#### **Anonymization and Data Privacy**

Finse Cyber Security Winter School





KARLSTAD UNIVERSITY SWEDEN



Meiko Jensen



# Karlstad University

## **Facts**

- 19 000 students
- 265 doctorate/Phd students
- 1 300 employees
- 1,3 billion SEK turnover
- 75 programs
- 750 courses





# What is data? What is information?

#### **Data vs. Information**

• "3!"

• "The number of children I have is: 3!"



#### **Data vs. Information**



#### **Data vs. Information**

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What information can you learn?

#### **AOL** publishes "anonymized" search engine requests of 3 months of 2006

http://www.thompsonswaterseal.com 116874 thompson water seal 2006-05-24 11:31:36 116874 express-scripts.com 2006-05-30 07:56:03 http://www.express-scripts.com 2 116874 express-scripts.com 2006-05-30 07:56:03 https://member.express-scripts.com/ 116874 knbt 2006-05-31 07:57:28 2006-05-31 08:09:30 116874 knbt.com http://www.knbt.com http://www.naughtythoughts.com 117020 naughty thoughts 2006-03-01 08:33:07 117020 really eighteen 2006-03-01 15:49:55 http://www.reallyeighteen.com 117020 texas penal code 2006-03-03 17:57:38 http://www.capitol.state.tx.us 1 117020 hooks texas 2006-03-08 09:47:08 117020 homicide in hooks texas 2006-03-08 09:47:35 117020 homicide in bowie county 2006-03-08 09:48:25 http://www.tdcj.state.tx.us 6 117020 texarkana gazette 2006-03-08 09:50:20 http://www.texarkanagazette.com 117020 tdci 2006-03-08 09:52:36 http://www.tdcj.state.tx.us 117020 naughty thoughts 2006-03-11 00:04:40 http://www.naughtythoughts.com 1 117020 cupid.com 2006-03-11 00:08:50

- "fear that spouse is contemplating cheating" user no. 7268042
- "how to kill oneself" user no. 9486162
- "how to kill your wife" user no. 17556639
- "underage lolitas" user no. 4797906

	Technology									
	WORLD	U.S.	N.Y. / REGION	BUSINESS	TECHNOLOGY	SCIENCE	HEALTH	SPORTS	OPINION	
school su	CAMCORDERS CAMERAS CELLPHONES COMPUTERS HANDHELDS HOME VIDEO MUSIC PERIPHERALS WHITE A Face Is Exposed for AOL Searcher No. 4417749									
safest plac	By MICHAEL BARBARO and TOM ZELLER Jr. Published: August 9, 2006 SIGN IN TO E-MAIL THIS				N TO L THIS	or good health				
	Buried in a list of 20 million Web search queries collected by AOL and recently released on the Internet is user No. 4417749. The number was assigned by the company to protect the searcher's anonymity, but it was not much of a shield.								bipolar	
hand trom								E PAGE		
								NTS		
numb fin(	No. 4417749 conducted hundreds of searches over a three-month period on topics ranging from "numb fingers" to "60 single men" to "dog that urinates on everything."					verything				
Mrs Arnold said she was shocked that her search queries had been recorded and released to the public by AOI										

"My goodness, it's my whole personal life," she said. "I had no idea somebody was looking over my shoulder."



# What would people learn about you knowing only your search queries of the last 3 months?

# **Types of Data/Information**

- Volunteered
  - What you reveal explicitly when asked
- Observed
  - What you reveal implicitly by your behaviour
- Inferred
  - What is derived from other data about you

[World Economic Forum Report Personal Data: The Emergence of a New Asset Class]

## **Types of Data/Information**

Data	Metadata
The contents of messages	The context of messages
E-Mail Text	E-Mail Sender/Recipient/Date
Can be spoofed / encrypted	Hard to spoof / encrypt

#### **Types of Data/Information**





# What is anonymity?

# Anonymity



derived from the <u>Greek</u> word ἀνωνυμία, *anonymia*, meaning "without a <u>name</u>" or "namelessness".

