

UV/IR Mixing In Non-Fermi Liquids

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Plan of the Talk

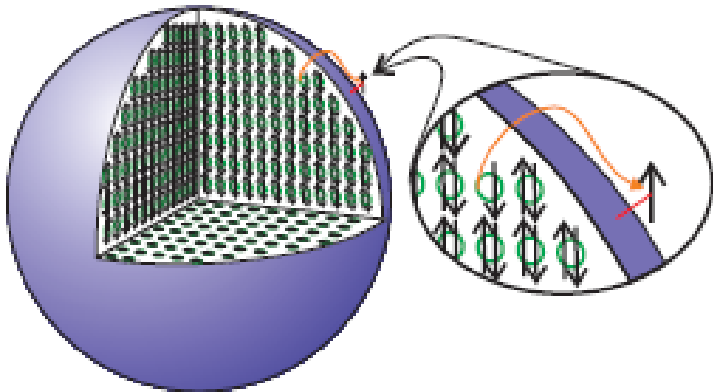
- Prologue
- Landau Fermi-Liquid Theory
- Breakdown of FL Theory → Non-Fermi Liquids
- Ising-Nematic QCP
- Dimensional & Co-dimensional RG
- Critical Dimension
- Beta-Functions & Critical Exponents
- Stable NFL Fixed Point
- Superconducting Instability
- Epilogue

Landau Fermi-Liquid Theory



[**Landau (1951)**]: A finite density of interacting fermions doesn't depend on specific microscopic dynamics of individual systems :-

- **Ground state:** characterized by a sharp Fermi surface (FS) in momentum space
- **Low energy excitations:** weakly interacting quasi-particles around FS



$$(\omega = 0, \quad k_{\perp} \equiv k - k_F = 0)$$



$$G_R(\omega, \vec{k}) = \frac{Z}{\omega - v_F k_{\perp} + i\Gamma}$$

- 1 Quasiparticle lifetime diverges close to FS \rightarrow Decay rate $\Gamma \sim \omega^2$
- 2 Electron has a finite overlap with quasiparticle adiabatically connected to non-interacting Fermi gas \rightarrow quasi-particle wt $Z > 0$

Breakdown of FL Theory

can be diagonalized in single-particle basis of quasiparticles

Fermi Liquid Metals

Low energy QFT

Non-Fermi Liquid States

no such basis
• genuinely interacting QFT

gapless boson by fine-tuning microscopic parameters

Heavy fermion compounds near magnetic QCPs, QCP for Mott Transitions, nematic QCP

• NFL phase at QPT

can arise when FS coupled with a gapless boson

gapless boson by dynamical tuning

Bose metals & $\nu = 1/2$ FQH state support fractionalized fermionic excitations + emergent gauge field

• NFL phases in extended region in parameter space

Goals

- Construct minimal field theories that capture universal low-energy physics.
- Understand the dynamics in controlled ways.
- Eventually come up with a systematic classification for NFL's.
- Broadly we have 2 cases:



critical boson mom $q = 0$
• Ising-nematic QCP,
gauge field + spinon FS

critical boson mom $q \neq 0$
• SDW or
CDW critical pts

- Dynamics depends on FS dim (m) in addition to spacetime dim ($d+1$). Here we focus on m & $d-m$ dependence for case 1.

Critical FS States

- Are there states with
 - ① a sharp FS
 - +
 - ② no Landau quasiparticles ?

$$G_R(\omega, \vec{k}) \neq \frac{Z}{\omega - v_F (k - k_F)}$$

- $d=1$ • Luttinger liquid

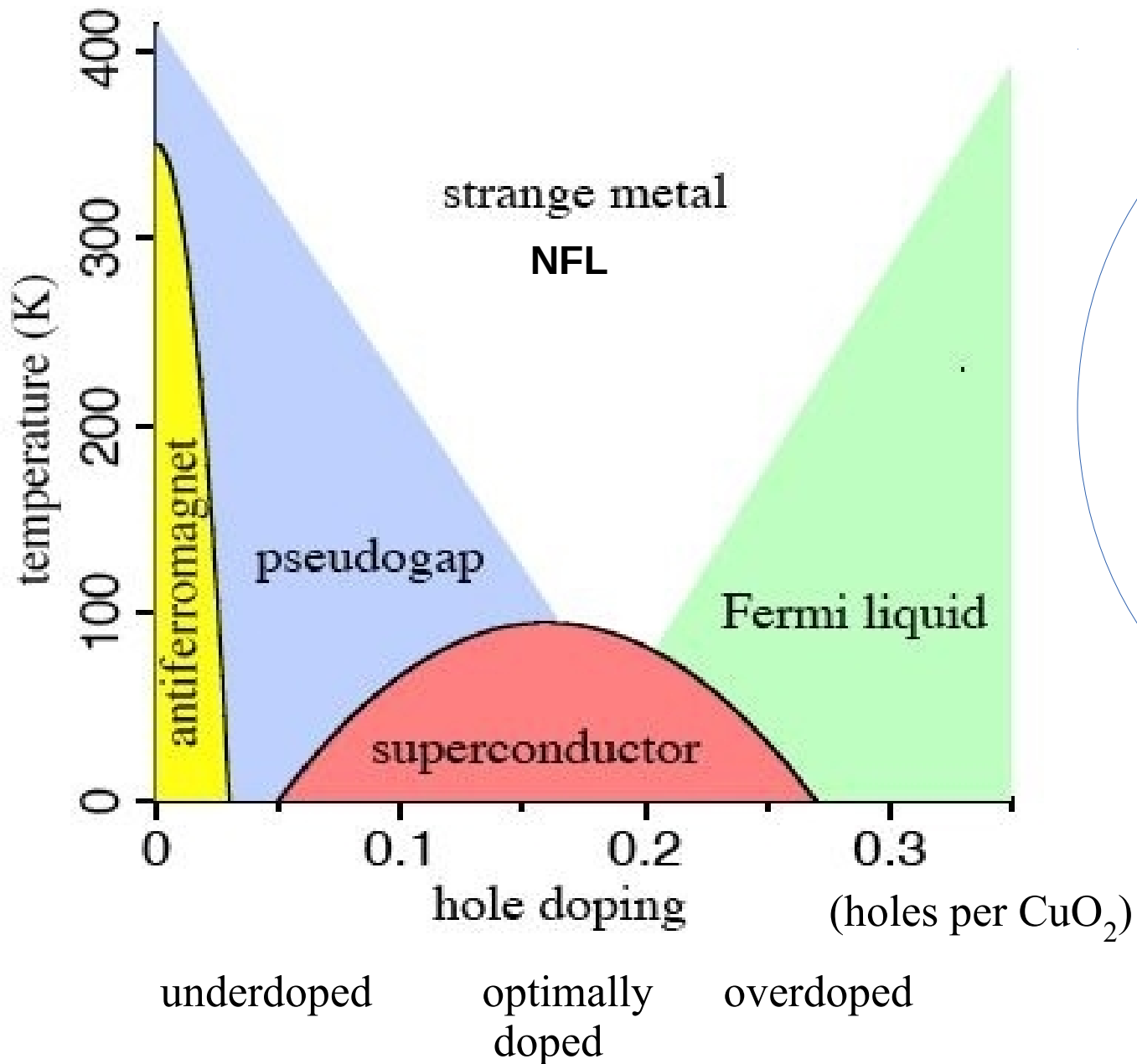
- $d>1$ • Proposed realizations :

Spinon FS
[U(1) spin-liquid] state
of Mott-insulator

QCPs associated with
onset of order in metal
(antiferromagnet, nematic)

