

# Towards A Catalogue of Linguistic Graph Banks

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*Graphs exceeding the formal complexity of rooted trees are of growing relevance to much NLP research. Although formally well-understood in graph theory, there is substantial variation in the types of linguistic graphs, as well as in the interpretation of various structural properties. To provide a common terminology and transparent statistics across different collections of graphs in NLP, we propose to establish a shared community resource with an open-source reference implementation for common statistics.*

## 1. Motivation

The predominant target representations in natural language parsing traditionally have been *trees*, in the formal sense that every node is reachable from a distinguished root node by exactly one directed path. With a gradual shift of emphasis from more surface-oriented, morpho-syntactic target representations in parsing towards “deeper”, more semantic analyses, there is increasing interest in processing structures where characteristic properties of trees like the unique root, connectedness, or lack of reentrancies can be relaxed. Some recent parsing work targets graph-structured representations more general than trees (Sagae and Tsujii [2008], Das et al. [2010], Jones, Goldwater, and Johnson [2013], Flanigan et al. [2014], Martins and Almeida [2014]; inter alios). This development is made possible by ongoing efforts to annotate deeper syntactico-semantic analyses at scale, and typically such annotations either directly take the form of directed graph structures, or can be interpreted as such under moderate transformations.

In computational linguistics and in particular in natural language parsing, however, there is less of an established tradition of using general graphs than in, say, theoretical computer science (although the central role of feature structures in unification-based grammar formalisms arguably marks an exception to this claim). Thus, we note a lack of consensus on which specific structural properties of graphs are most relevant in terms of linguistic adequacy or formal effects on models and algorithms. As has been the case for various sub-classes of mildly non-projective dependency trees, for example, we expect that the design of parsing algorithms for graph-structured target representations will benefit from the algebraic study of relevant graph sub-classes. In this work, we seek to initiate a community process of systematizing the landscape of graph representations of linguistic structure, with particular emphasis on syntactico-semantic analysis. We present a “pilot” study over a selective sample of extant collections of linguistic graphs

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(Section 2), propose an initial inventory of formally well-defined properties (Section 3), and demonstrate how contrastive statistics over graph banks can contribute to improved understanding of different frameworks (Section 4). Finally we present a proposal for community follow-up action—which we hope may elicit more in-depth discussion of formal and linguistic differences across graph banks (Section 5).

## 2. A Menagerie of Graph Banks

For this study, we consider four larger graph banks that are generally available (through the Linguistic Data Consortium) and have already been applied in training and evaluation of data-driven parsers. To capture relevant variation, this selection represents different (and arguably increasing) levels of abstraction over the surface signal and its syntactic structure, viz. (a) Combinatory Categorical Grammar word–word dependencies (CCD); (b) Semantic Dependency Parsing targets from SemEval 2014 and 2015 (SDP); (c) the Elementary Dependency Structures (EDS) of Oepen and Lønning (2006); and (d) Abstract Meaning Representation (AMR; Banarescu et al. [2013]). Additional candidate graph banks for inclusion in a community-maintained on-line catalogue are, for example, the Groningen Meaning Bank (GMB; Basile et al. [2012]), Universal Conceptual Cognitive Annotation (UCCA; Abend and Rappoport [2013]), as well as combinations of layers of annotations from the Penn Treebank (PTB; Marcus, Santorini, and Marcinkiewicz [1993]) and OntoNotes (Hovy et al. 2006) ecosystems. Also, recent work on “deeper” syntax (Ballesteros et al. 2015) and the Universal Dependencies initiative (de Marneffe et al. 2014) push towards increasing use of non-tree structures.

There are multiple linguistic and formal differences between these resources. Most importantly, CCD and SDP represent *bi-lexical dependencies*, where graph nodes correspond to surface lexical units (words or tokens). In contrast, EDS and AMR take the form of *semantic networks* (or conceptual graphs), where nodes represent concepts and there need not be an explicit mapping to surface linguistic forms. In Section 3 below, we discuss some of the ramifications of this fundamental contrast for the analysis of semantically vacuous surface elements and other formal graph properties.

