Emergence of anyons and ground-state properties of the anyon gas

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based on work in collaborations with Michele Correggi, Romain Duboscq, Simon Larson, Viktor Qvarfordt, Nicolas Rougerie, Robert Seiringer, Jan Philip Solovej

Oslo, January 2018

1 Introduce 2D anyons — ideal or extended

2 Emergence of anyons in physics

3 The ideal anyon gas



Particle exchange in 2D: $\Psi : (\mathbb{R}^2)^N \to \mathbb{C}$ $\Psi(\mathbf{x}_1, \dots, \mathbf{x}_j, \dots, \mathbf{x}_k, \dots, \mathbf{x}_N) \in \mathbb{C}$



Particle exchange in 2D: $\Psi : (\mathbb{R}^2)^N \to \mathbb{C}$ $|\Psi(\mathbf{x}_1, \dots, \mathbf{x}_j, \dots, \mathbf{x}_k, \dots, \mathbf{x}_N)|^2 = |\Psi(\mathbf{x}_1, \dots, \mathbf{x}_k, \dots, \mathbf{x}_j, \dots, \mathbf{x}_N)|^2$



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anyons: 'fractional'-statistics quasiparticles in confined systems — expected to arise e.g. in fractional quantum Hall systems

~1970 Souriau; Streater & Wilde ... Leinaas & Myrheim '77; Goldin, Menikoff & Sharp '81; Wilczek '82 ... Reviews by Fröhlich '90, Wilczek '90, Lerda '92, Myrheim '99, Khare '05, Ouvry '07, Stern '08, Hansson et al '17... Past rigorous QM studies by Baker, Canright & Mulay '93, Dell'Antonio, Figari & Teta '97

Modelling anyons concretely — anyon gauge



Modelling anyons concretely — anyon gauge



Think: free kinetic energy $\hat{T}_0=\frac{\hbar^2}{2m}\sum_{j=1}^N(-i\nabla_j)^2$ acting on multi-valued

$$\Psi_{\alpha} := U^{\alpha} \Psi_0, \qquad U := \prod_{j < k} e^{i\phi_{jk}} = \prod_{j < k} \frac{z_j - z_k}{|z_j - z_k|}.$$

Modelling anyons concretely — magnetic gauge

Bosons ($\Psi \in L^2_{sym}$) in \mathbb{R}^2 with Aharonov-Bohm magnetic interactions:

$$\hat{T}_{\boldsymbol{\alpha}} := \frac{\hbar^2}{2m} \sum_{j=1}^N D_j^2, \quad D_j = -i\nabla_j + \boldsymbol{\alpha} \mathbf{A}_j(\mathbf{x}_j), \quad \mathbf{A}_j(\mathbf{x}) = \sum_{k \neq j} \frac{(\mathbf{x} - \mathbf{x}_k)^{\perp}}{|\mathbf{x} - \mathbf{x}_k|^2}$$

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These are **ideal** anyons. One can also model *R*-extended anyons:

$$\begin{split} \mathbf{A}_{j}^{R}(\mathbf{x}) &:= \sum_{k \neq j} \frac{(\mathbf{x} - \mathbf{x}_{k})^{\perp}}{|\mathbf{x} - \mathbf{x}_{k}|_{R}^{2}}, \qquad |\mathbf{x}|_{R} := \max\{|\mathbf{x}|, R\}\\ \Rightarrow \quad \operatorname{curl} \alpha \mathbf{A}_{j}^{R} &= 2\pi\alpha \sum_{k \neq j} \frac{\mathbbm{1}_{B_{R}(\mathbf{x}_{k})}}{\pi R^{2}} \quad \xrightarrow{R \to 0} \quad 2\pi\alpha \sum_{k \neq j} \delta_{\mathbf{x}_{k}} \end{split}$$

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We would like to understand the N-anyon ground state Ψ_0 and energy

$$E_0(N) := \inf \operatorname{spec} \hat{H}_N, \quad \hat{H}_N = \hat{T}_\alpha + \hat{V} = \sum_{j=1}^N \left(\frac{\hbar^2}{2m} D_j^2 + V(\mathbf{x}_j) \right)$$

- Need several particles!
- Need 2D!