Halperin-Lee-Read
composite fermion liquid
of $\frac{1}{2}$ -filled Landau level

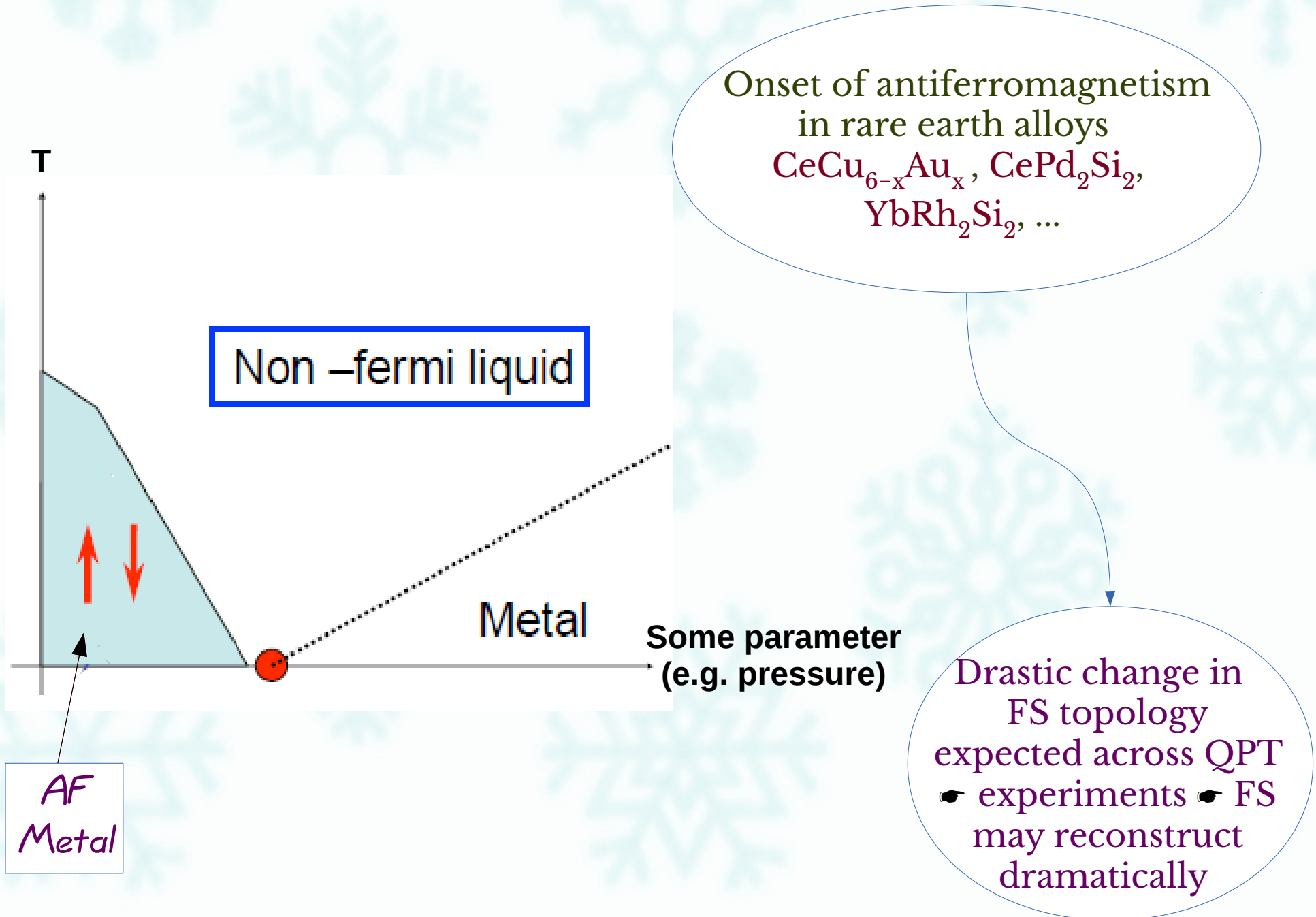
Example: High T_c SC



Strange Metal Regime :

- Power laws in many physical quantities distinct from that in FL
- FS but no Landau quasi-particles

Example: Heavy Fermions



Killing the FS

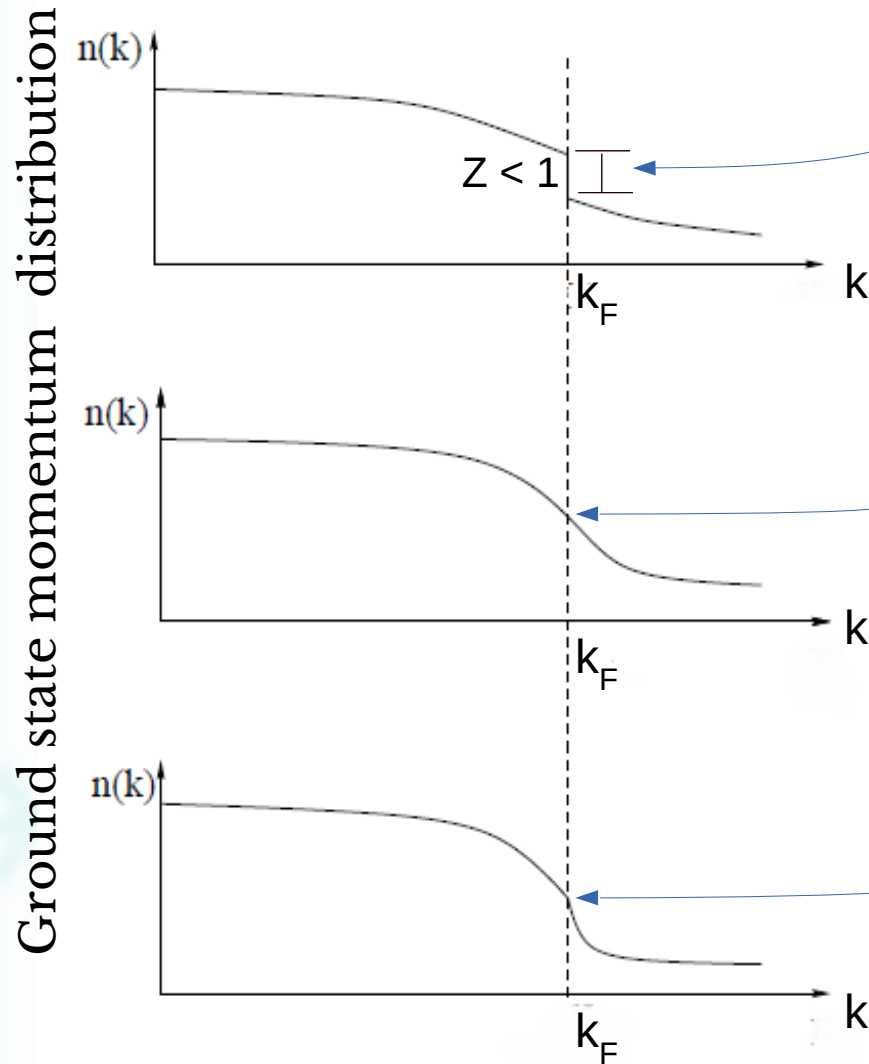
- In such $T=0$ phase transition in metals, an entire FS may disappear/change discontinuously .
- If 2nd order/continuous, NFL guaranteed as at QCP, FS remains sharply defined even though there is no Landau quasiparticle.

[Senthil (2008)]

- Disappearance of FS through a continuous phase transition requires a critical fixed point :
 - ① $Z = 0$
 - ② FS sharp

How Can a FS Disappear Continuously?

One route \bullet Z vanishes continuously everywhere on the FS.
[Brinkman and Rice (1970)]

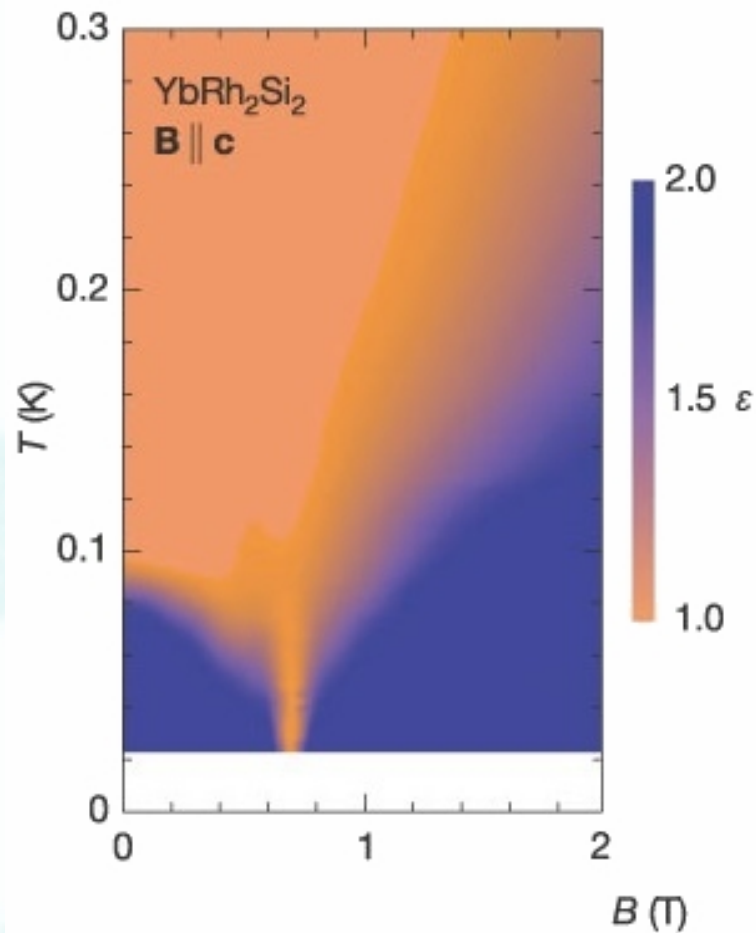


Interacting Landau FL
 \bullet discontinuity $Z < 1$ of $n(k)$
at k_F

Phase where FS has disappeared
 \bullet $n(k)$ smooth everywhere

Critical point
 \bullet $n(k)$ continuous at k_F ,
discontinuity replaced
by kink singularity