*CCG Dependencies (CCD)*. Hockenmaier and Steedman (2007) construct CCGbank from a combination of careful interpretation of the syntactic annotations in the PTB with additional, manually curated lexical and constructional knowledge. In CCGbank (LDC2005 T13), the strings of the venerable PTB Wall Street Journal (WSJ) corpus are annotated with pairs of (a) CCG syntactic derivations and (b) sets of semantic bi-lexical dependency triples, which we term CCD. The latter “include most semantically relevant non-anaphoric local and long-range dependencies” and are suggested by the CCGbank creators as a proxy for predicate–argument structure. While CCD has mainly been used for contrastive parser evaluation (Clark and Curran [2007], Fowler and Penn [2010]; inter alios), there is current work that views each set of triples as a directed graph and parses directly into these target representations (Du, Sun, and Wan 2015).

*SDP 2014 and 2015: DM and PSD*. For the SDP tasks at SemEval, Oepen et al. (2014, 2015) prepared aligned sets of semantic dependency graphs over the same WSJ text by reduction (i.e. lossy conversion) of independently developed syntactico-semantic treebanks into bi-lexical semantic dependencies. SDP (LDC2016 T10) comprises multiple linguistic frameworks, but for our pilot comparison we focus on two sets of target representations that are *not derivative* of the PTB, viz. (a) DELPH-IN MRS-Derived Dependencies (DM; Oepen and Lønning [2006], Ivanova et al. [2012]) and (b) Prague Semantic Dependencies (PSD; Hajič et al. [2012], Miyao, Oepen, and Zeman [2014]). Both

are rooted in general theories of grammar—Head-Driven Phrase Structure Grammar (Pollard and Sag 1994) and Prague Functional Generative Description (FGD; Sgall, Hajičová, and Panevová [1986]), respectively—and there are numerous current reports on parsing into these target representations.

*Elementary Dependency Structures (EDS).* The DM bi-lexical dependencies originally derive from the underspecified logical forms of Copestake et al. (2005), which Oepen and Lønning (2006) by elimination of scope constraints reduced to variable-free, unordered semantic dependency graphs called EDS (also included in LDC2016 T10). These graphs are formally—if not linguistically—equivalent to AMR (see below). Nodes in EDS are independent of surface lexical units, but for each node there is an explicit, many-to-one mapping onto sub-strings of the underlying linguistic signal. Thus, we include EDS as a middle ground between the node-ordered lexicalized dependency graphs of CCD and SDP and the unordered AMR graphs, which provide no overt links to the surface signal.

*Abstract Meaning Representation (AMR).* Unlike the bi-lexical dependency graphs of CCD, DM, and PSD, AMR eschews explicit syntactic derivations and consideration of the syntax-semantic interface; it rather seeks to directly annotate “whole-sentence logical meanings” (Banarescu et al. 2013). Node labels in AMR name abstract concepts, which in large parts draw on the ontology of OntoNotes predicate senses and corresponding semantic roles. Nodes are not overtly related to surface lexical units, and thus are unordered. Although AMR has its roots in semantic networks and earlier knowledge representation approaches (Langkilde and Knight 1998), larger-scale manual AMR annotation is a recent development only. We sample two variants of AMR, viz. (a) the graphs as annotated in AMRBank 1.0 (LDC2014 T12), and (b) a normalized version that we call  $AMR^{-1}$ , where so-called “inverse roles” (like `ARG0-of`) are reversed. Such inverted edges are frequently used in AMR in order to render the graph as a single rooted structure, where the root is interpreted as the top-level focus.<sup>1</sup> In Section 3 below, we map this interpretation to our concept of *top nodes* for both AMR and  $AMR^{-1}$ . Flanigan et al. (2014) published the first parser targeting AMR, and the state of the art has been repeatedly updated since.

### 3. Graph Properties and Statistics

To help understand the similarities and differences in our sample of graph banks, in this section we propose an initial inventory of formally well-defined graph properties and calculate contrastive statistics; these are given in Table 1. For all resources, our statistics are computed for the designated training segments, e.g. Sections 02 through 21 for the PTB-derived CCGbank.