DL, Rougerie, Phys. Rev. Lett., 2016 — avoids usual Berry phase argument of Arovas, Schrieffer, Wilczek, 1984 cf. e.g. Forte, 1991



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Take two different species of quantum particles in a strong magnetic field B > 0: 'tracer' particles at $\mathbf{x}_{j=1...M} \in \mathbb{R}^2$ in a large sea of 'bath' particles at $\mathbf{y}_{k=1...N} \in \mathbb{R}^2$, $N \gg M$.

$$\mathcal{H}^{M+N} = L^2_{\text{sym}}(\mathbb{R}^{2M}) \otimes L^2_{\text{sym}}(\mathbb{R}^{2N})$$
$$H_{M+N} = H_M \otimes \mathbb{1} + \mathbb{1} \otimes H_N + \sum_{j=1}^M \sum_{k=1}^N W_{12}(\mathbf{x}_j - \mathbf{y}_k),$$

$$H_M = \sum_{j=1}^M \frac{1}{2m} \left(\mathbf{p}_{\mathbf{x}_j} + e\mathbf{A}(\mathbf{x}_j) \right)^2 + \sum_{1 \le i < j \le M} W_{11}(\mathbf{x}_i - \mathbf{x}_j),$$
$$H_N = \sum_{k=1}^N \frac{1}{2} \left(\mathbf{p}_{\mathbf{y}_k} + \mathbf{A}(\mathbf{y}_k) \right)^2 + \sum_{1 \le i < j \le N} W_{22}(\mathbf{y}_i - \mathbf{y}_j)$$

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$$H_M = \sum_{j=1}^M \frac{1}{2m} \left(-i\nabla_{\mathbf{x}_j} + \frac{eB}{2} \mathbf{x}_j^{\perp} \right)^2 + \sum_{1 \le i < j \le M} W_{11}(\mathbf{x}_i - \mathbf{x}_j),$$
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 $\label{eq:Ansatz:} \mathbf{Ansatz:} \quad \Psi(\mathbf{X},\mathbf{Y}) = \Phi(\mathbf{X}) c_{\mathrm{qh}}(\mathbf{X}) \overline{\Psi^{\mathrm{qh}}(\mathbf{X},\mathbf{Y})},$

with a Laughlin wave function coupled to quasi-holes at $\mathbf{x}_j \equiv z_j$:

$$\Psi^{\rm qh}(\mathbf{X},\mathbf{Y}) = \prod_{j=1}^{M} \prod_{k=1}^{N} (z_j - w_k)^q \prod_{1 \le i < j \le N} (w_i - w_j)^n e^{-B \sum_{j=1}^{N} |w_j|^2/4}.$$

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Claim: for $N \gg M$:

$$\langle \Psi, H_{M+N}\Psi \rangle \approx \left\langle \Phi, H_M^{\text{eff}}\Phi \right\rangle + BN/2,$$

where

$$H_M^{\text{eff}} = \sum_{j=1}^M \frac{1}{2m} \left(\mathbf{p}_{\mathbf{x}_j} + \frac{B}{2} (e - \frac{1}{n}) \mathbf{x}_j^{\perp} + \alpha \mathbf{A}_j^R(\mathbf{x}_j) \right)^2 + \sum_{1 \le i < j \le M} W_{11}(\mathbf{x}_i - \mathbf{x}_j)$$

is an effective Hamiltonian describing M anyons with $\alpha=1/n,$ $R=\sqrt{2/B}.$



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Understanding the zero-temperature ideal anyon gas



anyons?

2-body: Leinaas, Myrheim, 1977; Wilczek, 1982; Arovas, Schrieffer, Wilczek, Zee, 1985 3- and 4-body numerics: Spore, Verbaarschot, Zahed, 1991-92; Murthy, Law, Brack, Bhaduri, 1991 Approximations: average-field theory, lowest Landau level, dilute Hundreds of papers...