Unusual Scaling Phenomenology



[Custers et al, Nature (2003)]

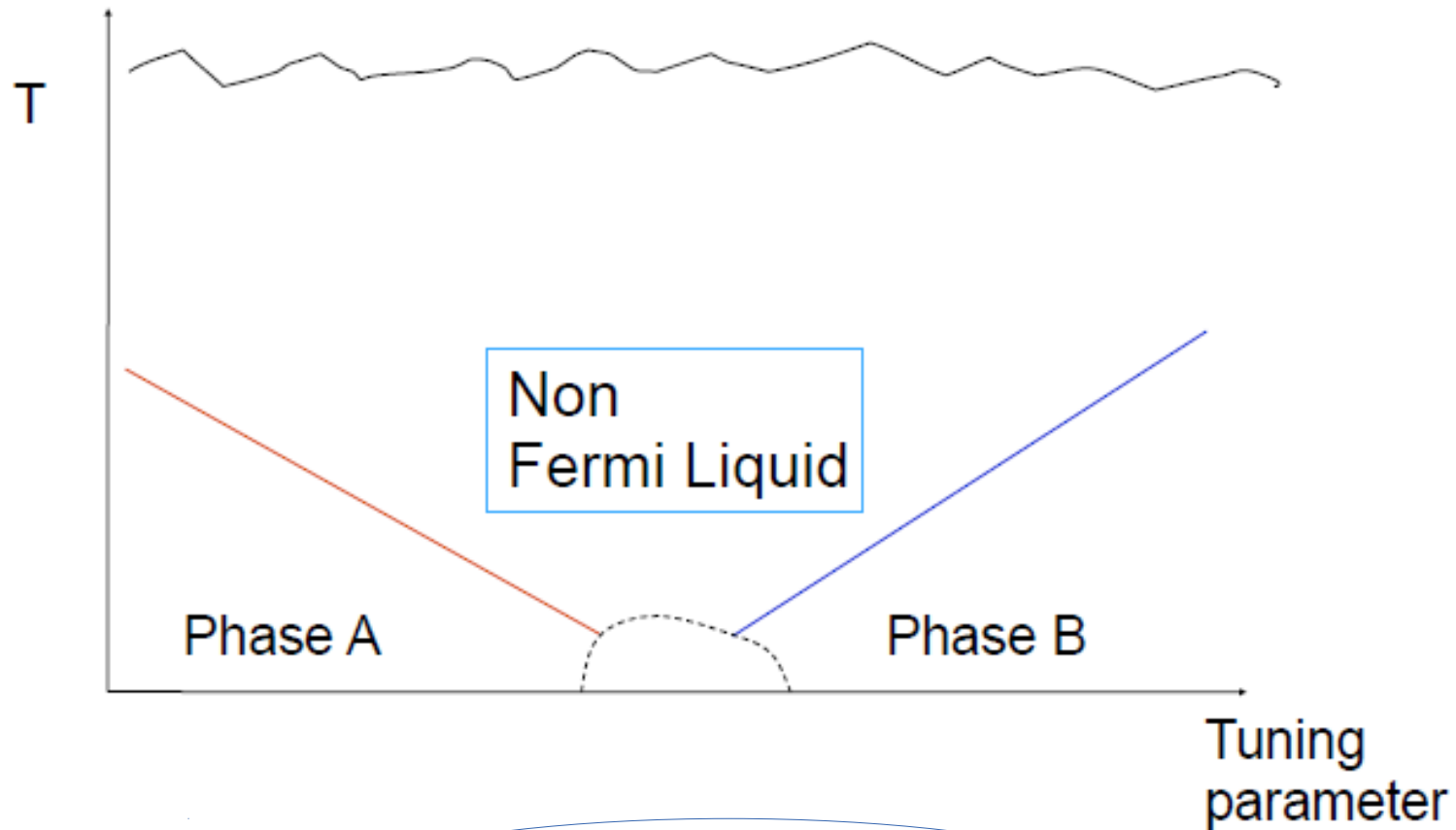


$$[\rho(T) - \rho_0] \propto T^\varepsilon$$

$\varepsilon = 1$ for NFL (yellow)
 $\varepsilon = 2$ for FL (blue)

- 1 Calculational framework that replaces FL theory needed.
- 2 QFT of metals • low symmetry + extensive gapless modes need to be kept in low energy theories • less well understood compared to relativistic QFTs.

Roughly Common Phase Diagram for many NFL



Whatever causes phase transition
between A & B also responsible
for NFL Physics ?

“Natural” Assumptions

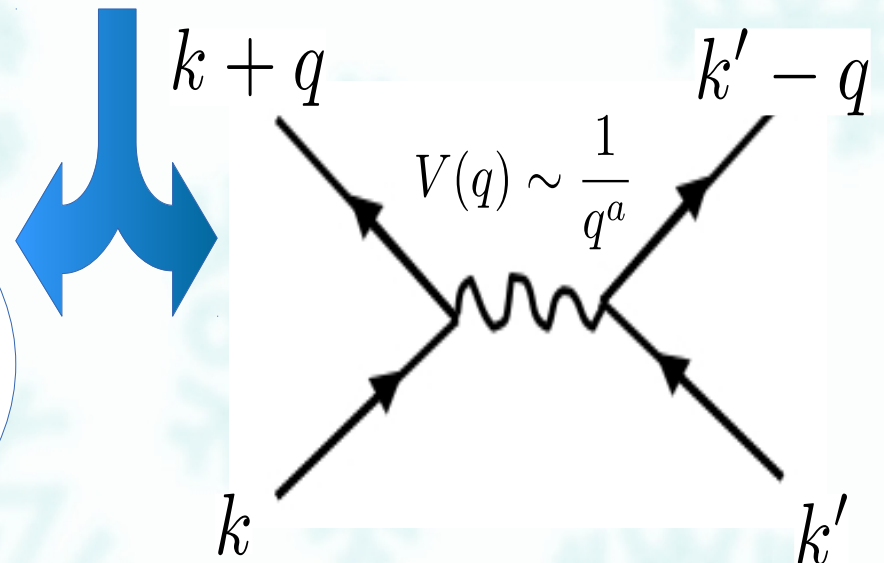
- NFL • Universal physics associated with QCP between A & B phases.
- Landau • Universal critical singularities ~ order parameter fluctuation for $A \rightleftharpoons B$ transition :

Attempt to describe NFL as

FS

+

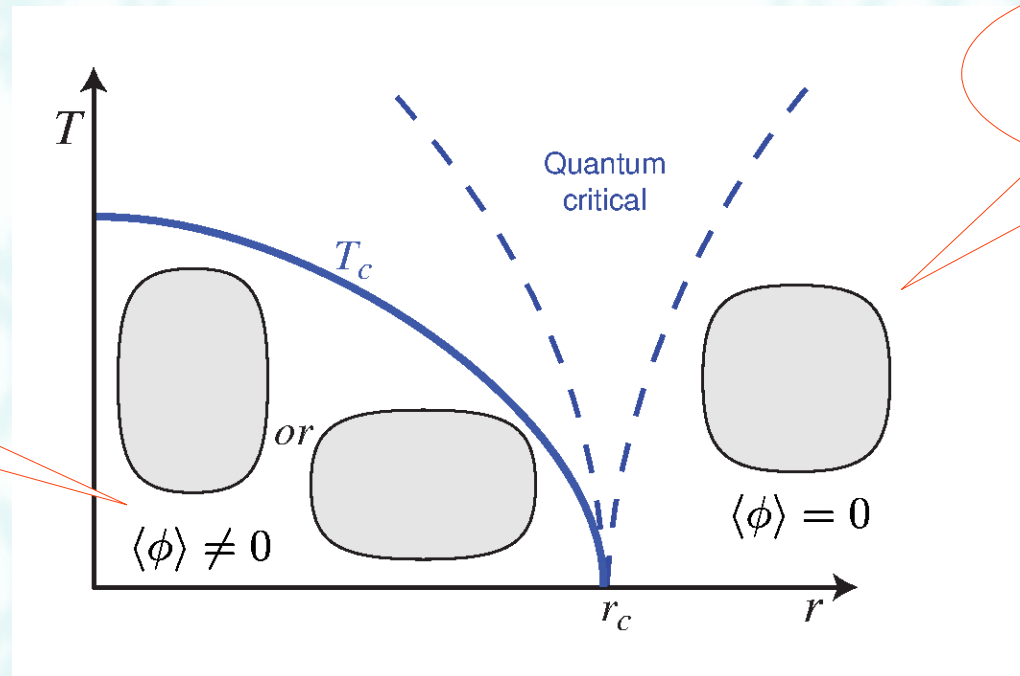
*gapless order parameter
fluctuations*



Ising-Nematic QPT

- From theoretical viewpoint • Ising-nematic (ISN) QCP one of the simplest phase transitions in metals providing a remarkable strongly-coupled NFL with critical fluctuations of ISN order.