The structures in our graph banks can all be viewed as *directed graphs* or *digraphs*. A digraph is a pair  $G = (V, E)$  where  $V$  is a set of *nodes* and  $E \subseteq V \times V$  is a set of *edges*. The number of graphs and their average token counts (following PTB conventions) and node counts are given in rows (01) to (03) in the top part of Table 1. A higher proportion of nodes per token in EDS reflects its frequent use of lexical decomposition, for example in nominalizations, compounding, and comparatives. In all representations, both nodes and edges are *labeled* with various data, such as lemmata, parts of speech, or predicates, and semantic roles, respectively. The number of labels varies greatly; counts for edge labels are given in row (04).

<sup>1</sup> The graph bank is natively constructed and released with inverted edges, but for parser evaluation the  $AMR^{-1}$  normalization is typically assumed; our conversion builds on the code of Cai and Knight (2013).

**Table 1**

Sample statistics for each full graph bank (top) and for the common “control” subset (bottom); some metrics are average percentages over full graphs ( $\%_g$ ), others over individual nodes ( $\%_n$ ).

			CCD	DM	PSD	EDS	AMR	AMR <sup>-1</sup>
COUNTS	(01)	number of graphs	39604	35656	35656	35656	10309	10309
	(02)	average number of tokens	23.47	22.51	22.51	22.51	20.62	20.62
	(03)	average number of nodes per token	0.88	0.77	0.64	0.99	0.67	0.67
	(04)	number of edge labels	6	59	90	10	135	100
TREENESS	(05)	$\%_g$ trees	1.45	2.31	42.26	0.98	52.48	18.60
	(06)	$\%_g$ treewidth one	29.27	69.82	43.08	65.37	52.72	52.72
	(07)	average treewidth	1.742	1.303	1.614	1.352	1.524	1.524
	(08)	maximal treewidth	5	3	7	3	4	4
	(09)	average edge density	1.070	1.019	1.073	1.047	1.065	1.065
	(10)	$\%_n$ reentrant	28.09	27.43	11.41	28.42	5.23	18.95
	(11)	$\%_g$ cyclic	1.28	0.00	0.00	0.04	3.15	0.71
	(12)	$\%_g$ not connected	12.53	6.57	0.70	1.49	0.00	0.00
ORDER	(13)	$\%_g$ multi-rooted	99.67	99.49	99.33	98.75	0.00	77.50
	(14)	percentage of non-top roots	47.78	44.94	4.34	41.15	0.00	19.39
	(15)	average edge length	2.582	2.684	3.320	–	–	–
	(16)	$\%_g$ noncrossing	48.23	69.21	64.61	–	–	–
	(17)	$\%_g$ pagenumber two	98.64	99.55	98.07	–	–	–
CONTROL	(01)	number of graphs	87	87	87	87	87	87
	(03)	average number of nodes per token	0.88	0.79	0.64	1.01	0.66	0.66
	(05)	$\%_g$ trees	1.15	1.15	45.98	1.15	60.92	3.45
	(06)	$\%_g$ treewidth one	37.93	81.61	47.13	81.61	60.92	60.92
	(07)	average treewidth	1.644	1.184	1.540	1.184	1.402	1.402
	(09)	average edge density	1.057	1.011	1.061	1.028	1.038	1.038
	(10)	$\%_n$ reentrant	28.92	27.73	10.28	27.77	2.88	21.09
	(11)	$\%_g$ cyclic	0.00	0.00	0.00	0.00	2.30	0.00
	(12)	$\%_g$ not connected	6.90	3.45	1.15	1.15	0.00	0.00
	(13)	$\%_g$ multi-rooted	100.00	100.00	100.00	98.85	0.00	93.10

*Singletons.* CCD, DM, and PSD maintain technical compatibility with a strong tradition in syntactic dependency parsing: Tokens of the surface string correspond one-to-one to the nodes of the graph representing its syntactico-semantic analysis. For semantically vacuous surface elements, these graphs include nodes that are (a) isolated in the structure (with in- and out-degree zero) and (b) not designated as top nodes (see below).<sup>2</sup> Such nodes—called *singletons*—have no significance for meaning representation and are excluded from all graph statistics, for increased comparability, except in row (02).