#### **Anonymous crowd?**





Slides adapted from: M. Hansen

# Anonymous crowd? – No, not for everybody



#### No, really not anonymous

Distinguishable (and uniquely identifiable) via names or other identifiers





## Identifiers

#### **Explicit Identifiers**

#### **Quasi-Identifiers**

Uniquely attributable
 Uniquely attributable
 address







# **Anonymity Set**

• The larger the set of **indistinguishable** entities, the lower the probability of identifying any one of them!



## **Anonymity vs. Pseudonymity**

"Whereas *anonymity* and *accountability* are the extremes

with respect to linkability to subjects,

*pseudonymity* is the entire field between and including these extremes.

Thus, pseudonymity comprises all degrees of linkability to a subject."

Pfitzmann & Hansen: Anonymity Terminology, 2010



## Anonymization





#### Anonymization

"Once data is truly anonymous and individuals are no longer identifiable, the data will not fall within the scope of the GDPR."

**European Data Protection Supervisor** 



## Anonymization

Unfortunately, anonymization techniques **rarely work** reliably!

...and you end up with **pseudonymous** data, not anonymous data!

Anonymization = apply technique to move towards anonymity!

However, reaching anonymity is **not guaranteed!** 





# **Anonymity vs. Pseudonymity**

Recall:

AOL published *pseudonymized* data, but claimed it to be *anonymized* data!

school supplies for Iraq children

the best sea

safest place to live

termites

60 single men

mature livinę

a

nicotine effects on the body hand tremors

dog that u

#### The New York Times

WORLD U.S. N.Y. / REGION BUSINESS TECHNOLOGY SCIENCE HEALTH SPORTS OPINION CAMCORDERS CAMERAS CELLPHONES COMPUTERS HANDHELDS HOME VIDEO MUSIC PERIPHERALS W

#### A Face Is Exposed for AOL Searcher No. 4417749

By MICHAEL BARBARO and TOM ZELLER Jr. Published: August 9, 2006

Buried in a list of 20 million Web search queries collected by AOL and recently released on the Internet is user No. 4417749. The number was assigned by the company to protect the searcher's anonymity, but it was not much of a shield.





No. 4417749 conducted hundreds of searches over a three-month period on topics ranging from "numb fingers" to "60 single men" to "dog that urinates on everything."

Technology

And search by search, click by click, the identity of AOL user No. 4417749 became easier to discern. There are queries for "landscapers in Lilburn, Ga," several people with the last name Arnold and "homes sold in shadow lake subdivision gwinnett county georgia."

It did not take much investigating to follow that data trail

numb fingers

## **Anonymity vs. Pseudonymity**

Recall:

AOL published *pseudonymized* data,

but claimed it to be

#### anonymized data!

116874 116874 116874	Explicit Pseudor	nym!	://www.thompsonswaterseal.com ://www.express-scripts.com ws://member.express-scripts.com/
116874	knbc 2000-03-31 07.37.20	TONCH YOR SHELL	
116874	knbt.com 2006-05-31 08:09:30 1	http://www	w.knbt.com
117020	naughty thoughts 2006-03-01 08:33:07	2 http	://www.naughtythoughts.com
117020	really eighteen 2006-03-01 15:49:55	2 http	://www.reallyeighteen.com
117020	texas penal code 2006-03-03 17:57:38	1 http	://www.capitol.state.tx.us
117020	hooks texas 2006-03-08 09:47:08	CT 8365	
117020	homicide in hooks texas 2006-03-08 09:	47:35	
117020	homicide in bowie county 2006-03-08 09:	48:25 6	http://www.tdcj.state.tx.us
117020	texarkana gazette 2006-03-08 09:50:20	1 http	://www.texarkanagazette.com
117020	tdcj 2006-03-08 09:52:36 1 ht	tp://www.tdcj.s	tate.tx.us
117020	naughty thoughts 2006-03-11 00:04:40	1 http	://www.naughtythoughts.com
117020	cupid.com 2006-03-11 00:08:50	Source: http://www.l	unchoverip.com/2006/08/being user 4417.html



# What is pseudonymity?

### **Pseudonymity**

"A **pseudonym** is an **identifier** of a **subject** other than one of the subject's real names."