- (2+1)-d • simple choice • change from \blacksquare to \blacksquare symmetry.



- QPT to nematic states with spontaneously broken point group symmetry • order parameter is a real scalar boson with strong qtm fluctuations at QCP.

Why is ISN QPT Important ?

- Many recent experiments noted presence of ISN order in the enigmatic normal state of cuprate SC's.
- **YBa₂Cu₃O_y** ● Nernst signal anisotropy ● ISN order sets at $T=T^*$
● boundary between “pseudogap” & “strange metal” ● need theory of QPT involving ISN order ● will also play imp role in theory of strange metals.

[Daou *et al*, Nature (London) 463, 519 (2010)]

- **Sr₃Ru₂O₇** ● Resistance anisotropies ● spontaneous ISN ordering.

[Borzi *et al*, Science 315, 214 (2007)]

- **Pnictides** ● Clear indications of ISN order driven by e^- - e^- correlations.

[Chuang *et al*, Science 327, 181 (2010)]

Earlier Approaches to ISN QPT

- Earlier works relied on Hertz's perspective: e^- 's integrated out, including those lying on FS, yielding a Landau-damped effective action for scalar order parameter Φ .

[Oganesyan, Kivelson, Fradkin (2001); Lawler, Barci, Fernandez, Fradkin, Oxman (2006)]

- Successive integration of fermionic & bosonic d.o.f. dangerous → integrating out gapless modes on FS give rise to non-analytic & singular effective interactions among bosons.
- A complete analysis of ISN should be based on a local QFT, providing a scheme for computing scaling dim of all perturbations at QCP.

RG Studies of NFLs

- Essential to treat bosonic & fermionic excitations at equal footing • RG methods to unravel scaling str of the critical theory.

[Lee (2009); Metlitski and Sachdev (2010); Mross, McGreevy, Liu and Senthil (2010); Dalidovich and Lee (2013); Sur and Lee (2013)]

- Earlier works showed low-energy properties of FS qualitatively modified by coupling with gapless bosons :

- **3d** \Rightarrow logarithmic corrections arise due to Yukawa coupling.

[Holstein, Norton and Pincus (1973); Reizer (1989); Mahajan, Ramirez, Kachru and Raghu (2013)]

- **2d** \Rightarrow NFLs flow to strongly interacting fixed points at low energies.

- Non-chiral theories • 2 patches of FS with opposite Fermi velocities • hard to understand. One way • deform original theory into a perturbatively solvable regime in a continuous way.

Ways to Obtain Perturbative NFLs

- Most natural attempt → Enlarge flavour symmetry group → extra flavours don't introduce qualitatively new element to the theory.

[Polchinski (1994); Altshuler, Ioffe and Millis (1994); Kim, Furusaki, Wen and Lee (1994); Kim, Lee and Wen (1995)]

- Problem → Infinite flavour limit not described by a mean-field theory due to large residual qtm fluctuations of FS.

[Lee (2009); Metlitski and Sachdev (2010)]

- Modify spacetime dim continuously to gain a controlled access to NFL states

→ Extend

① FS dim [Chakravarty, Norton and Syljuasen (1995)]

or

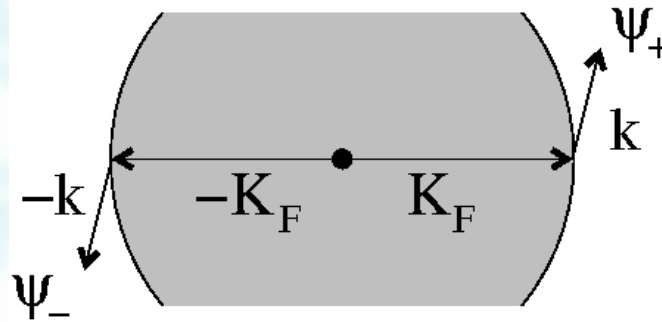
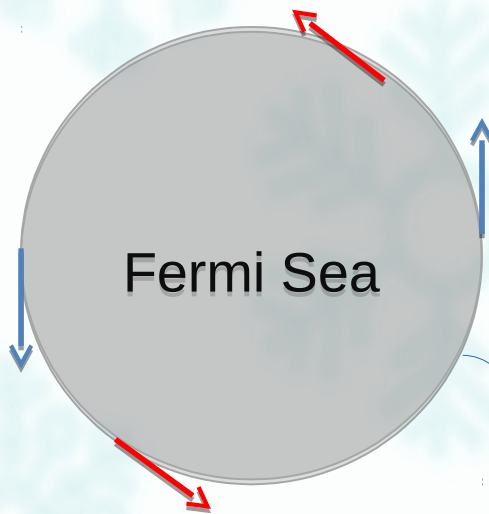
② FS co-dim [Senthil and Shankar (2009); Dalidovich and Lee (2013)]

- Here we generalise the above extending both dim & co-dim.

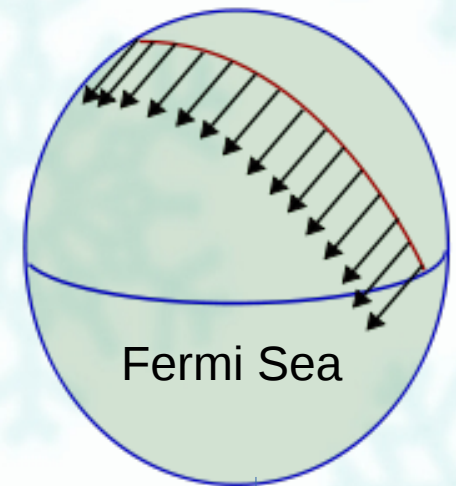
Dimension as a Tuning Parameter

- For $d < \text{upper critical dim } d_c$ → theory flows to interacting NFL at low energies.
- For $d > d_c$ → expected to be described by FL .
- Choice of regularization scheme for systematic RG in relativistic QFT :
 - Locality
 - Consistent with many symmetries
- Our Dimensional Regularization (DR) scheme:
 - Advantage \Rightarrow locality maintained
[Locality broken in DR scheme of Senthil & Shankar (2009)]
 - Disadvantage \Rightarrow some symmetries broken [global U(1)]

Two Patch Theory



*Time-Reversal
Invariance assumed*



Low energy limit

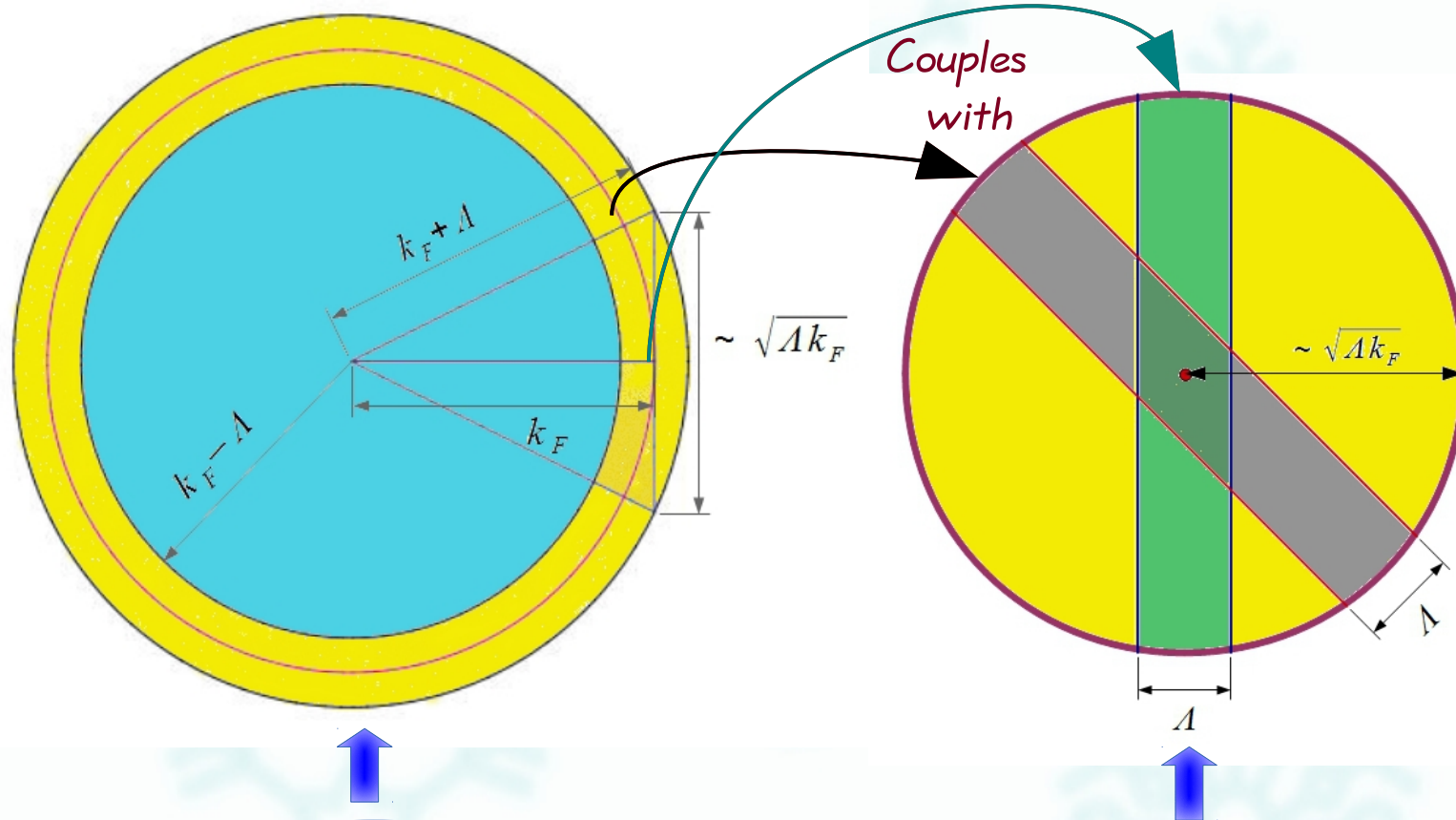
- Fermions coupled with boson with mom tangential to FS
- scatter tangentially