Emergence of anyons Lundholm Slide 11/37

Know: $\Psi_0 = \otimes^N \varphi_0$, φ_0 lowest state of $\hat{H}_1 = -\Delta_{\mathbb{R}^2} + V(\mathbf{x})$

Know: $\Psi_0 = \otimes^N \varphi_0$, φ_0 lowest state of $\hat{H}_1 = -\Delta_{\mathbb{R}^2} + V(\mathbf{x})$ The free Bose gas in a box $Q = [0, L]^2$:

 $\hat{H}_1 = (-\Delta_Q)^{\text{Dirichlet}}, \qquad \varphi_0(x, y) = \sin(\pi x/L)\sin(\pi y/L),$

$$E_0(N,L) = \frac{\langle \Psi_0, \hat{H}_N \Psi_0 \rangle}{\|\Psi_0\|^2} = N\lambda_0 = N\frac{2\pi^2}{L^2} = 2\pi^2 \bar{\varrho}$$

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 \Rightarrow Energy per area:

$$\frac{E_0(N,L)}{L^2} = \frac{2\pi^2 \bar{\varrho}}{L^2} \to 0,$$

as $N \to \infty$ and $L \to \infty$ with fixed density $\bar{\varrho} = N/L^2.$

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 $\Rightarrow Thomas-Fermi \ approximation: \ {\tiny (Thomas, \ Fermi, \ 1927-precursor \ to \ modern \ DFT)}$

$$\langle \Psi_0, (\hat{T}_{\alpha=1} + \hat{V})\Psi_0 \rangle \approx \int_{\mathbb{R}^2} \left(2\pi \varrho_{\Psi_0}(\mathbf{x})^2 + V(\mathbf{x})\varrho_{\Psi_0}(\mathbf{x}) \right) d\mathbf{x}$$

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The Lieb-Thirring inequality: (Lieb, Thirring, 1975)

$$\langle \Psi, (\hat{T}_{\alpha=1} + \hat{V})\Psi \rangle \ge \int_{\mathbb{R}^2} \Big(C_{\mathrm{LT}} \, \varrho_{\Psi}(\mathbf{x})^2 + V(\mathbf{x})\varrho_{\Psi}(\mathbf{x}) \Big) d\mathbf{x}$$

Compare with a relaxed Pauli principle

If ν particles allowed in each state: $\Psi_0 = \bigotimes^{\nu} \bigwedge^{N/\nu} \varphi_k$, The free Fermi gas in a box $Q \subset \mathbb{R}^2$: (Weyl asymptotics)

$$E_0(N,L) = \sum_{k=0}^{N-1} \lambda_k \sim 2\pi \nu \frac{(N/\nu)^2}{|Q|} = 2\pi \nu^{-1} \bar{\varrho}^2 |Q|$$

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Huge past literature: see e.g. Wilczek 1990 review

For anyons one may consider an average-field approximation

$$\langle \Psi_0, (\hat{T}_{\boldsymbol{\alpha}} + \hat{V})\Psi_0 \rangle \approx \int_{\mathbb{R}^2} \left(2\pi |\boldsymbol{\alpha}| \varrho_{\Psi_0}(\mathbf{x})^2 + V(\mathbf{x}) \varrho_{\Psi_0}(\mathbf{x}) \right) d\mathbf{x},$$

where $B = \operatorname{curl} \alpha \mathbf{A}_j \approx 2\pi\alpha \rho$ with LLL energy/particle $\sim |B|$.

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A particular **almost-bosonic** limit $\alpha = \beta/N \rightarrow 0$ leads to

$$\mathcal{E}^{\mathrm{af}}[\psi] := \int_{\mathbb{R}^2} \left(\left| \left(-i\nabla + \beta \mathbf{A}[|\psi|^2] \right) \psi(\mathbf{x}) \right|^2 + V(\mathbf{x}) |\psi(\mathbf{x})|^2 \right) d\mathbf{x},$$

where $\operatorname{curl} \mathbf{A}[|\psi|^2] = 2\pi |\psi|^2$ and β the only parameter.