"The subject which the pseudonym refers to is the **holder** of the pseudonym."

"A subject is **pseudonymous** if a pseudonym is used as identifier instead of one of its real names."

Pfitzmann & Hansen: Anonymity Terminology, 2010

#### **Pseudonymity**

"Pseudonym comes from Greek *pseudonumon* meaning *falsely named* (*pseudo*: false; *onuma*: name). Thus, it means a name other than the "real name".

To avoid the connotation of "*pseudo*" = false, some authors call pseudonyms [..] simply **nyms**.

Pseudonymity is the use of pseudonyms as identifiers."

Pfitzmann & Hansen: Anonymity Terminology, 2010

#### **Pseudonymization**



#### Example

Name	Study Program	Grade	
Aron First	MIE	1.0	
Betty Second	MIE	3.3	
Carl Third	MIE	2.7	
Denise Fourth	INI	2.0	
Eddy Fifth	INI	5.0	
Fae Sixth	INI	5.0	
Gerald Seventh	INI	1.7	
Hannah Eigth	BDS	1.3	
Igor Ninth	BDS	4.0	

#### Example

	Matriculation Number	Study Program	Grade
	9200189	MIE	1.0
	9200198	MIE	3.3
	9200127	MIE	2.7
Pseudonym	9200117	INI	2.0
	9200226	INI	5.0
	9200228	INI	5.0
	9200298	INI	1.7
	9200201	BDS	1.3
	9200204	BDS	4.0

# **Pseudonym Types**

#### Public pseudonym:

The linking between a public pseudonym and its holder may be *publicly known* even from the very beginning.

*Example:* linking could be listed in public directories such as the entry of a phone number in combination with its owner.

#### Initially non-public pseudonym:

The linking between an initially non-public pseudonym and its holder may be *known by certain parties*, but is *not public* at least initially.

**Example:** a bank account where the bank can look up the linking may serve as a non-public pseudonym. For some specific non-public pseudonyms, certification authorities acting as identity brokers could reveal the civil identity of the holder in case of abuse.

#### Initially unlinked pseudonym:

The linking between an initially unlinked pseudonym and its holder is – at least initially – *not known to anybody* with the possible exception of the holder himself/herself.

**Example:** (non-public) biometrics like DNA information unless stored in databases including the linking to the holders.

# **Pseudonym Types**

#### Person Pseudonym

- Bound to human individual
- A person pseudonym is a substitute for the holder's name which is regarded as representation for the holder's civil identity. It may be used in many different contexts, e.g., a number of an identity card, the social security number, DNA, a nickname, the pseudonym of an actor, or a mobile phone number.

#### Role Pseudonym

- Bound to the role of a human individual in a context
- The use of role pseudonyms is limited to specific roles, e.g., a customer pseudonym or an Internet account used for many instantiations of the same role "Internet user". The same role pseudonym may be used with different communication partners. Roles might be assigned by other parties, e.g., a company, but they might be chosen by the subject himself/herself as well.

#### Relationship Pseudonym

- Bound to the **relation of a pair** (or more) **of individuals** in a specific context
- For each communication partner, a different relationship pseudonym is used. The same relationship pseudonym may be used in different roles for communicating with the same partner. Examples are distinct nicknames for each communication partner.
# **Pseudonym Types**

#### Role-Relationship Pseudonym

- Bound to all role-relation-combinations in a set of individuals in a specific context
- For each role and for each communication partner, a different role-relationship pseudonym is used. This means that the communication partner does not necessarily know, whether two pseudonyms used in different roles belong to the same holder. On the other hand, two different communication partners who interact with a user in the same role, do not know from the pseudonym alone whether it is the same user.