Circular FS ($m=1$) • fermions in different patches decoupled except **antipodal** points

Not true for m -dim FS with $m > 1$

k_F enters as a dimensionful parameter

Low Energy QFT



Fermions :
low energy region is annulus
of width 2Λ
symmetrically enclosing FS

Boson :
low energy region
is sphere of radius
 $\sim \sqrt{\Lambda k_F}$

RG very hard compared to relativistic QFT

Significance of m for $d < d_c$

- d controls strength of qtm fluctuations & m controls extensiveness of gapless modes.
 - For $d < d_c$ • an emergent locality in mom space for $m = 1$, but not for $m > 1$.
 - For $m = 1$ • observables local in mom space (e.g. Green's fns) can be extracted from local patches • need not refer to global properties of FS
• (2+1)-d ISN QCP described by a stable NFL state slightly below $d_c = 5/2$.
- [D. Dalidovich and S-S. Lee, Phys. Rev. B 88, 245106 (2013)]
- For $m > 1$ • UV/IR mixing • low-energy physics affected by gapless modes on entire FS • effects patch theory cannot capture through renormalization of local properties.

Role of “ k_F ”

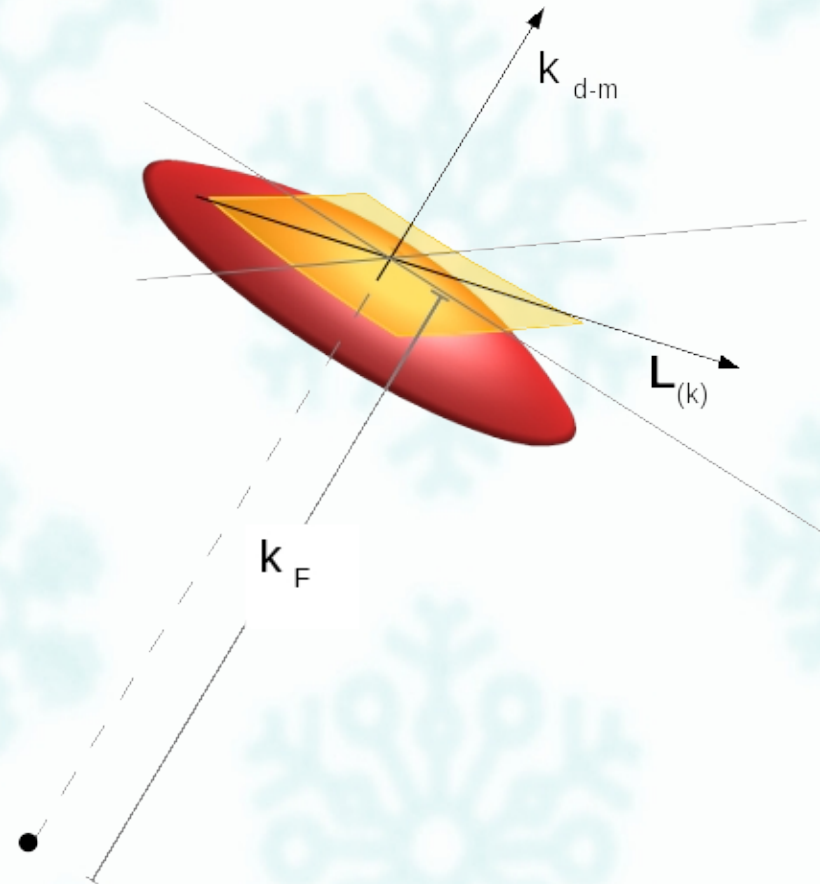
- We devise DR extending both **dim** & **co-dim** → FS with $m > 1$ included naturally.

[IM and S-S. Lee, Phys. Rev. B 92, 035141 (2015)]

- We provide a controlled analysis showing how interactions + UV/IR mixing interplay to determine low-energy scalings in NFL's with general m .
- For $m > 1$ → size of FS (k_F) modifies naive scaling based on patch description → k_F becomes a ‘naked scale’.

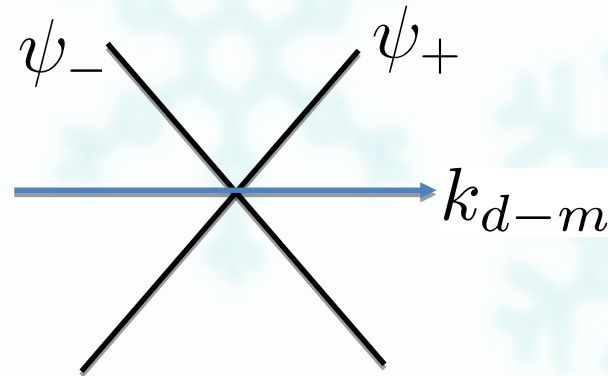
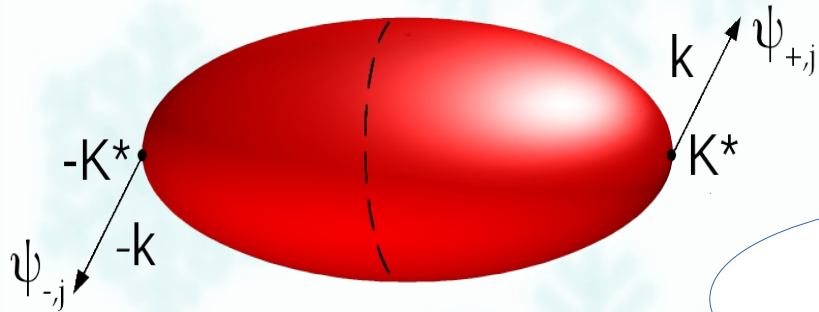
Generic Fermi Surface

Patch of m -dim FS
of arbitrary shape



- At a chosen point K^* on FS : $k_{d-m} \perp$ local S^m \blackleftarrow its magnitude measures deviation from k_F .
- $L_{(k)} = (k_{d-m+1}, k_{d-m+2}, \dots, k_d)$ \blackleftarrow tangential along the local S^m .

Fermions on Antipodal Points



$$\Psi_j(k) = \begin{pmatrix} \psi_{+,j}(k) \\ \psi_{-,j}^\dagger(-k) \end{pmatrix}$$

$\psi_{+,j} (\psi_{-,j})$



right (left) moving fermion
with flavour $j=1,2,\dots,N$

Action

2 halves of m -dim FS
coupled with one critical boson
in $(m+1)$ -space & one time dim:



$$\begin{aligned} S &= \sum_{s=\pm} \sum_{j=1}^N \int \frac{d^{m+2}k}{(2\pi)^{m+2}} \psi_{s,j}^\dagger(k) \left[ik_0 + sk_{d-m} + \vec{L}_{(k)}^2 + \mathcal{O}(\vec{L}_{(k)}^3) \right] \psi_{s,j}(k) \\ &+ \frac{1}{2} \int \frac{d^{m+2}k}{(2\pi)^{m+2}} \left[k_0^2 + k_{d-m}^2 + \vec{L}_{(k)}^2 \right] \phi(-k) \phi(k) \\ &+ \frac{e}{\sqrt{N}} \sum_{s=\pm} \sum_{j=1}^N \int \frac{d^{m+2}k d^{m+2}q}{(2\pi)^{2m+4}} \phi(q) \psi_{s,j}^\dagger(k+q) \psi_{s,j}(k) \end{aligned}$$

FS in Terms of Dirac Fermions

Interpret $|\mathbf{L}_{(k)}|$ as a continuous flavour

- Each $(m+2)$ -d spinor can be viewed as a $(1+1)$ -d Dirac fermion

$$\Psi_j(k) = \begin{pmatrix} \psi_{+,j}(k) \\ \psi_{-,j}^\dagger(-k) \end{pmatrix}$$