DL, Rougerie, 2015; Correggi, DL, Rougerie, 2017; Chern-Simons coupled to non-rel. matter \sim 1980

"Less bosonic" anyons would then amount to $\beta=\alpha N\to\infty.$ Theorem: As $\beta\to\infty$

$$E_0^{\rm af}(\beta) = E_0^{\rm TF}(\beta) + {\rm lower \ order},$$

where $E_0^{\text{TF}}(\beta)$ is the minimum of the Thomas–Fermi functional

$$\mathcal{E}^{\mathrm{TF}}[\varrho] := \int_{\mathbb{R}^2} \left(e(1,1)\beta \varrho(\mathbf{x})^2 + V \varrho(\mathbf{x}) \right) d\mathbf{x}, \qquad \int_{\mathbb{R}^2} \varrho(\mathbf{x}) d\mathbf{x} = 1.$$

Furthermore, $e(1,1) \ge 2\pi$, with $e(\beta,\rho) = e(1,1)\beta\rho^2$ the energy per area of the homogeneous problem at density ρ .

Conjecture: $e(1,1) > 2\pi$

Correggi, DL, Rougerie, 2017

Average-field approximation for almost-bosonic anyons

Continued study of the average-field functional $\mathcal{E}^{af}[\psi]$ is work in progress with M. Correggi, R. Duboscq and N. Rougerie.



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Slide 17/37

Numerical simulations of $|\psi_0|^2$ at $\beta = 318$ by Romain Duboscq.

Emergence of anyons

Universal bounds: A local exclusion principle for anyons



Recall: 2-particle exchange phase $(2p + 1)\alpha$ times π . But anyons can also have pairwise relative angular momenta $\pm 2q$.

Universal bounds: A local exclusion principle for anyons



Recall: 2-particle exchange phase $(2p + 1)\alpha$ times π . But anyons can also have pairwise relative angular momenta $\pm 2q$. \Rightarrow effective statistical repulsion DL, Solovej, 2013

$$V_{\text{stat}}(r) = |(2p+1)\alpha - 2q|^2 \frac{1}{r^2} \ge \frac{\alpha_N^2}{r^2}, \qquad r = |\mathbf{x}_j - \mathbf{x}_k|$$

Universal bounds: A local exclusion principle for anyons



Universal bounds for the homogeneous anyon gas

DL, Solovej, 2011-'13, Larson, DL, 2016-'18, DL, Seiringer, 2017 Work in progress with Qvarfordt extends to non-abelian anyons.

Define the ground-state energy per particle and unit density

$$e(\alpha) := \liminf_{\substack{N,L \to \infty \\ N/L^2 = \bar{\varrho}}} \frac{E_0(N,L)}{N\bar{\varrho}} \qquad e(0) = 0,$$
$$e(1) = 2\pi$$

Theorem: There exist constants $0 < C_1 \leq C_2 < \infty$ such that for any $0 \leq \alpha \leq 1$,

$$C_1 \alpha \le e(\alpha) \le C_2 \alpha,$$

and as $\alpha \rightarrow 0$,

$$e(\alpha) \ge \frac{\pi}{4} \alpha \left(1 - O(\alpha^{1/3}) \right)$$
$$e(\alpha) \ge \pi \alpha_* \left(1 - O(\alpha^{1/3}_*) \right)$$

Conjecture: optimal C_1 and C_2 cannot both be 2π .

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Lieb-Thirring inequalities for anyons

Dyson, Lenard, 1967 DL, Solovej, 2011-'13; LT with general local exclusion developed by Nam, Portmann, Solovej, 2013-'15; Larson, DL, 2016-'18; DL, Seiringer, 2017

Theorem (LT inequality for ideal anyons)

There exists a constant $0 < C \le 2\pi$ such that for any $0 \le \alpha \le 1$ and any *N*-anyon wave function Ψ on \mathbb{R}^2 ,

$$\langle \Psi, \hat{T}_{\boldsymbol{\alpha}} \Psi \rangle \geq C \boldsymbol{\alpha} \int_{\mathbb{R}^2} \varrho_{\Psi}(\mathbf{x})^2 d\mathbf{x}.$$

Hence

$$\langle \Psi, \hat{H}_N \Psi \rangle \geq \int_{\mathbb{R}^2} \Big(C \boldsymbol{\alpha} \varrho_{\Psi}(\mathbf{x})^2 + V(\mathbf{x}) \varrho_{\Psi}(\mathbf{x}) \Big) d\mathbf{x}$$

i.e. a universal lower bound of the form of average-field theory.