*Treeness.* A digraph  $G$  is called a (rooted) *tree* if there exists a node  $r$ , the *root*, such that every node of  $G$  is reachable from  $r$  via a unique directed path. While trees make up the minority of the structures in our sample of graph banks, their exact proportion varies greatly: from 0.98% in EDS to 52.48% in AMR (row 05). This percentage decreases to 18.60% for AMR<sup>-1</sup>, where normalizing the inverted edges creates a significant number of reentrancies. The second-highest proportion of trees (42.26%) is observed in PSD, which here appears to show its origins in the underlying FGD tectogrammatical trees, where synthetic nodes and explicit identity edges serve to encode argument sharing across predicates (Miyao, Oepen, and Zeman 2014).

<sup>2</sup> Particles, complementizers, and (most) punctuation marks, for example, are conventionally analyzed as not meaning-bearing, though the exact categorization varies substantially across linguistic frameworks.

*Treewidth.* Intuitively, even a graph that is not a tree may be more or less “like” a tree. One well-known measure that can be used to quantify the “treeness” of a graph is its *treewidth* (Diestel 2005); trees are graphs with treewidth one. Treewidth is relevant because it is a complexity parameter in some of the current AMR parsing algorithms (Chiang et al. 2013). Graphs with treewidth one cover between 29.27% (CCD) and 69.82% (DM) of the instances in the five data sets (row 06), and the average treewidth varies from 1.303 in the DM data to 1.742 in CCD (row 07). The relatively high treewidth in the PSD data (1.614) is interesting in light of the fact that this data set, at the same time, has the second-highest percentage of trees. PSD also has the highest maximal treewidth (row 08). Note that treewidth, as a measure defined on undirected graphs, is the same for the two AMR variants.

*Edge Density.* Another way to quantify the treeness of a (loop-free) digraph  $G = (V, E)$  is to measure its *edge density*, the number of edges per node. More formally, we define the edge density as  $|E|/(|V| - 1)$  if  $|V| > 1$ , and 1 otherwise. Because a tree on  $|V|$  nodes has exactly  $|V| - 1$  edges, trees have edge density one. The average edge density of all five data sets is very close to this number (row 09): The smallest value (1.019) is observed for DM graphs, the highest (1.073) for PSD graphs.

*Reentrancies.* In a tree, every node except the root has in-degree one. In our sample of graph banks, between 5% and 28% of the (non-singleton) nodes have in-degree two or greater (row 10). The lowest percentage is observed in the AMR data; the highest percentage in the EDS data.

*Acyclicity.* In contrast to trees, general digraphs may contain *cycles*. However, in the preparation of the SDP data, cycles have been explicitly ruled out (Oepen et al. [2015] report a proportion of 0.39% cyclic graphs in the raw data underlying the DM and PSD graphs). Cycles are relatively rare even in CCD (1.28%). Their percentage is highest for non-normalized AMR (3.15%), but decreases substantially (to 0.71%) with the reversal of edges in the normalized version  $AMR^{-1}$  (row 11).

*Connectedness.* Another central property of trees is that they are *connected*, meaning that there exists an undirected path between any pair of nodes. This property is characteristic for AMR graphs; but graphs in the other collections are not generally connected (row 12), with proportions of non-connected graphs between 0.7% (PSD) and 12.5% (CCD).

*Top Nodes.* In contrast to the unique root node in trees, graphs can have multiple (structural) roots, which we define as nodes with in-degree zero; with the exception of unnormalized AMR, the majority of graphs are multi-rooted in all our samples (row 13). Thus, all our graph banks distinguish one or several nodes in each graph as *top nodes*; these correspond to the most central semantic entities in the graph, usually the main predicates.<sup>3</sup> For DM, CCD and AMR, each graph has at most one top node. In PSD, top nodes are derived in a way that can lead to multiple top nodes per sentence in the case of conjunction. Root nodes that are not top occur in all data sets except AMR (row 14), although their proportion varies greatly, from 4% in PSD to 47% in CCD. High proportions of non-top roots in CCD and DM can in part be explained by the treatment of non-scopal modifiers (e.g. most attributive adjectives and adverbs) as semantic predicates.