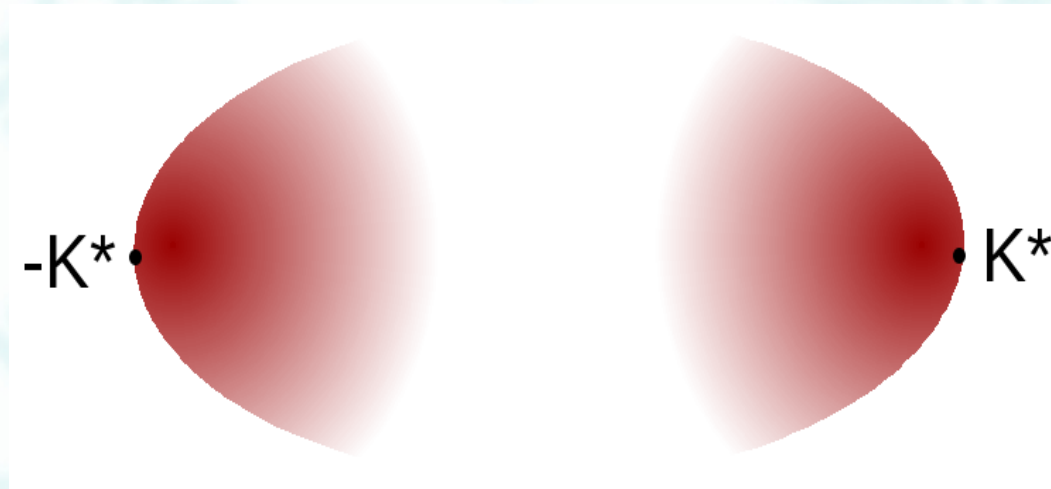


$$\begin{aligned}
 S &= \sum_{j=1}^N \int \frac{d^{m+2}k}{(2\pi)^{m+2}} \bar{\Psi}_j(k) \left[ik_0 \gamma_0 + i \left(k_{d-m} + \vec{L}_{(k)}^2 \right) \gamma_1 \right] \Psi_j(k) \exp \left(\frac{\vec{L}_{(k)}^2}{k_F} \right) \\
 &+ \frac{1}{2} \int \frac{d^{m+2}k}{(2\pi)^{m+2}} \left[k_0^2 + k_{d-m}^2 + \vec{L}_{(k)}^2 \right] \phi(-k) \phi(k) \\
 &+ \frac{ie}{\sqrt{N}} \sum_{j=1}^N \int \frac{d^{m+2}k d^{m+2}q}{(2\pi)^{2m+4}} \phi(q) \bar{\Psi}_j(k+q) \gamma_1 \Psi_j(k)
 \end{aligned}$$

UV cut-off

Momentum Regularization along FS

- Compact FS approx by 2 sheets of non-compact FS with a momentum regularization suppressing modes far away from $\pm K^*$:



- We keep dispersion parabolic but exp factor effectively makes FS size finite by damping $|\vec{L}_{(k)}| > k_F^{1/2}$ fermion modes.

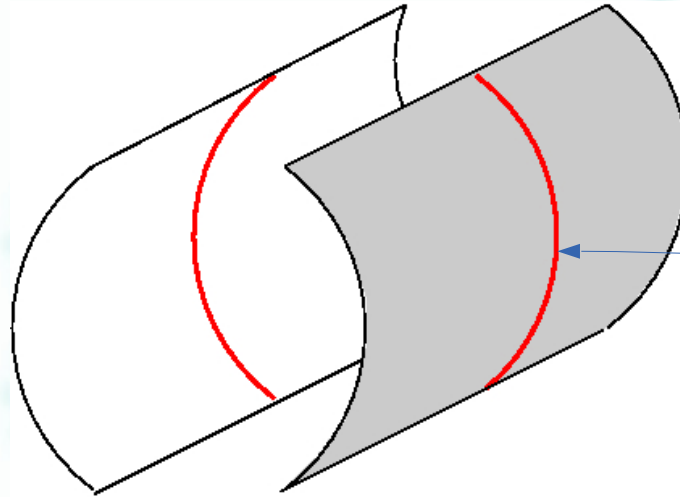
Theory in General Dimensions

Add (d-m-1) spatial dim
 ➔ co-dimensions

$$\begin{aligned}
 k_0 &\rightarrow \vec{K} \equiv (k_0, k_1, \dots, k_{d-m-1}) \\
 \gamma_0 &\rightarrow \vec{\Gamma} \equiv (\gamma_0, \gamma_1, \dots, \gamma_{d-m-1}) \\
 \gamma_1 (k_{d-m} + \vec{L}_{(k)}^2) &\rightarrow \gamma_{d-m} \delta_k \\
 \delta_k &= k_{d-m} + \vec{L}_{(k)}^2
 \end{aligned}$$

$$\begin{aligned}
 S &= \sum_j \int \frac{d^{d+1}k}{(2\pi)^{d+1}} \bar{\Psi}_j(k) \left[i\vec{\Gamma} \cdot \vec{K} + i\gamma_{d-m} \delta_k \right] \Psi_j(k) \\
 &+ \frac{1}{2} \int \frac{d^{d+1}k}{(2\pi)^{d+1}} \left[|\vec{K}|^2 + k_{d-m}^2 + \vec{L}_{(k)}^2 \right] \phi(-k)\phi(k) \\
 &+ \frac{ie}{\sqrt{N}} \sum_j \int \frac{d^{d+1}k d^{d+1}q}{(2\pi)^{2d+2}} \phi(q) \bar{\Psi}_j(k+q) \gamma_{d-m} \Psi_j(k)
 \end{aligned}$$

A Physical Realization for $d=3, m=1$



Fermi line in
3d mom space

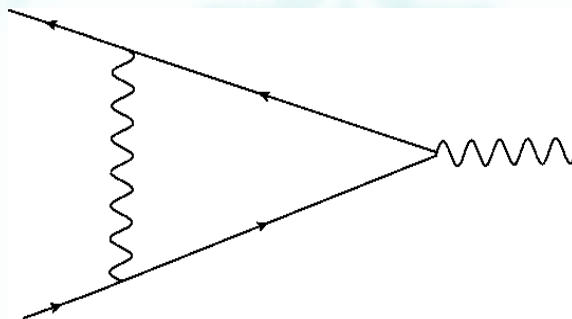
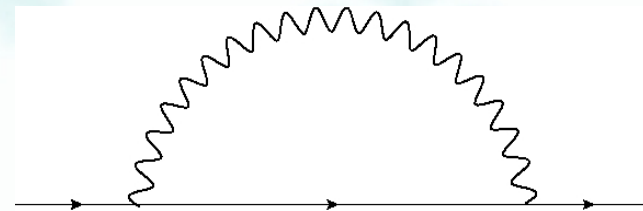
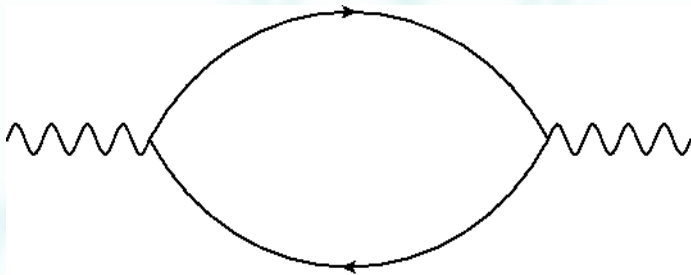
$$S = \int \frac{d^4 k}{(2\pi)^4} \left\{ \sum_{s=\pm} \sum_{j=\uparrow, \downarrow} \psi_{s,j}^\dagger(k) (ik_0 + sk_2 + k_3^2) \psi_{s,j}(k) - k_1 \left(\psi_{+,\uparrow}^\dagger(k) \psi_{-,\uparrow}^\dagger(-k) + \psi_{+,\downarrow}^\dagger(k) \psi_{-,\downarrow}^\dagger(-k) + h.c. \right) \right\}$$



Turn on p-wave SC order parameter
• gap out the cylindrical FS
except for a line node

Applying DR

- There is an implicit UV cut-off Λ for \mathbf{K} with $k \ll \Lambda \ll k_F$.
- Λ sets the largest energy fermions can have \perp FS ;
 k_F sets FS size.
- We consider RG flow by changing Λ & requiring low-energy observables independent of it.
- To access perturbative NFL, we fix m & tune d towards a critical dim d_c at which qtm corrections diverge logarithmically in Λ .