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- Extended case
- Harmonic trap
- Clustering trial states

Statistical repulsion gives rise to the following "Hardy inequality":

$$\hat{T}_{\alpha} \ge \frac{4\alpha_N^2}{N} \sum_{1 \le j < k \le N} |\mathbf{x}_j - \mathbf{x}_k|^{-2}$$

Extended case

We use a magnetic Hardy inequality with symmetry

(cf. Laptev, Weidl, 1998; Hoffmann-Ostenhof², Laptev, Tidblom, 2008; Balinsky...) to consider the enclosed flux inside a two-particle exchange loop, subtracted with arbitrary pairwise angular momenta. Unwanted oscillation can be controlled by smearing (but analysis is tricky!)



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Extended case (clustering)



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Slide 26/37

Consider ground-state energy on a box $Q \subset \mathbb{R}^2$:

$$E_0(N,Q,\alpha,R) := \inf\left\{ \langle \Psi, \hat{T}^R_\alpha \Psi \rangle : \Psi \in L^2_c(Q^N), \, \|\Psi\| = 1 \right\}$$

In the thermodynamic limit, $N, |Q| \to \infty$ with $\bar{\varrho} = N/|Q|$ fixed, for dimensional reasons,

$$\frac{E_0(N,Q,\alpha,R)}{N} \to e(\alpha,\gamma)\bar{\varrho}, \qquad \gamma := R\sqrt{\bar{\varrho}}$$

Consider ground-state energy on a box $Q \subset \mathbb{R}^2$:

$$E_0(N,Q,\alpha,R) := \inf\left\{ \langle \Psi, \hat{T}^R_\alpha \Psi \rangle : \Psi \in L^2_c(Q^N), \, \|\Psi\| = 1 \right\}$$

In the thermodynamic limit, $N, |Q| \to \infty$ with $\bar{\varrho} = N/|Q|$ fixed, for dimensional reasons,

$$\frac{E_0(N,Q,\alpha,R)}{N} \to e(\alpha,\gamma)\bar{\varrho}, \qquad \gamma := R\sqrt{\bar{\varrho}}.$$

We define (with Dirichlet b.c.)

$$e(\alpha,\gamma) := \liminf_{\substack{N, |Q| \to \infty \\ N/|Q| = \bar{\varrho}}} \frac{E_0(N, Q, \alpha, R)}{\bar{\varrho}N}.$$

Universal bounds for the extended anyon gas



Theorem ([Larson-DL'16] Bounds for the extended anyon gas)

Up to some universal constant C > 0,

$$e(\alpha,\gamma) \gtrsim \begin{cases} \frac{2\pi}{|\ln\gamma|} + \pi (j'_{\alpha_*})^2 \ge 2\pi\alpha_*, & \gamma \to 0, \ \alpha \neq 0\\ 2\pi |\alpha|, & \gamma \gtrsim 1. \end{cases}$$

Emergence of anyons

Lundholm

Slide 28/37

Harmonic oscillator Hamiltonian:

$$\hat{H}_N = \hat{T}_{\boldsymbol{\alpha}} + \hat{V} = \sum_{j=1}^N \left(\frac{1}{2m} (-i\nabla_j + \boldsymbol{\alpha} \mathbf{A}_j)^2 + \frac{m\omega^2}{2} |\mathbf{x}_j|^2 \right).$$

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Rigorous bounds for the ground-state energy $E_0(N)$:

$$\hat{H}_N|_{ ext{ang.mom.}=L} \geq \omega \left(N + \left|L + lpha rac{N(N-1)}{2}\right|
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 $C_1 \, j'_{lpha_N} \ \le \ E_0(N)/(\omega N^{rac{3}{2}}) \ \le \ C_2 \quad orall lpha, N$ (DL, Solovej, 2013; Larson, DL, 2016)

