) \right]$$
$$X_{x_{f}}|e^{-HT}|x_{i}\rangle = N \int \mathcal{D}[x(\tau)] e^{-S_{E}[x(\tau)]/\hbar}$$

- Non-oscillatory, exponential weighting factor
- Inverted potential

Energy spectrum follows from partition function:

$$\sum_{n} e^{-E_{n}\tau/\hbar} = N \int_{x_{i}=x_{f}} \mathcal{D}[x(\tau)] e^{-S_{E}[x(\tau)]/\hbar}$$

Instantons in the double well

Classically, doubly-degenerate ground state However, instantons change that picture qualitatively!

 $\rightarrow~$ Non-perturbative splitting of ground-state energy level:

$$\Delta E \propto \hbar \, \mathrm{e}^{-S_I/\hbar}$$

• S_I is the action of an instanton trajectory



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Instantons in the cosine well

Classically, *infinitely*-degenerate ground state However, instantons change that picture qualitatively!

 $\rightarrow~$ Energy band structure for ground state:

$$\Delta E_{band} \propto \hbar \, {
m e}^{-S_I/\hbar}$$

• S_l is the action of an instanton trajectory



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The cosine well, perturbatively

Perturbation theory for the cosine potential

Schrödinger equation

$$\left[-g^4\partial_x^2 + \cos(x)\right]\psi(y) = g^2 E\psi(x)$$

$$\rightarrow$$
 Determine energy perturbatively:

$$E(g^2) = \sum_{n=0}^{\infty} a_n (g^2)^n$$

- For ground state, *a_n* computed to 1000 orders via *recurrence relations*
- Study of large-order behaviour:

$$a_n \sim \frac{n!}{4^n}$$



$$a_n \sim \frac{n!}{4^n} = \frac{n!}{(2S_l)^n}$$

- Action of 1 instanton in the cosine well: $S_I = 2$
- Non-alternating series \longrightarrow singularities of Borel transform $\sum_{n=1}^{\infty} \frac{a_n}{n!} t^n$
 - Singularities converge to branch cut, starting at $t = 2S_l$



Poles of approximated Borel transform

Beyond leading-order behaviour

There are corrections to the leading behaviour:

$$a_n \sim \frac{n!}{(2S_l)^n} \left(1 - \frac{5}{2n} - \frac{13}{8n^2} - \ldots\right)$$

Deduce imaginary energy contribution from large-order behaviour:

$$\operatorname{Im} \mathcal{S}[E](g^2) \sim \pm \frac{1}{g^2} e^{-2S_I/g^2} \left(a_0^{I\bar{I}} + a_1^{I\bar{I}}g^2 + a_2^{I\bar{I}}g^4 + \ldots \right)$$

- \rightarrow Perturbative fluctuations around a 2-instanton sector
 - The fluctuation series diverges too (4-instanton effect):

$$a_n^{l\bar{l}} \sim \frac{n!}{(2S_l)^n}$$

Resurgence to the rescue

Perturbation theory itself is incomplete

• Singularities of the Borel transform

Instanton gas picture incomplete too

- Missing correlated multi-instanton events
- $\rightarrow\,$ Solving both gives ambiguous, imaginary contributions
- $\rightarrow\,$ Sum over non-perturbative effects in a trans-series

$$E = E_{pert} + \sum_{k=1}^{\infty} e^{-S_k/g^2} \left[\sum_{n=0}^{\infty} a_n^{(k)} g^{2n} \right]$$

 \rightarrow Resurgence: exact cancellations yield unambiguous, real observables

• Perturbation theory and $[\mathcal{I}\overline{\mathcal{I}}]$, $[\mathcal{I}\overline{\mathcal{I}}\mathcal{I}\overline{\mathcal{I}}]$, ... sectors are connected