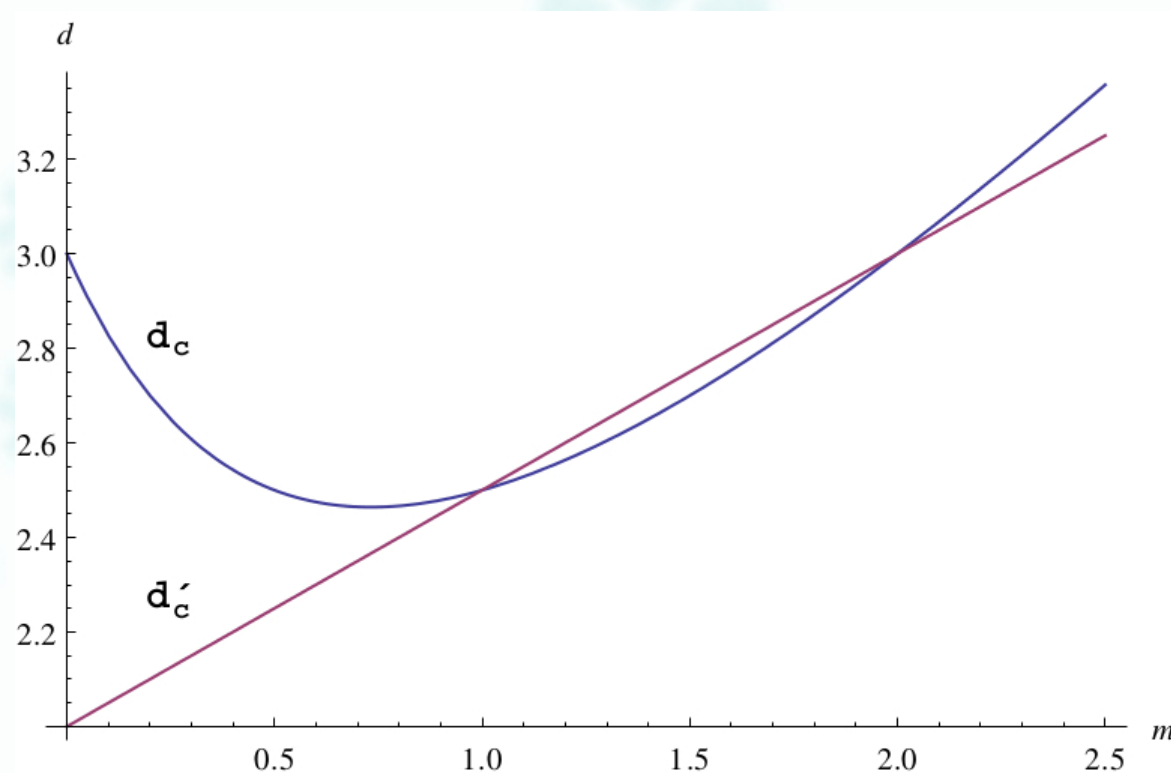
Critical Dimension

- Naïve critical dim \rightarrow scaling dim of $e = 0$:

$$d'_c = \frac{4 + m}{2}$$

- True critical dim \rightarrow one-loop fermion self-energy $\Sigma_1(q)$ blows up logarithmically :

$$d_c = m + \frac{3}{m + 1}$$



One-Loop Results for $d = d_c - \epsilon$

Effective coupling
& control parameter
in
loop expansions

$$e_{eff} \equiv \frac{e^{2(m+1)/3}}{\tilde{k}_F^{\frac{(m-1)(2-m)}{6}}}$$

$$k_F = \mu \tilde{k}_F$$

Fixed points

$$\tilde{\beta} \equiv \frac{\partial e_{eff}}{\partial \ln \mu} = \frac{(m+1)(u_1 e_{eff} - N\epsilon) e_{eff}}{3N - (m+1)u_1 e_{eff}} = 0$$

Interacting Fixed Point

$$e_{eff}^* = \frac{N\epsilon}{u_1}$$

$$z^* = 1 + \frac{(m+1)\epsilon}{3}$$

$$\eta_\psi^* = \eta_\phi^* = -\frac{\epsilon}{2}$$

Dynamical critical exponent

*Anomalous dimensions for
fermions & boson*

Stable NFL Fixed Point

Small e_{eff} expansion :

$$\tilde{\beta} = -\frac{(m+1)\epsilon}{3} e_{\text{eff}} + \frac{(m+1)\{3 - (m+1)\epsilon\} u_1}{9N} e_{\text{eff}}^2 + \mathcal{O}(e_{\text{eff}}^3)$$

Low energy limit

• theory flows to

a

Stable

NFL

Fixed Point

e_{eff} **marginal** at d_c

For small ϵ , interacting f.p. **perturbatively** accessible though e has +ve scaling dim for $1 < m < 2$

RG Flow



Two-point Fns at IR Fixed Point

- Using RG eqns

$$\langle \phi(-k)\phi(k) \rangle = \frac{1}{\left(\vec{L}_{(k)}^2\right)^{2\Delta_\phi}} f_D \left(\frac{|\vec{K}|^{1/z^*}}{\vec{L}_{(k)}^2}, \frac{k_{d-m}}{k_F}, \frac{\vec{L}_{(k)}^2}{k_F} \right)$$

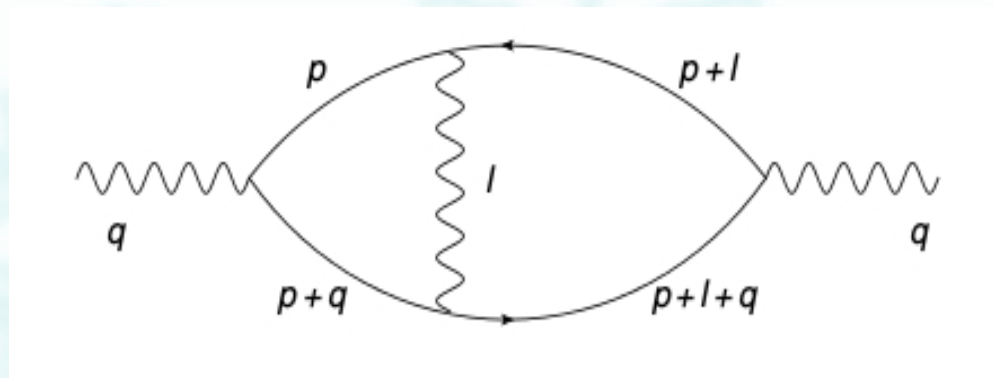
$$\langle \psi(k)\bar{\psi}(k) \rangle = \frac{1}{|\delta_k|^{2\Delta_\psi}} f_G \left(\frac{|\vec{K}|^{1/z^*}}{\delta_k}, \frac{\delta_k}{k_F}, \frac{\vec{L}_{(k)}^2}{k_F} \right)$$

- One-loop order

$$f_D(x, y, z) = \left[1 + \beta_d \tilde{e}^{\frac{3}{m+1}} x^{\frac{3}{m+1}} z^{-\frac{3(m-1)}{2(m+1)}} \right]^{-1}$$

$$f_G(x, y, z) = -i \left[C (\vec{\Gamma} \cdot \hat{\vec{K}}) x + \gamma_{d-m} \right]^{-1}$$

Two-Loop Results : Boson Self-Energy



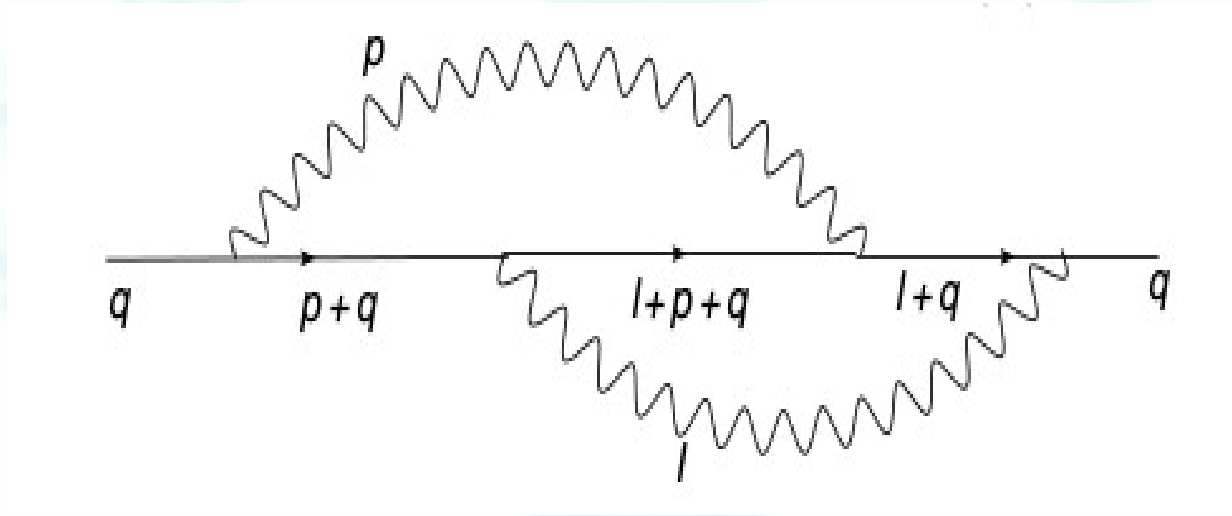
- For $m > 1$ •

$$\Pi_2(q) \sim \frac{e_{eff}^{\frac{m}{m+1}}}{k_F^{\frac{m-1}{2(m+1)}}} \frac{|\vec{Q}|^{\frac{m}{m+1}}}{N |\vec{L}(q)|} \Pi_1(q)$$