*Order-Related Properties.* In the three surface-oriented data sets, the left-to-right order of the tokens in a sentence induces a natural linear order on the nodes. This makes it possible to quantify the *length* of an edge as the distance between the left and the right

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<sup>3</sup> In AMR, top nodes are called “roots”, a term that we reserve for the above structural interpretation.

endpoint. Row (15) shows that the average edge lengths in *CCD* and *DM* are comparable (2.582 and 2.684), while edges in the *PSD* data are significantly longer (3.320). This is at least partially related to the analysis of coordinate structures in *PSD*, where dependencies from the predicate have been propagated to all conjuncts.

A natural way to visualize a bi-lexical dependency graph is to draw its edges as semicircles in the halfplane above the sentence. A graph is called *noncrossing* if in such a drawing, the semicircles intersect only at their endpoints. This property is a natural generalization of *projectivity* as it is known from dependency trees (Kuhlmann and Nivre 2006), and like projectivity can be exploited to obtain polynomial parsing algorithms (Kuhlmann and Jonsson 2015; Schlueter 2015). However, the coverage of the noncrossing property (row 16) is lower than that of projectivity on syntactic data sets: The proportion is largest (69.21%) in the *DM* data but significantly smaller (48.23%) in *CCD*. At the same time, a natural generalization of the noncrossing property, where one is allowed to also use the halfplane *below* the sentence for drawing edges, covers more than 98% of all three data sets (row 17); in theoretical computer science, this extended class of graphs is characterized by a property called *pagenumber two*. The statistics suggest that the forms of crossings that are expressed in the data are severely limited.

#### 4. A Control Experiment on Parallel Text

In comparing *AMR* to the other representations, a skeptic might argue that there are two separate dimensions at play, viz. (a) variation in text types and the phenomena they invoke and (b) actual linguistic differences in the semantic graphs. To tease these apart, we conduct a control experiment on the subset of graphs that all annotate the same basic text, 87 WSJ sentences from the PTB. A selection of our graph statistics over this parallel text is summarized in the bottom part of Table 1. While it appears that this subset of graphs presents structurally mildly less complex and shorter (at an average length of 22 tokens) inputs, we find all general tendencies from Section 3 and relative ordering among representations confirmed. Thus, we conjecture that these general contrasts primarily reflect contentful linguistic differences. As additional supporting evidence for this assumption, we observe that the statistics are remarkably stable—often to the third decimal—when using only half the available training data.

#### 5. Outlook: A Community Resource

We anticipate a bit of a cottage industry in linguistic graph banks and graph processing tasks over the next few years, which may make it difficult to keep track of contentful similarities and differences across frameworks and approaches. This pilot is intended to initiate the creation and maintenance of an on-line catalogue as a community resource.

To stimulate community engagement, we have (a) copied and expanded parts of this pilot into the ACL wiki, as well as (b) shared our open-source reference implementation of our toolkit for graph statistics.<sup>4</sup> We will seek to enable the developers of additional linguistic graph banks to adapt the software to their resources and then contribute statistics and documentation to the catalogue wiki. Our mid- to long-term goal in this effort is three-fold, viz. (a) to contribute to enhanced comparability and replicability; (b) to help identify sub-classes of digraphs for which efficient algorithms can be designed;

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<sup>4</sup> [http://www.aclweb.org/aclwiki/index.php?title=Graph\\_Parsing\\_\(State\\_of\\_the\\_Art\)](http://www.aclweb.org/aclwiki/index.php?title=Graph_Parsing_(State_of_the_Art))

and (c) to aid the discovery and contrastive discussion of substantive linguistic variation across resources, of the kind indicated speculatively in the examples of Section 3 above.

Current reported “parsing success” measures range between graph similarity  $F_1$  values in the mid-sixties for AMR (Pust et al. 2015), high seventies for PSD (Martins and Almeida 2014), and low nineties for CCD and DM (Du, Sun, and Wan 2015; Miyao, Oepen, and Zeman 2014). Such variation may in principle be owed to diverging evaluation setups, to differences in linguistic “granularity” (i.e. the number and complexity of distinctions made), to the size, homogeneity, and consistency of training and test data, and of course to cumulative effort that has gone into advancing the state of the art on individual tasks. A shared understanding of these parameters in much greater depth will be a prerequisite to judging the relative suitability of different resources and approaches.

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