#### Transaction Pseudonym

- Bound to each single transaction (or interaction) between any individuals in any roles in a specific context
- For each transaction, a transaction pseudonym unlinkable to any other transaction pseudonyms [..] is used, e.g., randomly generated transaction numbers for onlinebanking. Therefore, transaction pseudonyms can be used to realize as strong anonymity as possible.

## **Pseudonym Types**



# **Pseudonym Creation**

#### Self-chosen Pseudonym

Arbitrary sequence of characters chosen by yourself ("nickname")

- "Mike-O"
- "FinseRulez2022"

#### Self-created Pseudonym

Still created by yourself, but follows a fixed data format / creation algorithm

- Random number picked yourself
- Public key of keypair used in Blockchains

#### Centrally Assigned Pseudonym

Assigned to you by a central pseudonym creation authority

- Customer-ID
- Taxation-ID
- Student Matriculation Number

# **Pseudonym Creation**

#### Issues with Self-chosen/-defined Pseudonyms:

#### Accidential Collisions

("picked the same pseudonym")

- Linkage / Information via Pseudonym Text ("likes Finse")
- Context Escape

("google the pseudonym, learn the identity")

New Attack Vector: Intentional Collision

("I am Brian!"-"No, I am Brian!")

# **Pseudonymization Techniques**

#### Random Number / Pseudonym Assignment

Choose a (truly random) number / pseudonym per identity

- Make sure different identities are mapped to different numbers / pseudonyms
- Make sure same identities are mapped to same numbers / pseudonyms

#### Increasing Counter Number Assignment

Assign numbers from a counter that is increased with every new pseudonym issued

- E.g. customer ID's, session ID's
- Automatically assigns different pseudonyms to different identities
- Same identities might get mapped to different pseudonyms!

#### Hashing

Map identity to hash value of identity

- pseudonym = hash(identity)
- Automatically assigns same pseudonyms to same identities
- Different identities might get mapped to same pseudonyms (hash collision)!

#### ...all of these have their issues!

Matriculation Number	Study Program	Grade
9200189	MIE	1.0
9200198	MIE	3.3
9200127	MIE	2.7
9200117	INI	2.0

Learn identity from quasi-identifiers!

Matriculation Number	Study Program	Grade
9200189	MIE	1.0
9200198	MIE	1.0
9200127	MIE	5.0
-	•	

Learn identity from background knowledge!

Matriculation Number	Study Program	Grade
9189726	MIE	1.0
9200198	MIE	3.3
9200127	MIE	2.7
9200117	INI	2.0
9200226	INI	5.0
9200228	INI	5.0
9200298	INI	1.7
9200201	BDS	1.3
9200204	BDS	4.0

Learn identity from background knowledge!

#### Dictionary Attack

- Generate all possible pseudonyms for most likely inputs
- E.g. hash values of english words as possible passwords

#### Brute Force / Rainbow Tables

- Generate all possible pseudonyms for all possible inputs
- E.g. hash values of all possible IP addresses

#### Background Knowledge Attacks

Attacker knows plaintext information linked to pseudonym

• E.g. oldest matriculation number

#### Insider Attacks

- Knows pseudo hymization mapping!
- E.g. taxation office clerk, or professor

#### **Pseudonymization Chains**





# What is k-anonymity?

# k-anonymity

• How to use a database that has personal data stored...

...and NOT disclose personal data?



no personal data!