- k_F suppressed • no correction at 2-loop

- For $m = 1$ • UV-finite, gives a finite correction • $\Pi_2(q) \sim \left(\frac{e^2}{N |\vec{L}(q)|} \right) e_{eff}$

Two-Loop Results : Fermion Self-Energy



- For $m > 1$ • $\Sigma_2(q) \sim k_F$ – suppressed

- no correction at 2-loop

- For $m = 1$ • UV-divergent

Pairing Instabilities of Critical FS States

- Regular FL unstable to arbitrary weak interaction in BCS channel leading to Cooper pairing • How about a critical FS ?
- Metlitski, Mross, Sachdev & Senthil [arXiv:1403.3694] • studied SC instability in (2+1)-d for NFL.
- Chung, IM, Raghu & Chakravarty [Phys. Rev. B 88, 045127 (2013)]
• found Hartree-Fock soln of self-consistent gap eqn for a FS coupled to a transverse U(1) gauge field in (3+1)-d.
- We want to consider ISN scenario for $m \geq 1$.
[IM and S-S. Lee, in progress]

Superconducting Instability

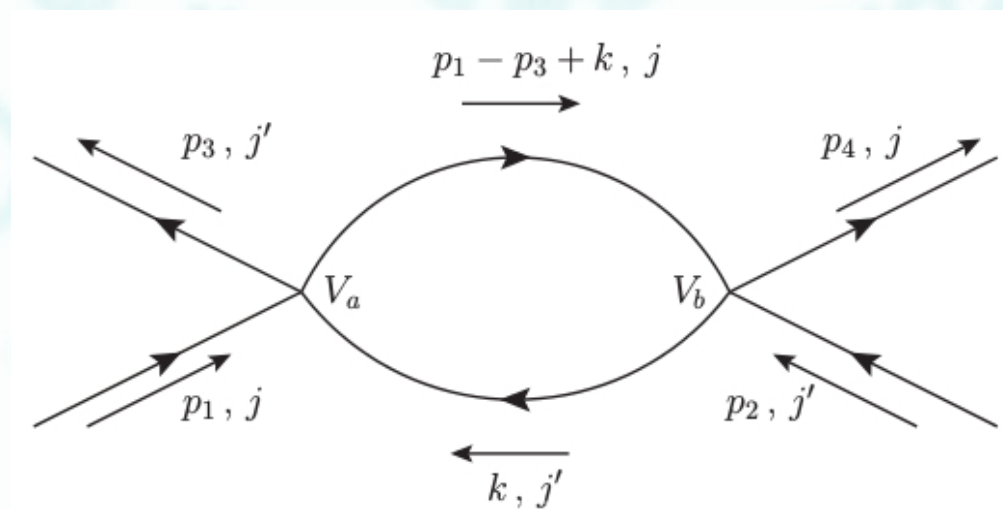
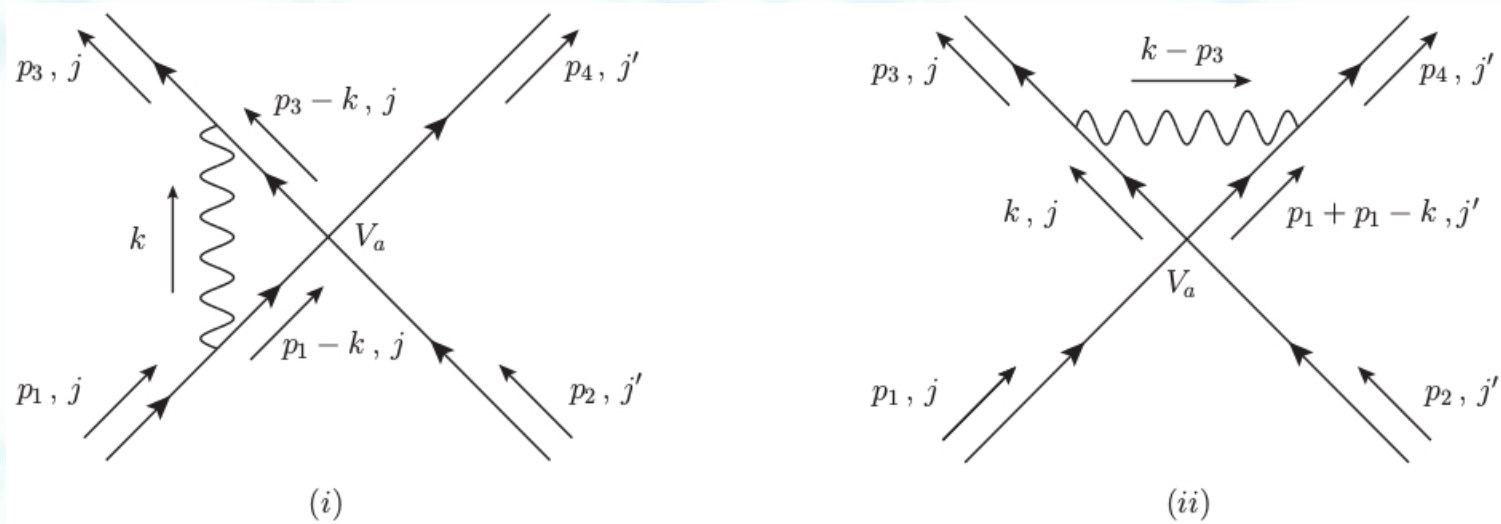
Add generic 4-fermion terms
to analyse SC instability :

$$\begin{aligned}
 S_{4f} = & \mu^{d_v} \sum_{j, j'} \int \frac{d^{d+1}k d^{d+1}k_1 d^{d+1}k_2}{(2\pi)^{3d+3}} \\
 & \left[V_1 \{ \bar{\Psi}_j(k_1 + k) \gamma_{d-m} \Psi_j(k_1) \} \{ \bar{\Psi}_{j'}(k_2 - k) \gamma_{d-m} \Psi_{j'}(k_2) \} \right. \\
 & + V_2 \sum_{\mu=0}^{d-m-1} \{ \bar{\Psi}_j(k_1 + k) \Gamma_\mu \Psi_j(k_1) \} \{ \bar{\Psi}_{j'}(k_2 - k) \Gamma_\mu \Psi_{j'}(k_2) \} \\
 & \left. + V_3 \sum_t \{ \bar{\Psi}_j(k_1 + k) \sigma_t \Psi_j(k_1) \} \{ \bar{\Psi}_{j'}(k_2 - k) \sigma_t \Psi_{j'}(k_2) \} \right]
 \end{aligned}$$



$$(\sigma_t, \Gamma_\mu, \gamma_{d-m}) \in \{ \mathbb{I}_{2 \times 2}, \sigma_x, \sigma_y, \sigma_z \}$$

Some One-Loop Diagrams



Beta-Fns for V_a 's

- Scatterings in pairing channel enhanced by volume of FS $\sim (k_F)^{m/2}$.

- Effective coupling that dictates potential instability :

$$\tilde{V}_a = \tilde{k}_F^{m/2} V_a$$

- \tilde{V}_a marginal at co-dim $d - m = 1$.
- For $d - m > 1$ • no perturbative instability for sufficiently small $\epsilon = d_c - d$.
- When $d - m - 1 \lesssim \epsilon$ & $d - d_c \sim \epsilon$ • interaction plays an imp role to determine pairing instability.

Beta-Fns for $d-m-1 \lesssim \epsilon$ & $d-d_c - \epsilon$

Can cause usual
BCS instability

$$\tilde{\beta}_a = -\epsilon \tilde{V}_a + \sum_{b,c} B_{abc} \tilde{V}_b \tilde{V}_c + (1 - \epsilon) \frac{u_1 e_{eff} \tilde{V}_a}{N} + \frac{e_{eff}}{N} \sum_b A_{ab} \tilde{V}_b$$

Epilogue

- RG analysis for QFTs with FS → scaling behaviour of NFL states in a controlled approx.
- m -dim FS with its co-dim extended to a generic value → stable NFL fixed points identified using $\epsilon = d_c - d$ as perturbative parameter.
- SC instability in such systems as a fn of dim & co-dim of FS.
- Key point → k_F enters as a dimensionful parameter unlike in relativistic QFT → modify naive scaling arguments.
- Effective coupling constants → combinations of original coupling constants & k_F .

The background of the slide is white with a repeating pattern of light blue, stylized snowflakes. The snowflakes vary in size and orientation, creating a subtle, festive texture.

Thank you for your attention !