Resurgent trans-series via uniform WKB

Wave function for potentials with harmonic vacua:

$$\psi(y) = \frac{D_{\nu}(\frac{1}{g}u(y))}{\sqrt{u'(y)}}$$

Local analysis: $E(\nu, g^2) = \sum_{n=0}^{\infty} g^{2n} E_n(\nu)$

- Ansatz parameter ν not fixed, but close to integer N
- For $\nu = N$: $E(N, g^2) = E_{pert}(N, g^2)$

Global analysis: add Bloch condition $\psi(y + \pi) = e^{i\theta}\psi(y)$

• Resulting trans-series: perturbations, instantons, quasi-zero modes, Bloch

$$E^{(N)}(g^2) = \sum_{n=0}^{\infty} \sum_{k=0}^{\infty} \sum_{l=0}^{k-1} \sum_{\substack{m=-k\\ \Delta=2}}^{k} c_{n,k,l}^{(m)} g^{2n} \left[\frac{1}{g^{2N+1}} e^{-S_l/g^2} \right]^k \ln\left(-\frac{1}{g^2}\right)^l e^{im\theta}$$

• Classification with topological charge $m = n_{\mathcal{I}} - n_{\overline{\mathcal{I}}}$

Decode trans-series via resurgence triangle

- Number of \mathcal{I} , $\overline{\mathcal{I}}$ events $n = n_{\mathcal{I}} + n_{\overline{\mathcal{I}}}$
- Topological charge $m = n_{\mathcal{I}} n_{\overline{\mathcal{I}}}$
- $\xi^n \sim e^{-nS_l/g^2}$ and f(n,m) is perturbative fluctuation series
- Intricately related sectors with same topological charge can communicate to cancel imaginary ambiguities

Conclusions

Perturbation theory is an important tool in physics

- Unfortunately, it generally diverges
- Not well-defined even after resummation

Non-perturbative effects should be included explicitly

• In particular: quantum tunnelling

Resurgence sheds new light on these challenges in QM and QFT

• Perturbation theory encodes non-perturbative effects

Questions?



References

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Backup slides

Energy bands for the cosine well



Perturbative expansions are generally divergent asymptotic series

• $\sum_{n=0}^{\infty} f_n z^n$ is asymptotic to f(z) when for $z \to 0$ and any N,

$$\left|f(z)-\sum_{n=0}^{N-1}f_nz^n\right|\leq C_N|z|^N$$

- $\leftrightarrow \text{ Convergence: at fixed } z \text{, remainder vanishes for } N \rightarrow \infty$ Exponential accuracy possible when truncated optimally
 - Typically factorial divergence: $f_n \sim n!$
- \rightarrow Borel resummation idea: fix analytical transgression

$$\int_0^\infty dt \ e^{-t} \ \sum_{n=0}^\infty \frac{f_n}{n!} (tz)^n = \sum_{n=0}^\infty \frac{n! \ f_n}{n!} z^n = \sum_{n=0}^\infty f_n z^n$$

Borel resummation

Borel transform and resummed perturbation series:

$$\mathcal{B}[f](t) = \sum_{n=0}^{\infty} \frac{f_n}{n!} t^n \quad \longrightarrow \quad \mathcal{S}[f](z) = \int_0^{\infty} dt \ e^{-t} \mathcal{B}[f](tz)$$

Problem: non-Borel summable series, e.g. non-alternating $\sum_{n=0}^{\infty} n! z^n$

- Borel transform $\mathcal{B}[f](t) = \frac{1}{1-t}$ singular on integration contour
- $\rightarrow\,$ Lateral resummation yields ambiguous imaginary contribution:

$$\operatorname{Im} \mathcal{S}_{\pm}[f](z) = \pm \frac{\pi}{z} e^{-1/z}$$



Dispersion relation

$$f(z_0) = \frac{1}{2\pi i} \oint dz \frac{f(z)}{z - z_0} = \frac{1}{2\pi i} \int_0^\infty dz \frac{\text{Disc}_0 f(z)}{z - z_0}$$

$$\mathsf{Disc}_0 f(z) = \lim_{\varepsilon \to 0} [f(z + i\varepsilon) - f(z - i\varepsilon)]$$



$$a_n \sim \frac{n!}{4^n} = \frac{n!}{(2S_I)^n}$$

- Action of instanton trajectory in the cosine well: $S_I = 2$
- Non-alternating series \longrightarrow singularities of Borel transform
 - Increasing number of terms, singularities converge to branch cut