Cp. with fermions in 2D: $E_0(N) \sim \frac{\sqrt{8}}{3} \omega N^{\frac{3}{2}}$ as $N \to \infty$ Average-field suggests: $E_0(N) \approx \frac{\sqrt{8}}{3} \sqrt{|\alpha|} \omega N^{\frac{3}{2}}$ as $N \to \infty$

Anyons in a harmonic trap — exact spectrum



Exact N = 2 spectrum: Leinaas, Myrheim, 1977

Anyons in a harmonic trap — exact spectrum



Numerical N = 3 spectrum: Murthy, Law, Brack, Bhaduri, 1991; Sporre, Verbaarschot, Zahed, 1991

Anyons in a harmonic trap — exact spectrum



Numerical N = 4 spectrum: Sporre, Verbaarschot, Zahed, 1992

Emergence of anyons	Lundholm	Slide 32/37

Anyons in a harmonic trap — qualitative spectrum



Schematic $N \rightarrow \infty$ spectrum: Chitra, Sen, 1992 ($\theta = \alpha \pi$)

Emergence of anyons Lundholm Slide 33/37

Anyons in a harmonic trap — current lower bounds



Rigorous lower bounds: DL, Solovej, 2013/'14, improved in Larson, DL, 2016, and DL, Seiringer, 2017 ...

Emergence of anyons Lundholm

Slide 34/37



 $N = \nu K$ particles arranged into ν complete graphs $(\mathcal{V}_q, \mathcal{E}_q)$



 $N = \nu K$ particles arranged into ν complete graphs $(\mathcal{V}_q, \mathcal{E}_q)$ $\alpha = \frac{\mu}{\nu}$ even:

$$\psi_{\alpha}(\mathbf{z}) := \prod_{j < k} |z_{jk}|^{-\alpha} \mathcal{S} \left[\prod_{q=1}^{\nu} \prod_{(j,k) \in \mathcal{E}_q} (\bar{z}_{jk})^{\mu} \right] \prod_{k=1}^{N} \varphi_0(z_k)$$

(cf. Moore-Read (Pfaffian), Read-Rezayi)

Emergence of anyons



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(cf. Moore-Read (Pfaffian), Read-Rezayi)

Emergence of anyons

Lundholm

Proposition: For $\Psi = \Phi \psi_{\alpha}$, $\Phi \in H^1_{loc}(\mathbb{R}^{2N};\mathbb{R})$, $\alpha = \frac{\mu}{\nu}$ even,

$$\begin{split} \langle \Psi, \hat{H}_N \Psi \rangle &= \left(1 - \alpha \frac{\nu - 1}{2} \right) \omega N \int_{\mathbb{R}^{2N}} |\Psi|^2 \, d\mathbf{x} \\ &+ \int_{\mathbb{R}^{2N}} \sum_{j=1}^N |\nabla_j \Phi|^2 |\psi_\alpha|^2 \, d\mathbf{x}. \end{split}$$

R-extended case: Replace $\prod_{j < k} |z_{jk}|^{-\alpha}$ with $e^{-\alpha \sum_{j < k} w_R(\mathbf{x}_j - \mathbf{x}_k)}$. **Proposition:** For the free gas on a box $Q \subset \mathbb{R}^2$, α even

$$\hat{T}^R_\alpha \,\psi_\alpha = \alpha W_R \,\psi_\alpha$$

$$W_R(\mathbf{x}) := \sum_{j \neq k=1}^N \Delta w_R(\mathbf{x}_j - \mathbf{x}_k) = 2\pi \sum_{j \neq k=1}^N \frac{\mathbb{1}_{B_R(0)}}{\pi R^2} (\mathbf{x}_j - \mathbf{x}_k).$$

Proposition: For $\Psi = \Phi \psi_{\alpha}$, $\Phi \in H^1_0(Q^N; \mathbb{R})$, α even

$$\langle \Psi, \hat{T}^R_{\alpha} \Psi \rangle = \int_{Q^N} \left(\sum_{j=1}^N |\nabla_j \Phi|^2 + \alpha W_R |\Phi|^2 \right) |\psi_{\alpha}|^2 d\mathbf{x}.$$