- Branch cut starts at
$$t = 2S_I$$



Poles of Borel transform approximated with 70 terms

$$a_n \sim \frac{n!}{4^n} = \frac{n!}{(2S_I)^n}$$

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Poles of Borel transform approximated with 150 terms

$$a_n \sim \frac{n!}{4^n} = \frac{n!}{(2S_I)^n}$$

- Action of instanton trajectory in the cosine well: $S_I = 2$
- Non-alternating series \longrightarrow singularities of Borel transform
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- Branch cut starts at
$$t = 2S_I$$



Poles of Borel transform approximated with 230 terms

$$a_n \sim \frac{n!}{4^n} = \frac{n!}{(2S_I)^n}$$

- Action of instanton trajectory in the cosine well: $S_I = 2$
- Non-alternating series \longrightarrow singularities of Borel transform
 - Increasing number of terms, singularities converge to branch cut

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$$t = 2S_I$$



Pole structure of Borel transform confirms $I\bar{I}$ connection

• Approximated by Padé approximants of the Borel transform:

$$\sum_{n=0}^{N_*} ilde{a}_n t^n \quad \longrightarrow \quad \mathcal{P}_{[N/M]}[ilde{\mathcal{E}}](t) = rac{p_0 + p_1 t + \ldots + p_N t^N}{1 + q_1 t + \ldots + q_M t^M}$$

• Increasing N, M reveals poles converge to branch cut starting at $t = 2S_I$



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• Increasing N, M reveals poles converge to branch cut starting at $t = 2S_l$



Log-plot of nearest-pole distance to t = 4

Pole structure of Borel transform confirms $I\overline{I}$ connection

• Approximated by Padé approximants of the Borel transform:

$$\sum_{n=0}^{N_*} \widetilde{a}_n t^n \quad \longrightarrow \quad \mathcal{P}_{[N/M]}[\widetilde{E}](t) = rac{p_0 + p_1 t + \ldots + p_N t^N}{1 + q_1 t + \ldots + q_M t^M}$$

• Exponential convergence of (diagonal) Padé approximations:

$$\left|\frac{a_{2N+1}^{\rm pred} - a_{2N+1}^{\rm true}}{a_{2N+1}^{\rm true}}\right| \sim 1.640 \ {\rm e}^{-1.376 \ N}$$



Beyond leading-order behaviour

There are corrections to the leading behaviour:

$$a_n \sim -\frac{1}{\pi} \left(1 - \frac{5}{2n} - \frac{13}{8n^2} - \ldots \right) \frac{n!}{(2S_l)^n}$$

Deduce imaginary energy contribution from large-order behaviour:

$$\operatorname{Im} \mathcal{S}[E](g^2) \sim \pm \frac{1}{g^2} e^{-2S_I/g^2} \left(a_0^{I\bar{I}} + a_1^{I\bar{I}}g^2 + a_2^{I\bar{I}}g^4 + \ldots \right)$$

 \rightarrow Perturbative fluctuations around 2-instanton sector



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- \rightarrow Perturbative fluctuations around 2-instanton sector
 - The fluctuation series diverges as well, due to 4-instanton effect





Towards resurgence in the Mathieu spectrum

Perturbation theory itself is incomplete

- Ambiguous imaginary contribution to real ground-state energy
- Non-Borel summability when degenerate harmonic minima

Instanton gas picture incomplete too (Bogomolny/Zinn-Justin)

• ${\mathcal I}$ and $\overline{{\mathcal I}}$ attract when not widely separated

Regularise both problems by analytic continuation $g^2
ightarrow -g^2$

- Both give ambiguous, imaginary non-perturbative contributions
- \rightarrow Resurgence: exact cancellation to all orders