# **Types of Identifiers**

#### **Explicit Identifiers**

#### **Quasi-Identifiers**

Uniquely attributable { name phone number address







# k-anonymity

• Goal: to prevent re-identification of individuals when releasing data



• k-anonymity property:

on data release, information about a subject cannot be distinguished from at least k-1 other individuals

# k-anonymity

Measure for the anonymity set
 where min( k ) = 2

(k = 1 means NO anonymity)



#### **Example: building a k=2 release**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	11.03.79	male	1072	married	1	А
	17.03.79	male	1276	married	7	В
	01.07.80	female	1073	single	2	В
	07.09.84	female	1077	single	0	С
	02.07.89	male	1016	single	2	D
	21.09.91	female	1267	it's complicated	4	E
	24.12.98	female	1268	it's complicated	4	А

#### **Example: building a k=2 release**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	11.03.79	male	1072	married	1	A
	17.03.79	male	1276	married	7	В
	01.07.80	female	1073	single	2	В
	07.09.84	female	1077	single	0	С
	02.07.89	male	1016	single	2	D
	21.09.91	female	1267	it's complicated	4	Е
	24.12.98	female	1268	it's complicated	4	A

**Explicit Identifier** 

**Quasi-Identifiers** 

**Released data** 

#### **Remove Name Field**

Name Birth date Gender ZIP **Civil Status** Duration Diagnosis 11.03.79 1072 А male married 1 7 В 17.03.79 male 1276 married 01.07.80 2 female 1073 single В ... 07.09.84 1077 0 С female single 02.07.89 1016 single 2 D male 21.09.91 female 1267 it's complicated 4 Е 24.12.98 it's complicated А female 1268 4

#### **Generalize Birth date to Range**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1072	married	1	А
	1970's	male	1276	married	7	В
	1980's	female	1073	single	2	В
	1980's	female	1077	single	0	С
	1980's	male	1016	single	2	D
	1990's	female	1267	it's complicated	4	Е
	1990's	female	1268	it's complicated	4	А

#### **The Gender Field**



Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1072	married	1	A
	1970's	male	1276	married	7	В
	1980's	female	1073	single	2	В
	1980's	female	1077	single	0	С
	1980's	male	1016	single	2	D
	1990's	female	1267	it's complicated	4	E
	1990's	female	1268	it's complicated	4	А

#### **Generalize Gender Field**



Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1072	married	1	А
	1970's	male	1276	married	7	В
	1980's	ghost	1073	single	2	В
	1980's	ghost	1077	single	0	С
	1980's	ghost	1016	single	2	D
	1990's	female	1267	it's complicated	4	E
	1990's	female	1268	it's complicated	4	А

## **OR Suppress Information**



Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1072	married	1	А
	1970's	male	1276	married	7	В
	1980's	female	1073	single	2	В
	1980's	female	1077	single	0	С
*	*	*	*	*	*	*
	1990's	female	1267	it's complicated	4	E
	1990's	female	1268	it's complicated	4	А

#### **Generalize ZIP data**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1***	married	1	А
	1970's	male	1***	married	7	В
	1980's	ghost	10**	single	2	В
	1980's	ghost	10**	single	0	С
	1980's	ghost	10**	single	2	D
	1990's	female	12**	it's complicated	4	Е
	1990's	female	12**	it's complicated	4	А

## **Civil Status Field is k=2!**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1***	married	1	А
	1970's	male	1***	married	7	В
	1980's	ghost	10**	single	2	В
	1980's	ghost	10**	single	0	С
	1980's	ghost	10**	single	2	D
	1990's	female	12**	it's complicated	4	E
	1990's	female	12**	it's complicated	4	А

# **Homogeneity Attack on k-anonymity**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1***	married	1	Α
	1970's	male	1***	married	7	Α
	1980's	ghost	10**	single	2	В
	1980's	ghost	10**	single	0	С
	1980's	ghost	10**	single	2	D
	1990's	female	12**	it's complicated	4	Е
	1990's	female	12**	it's complicated	4	А

# **Homogeneity Attack on k-anonymity**

Name	Birth date	Gender	ZIP	Civil Status	Duration	Diagnosis
	1970's	male	1***	married	1	A
	1970's	male	1***	married	7	A
	1980's	ghost	10**	single	2	
	1980's	ghost	10**	single	0	С
						D
<ul> <li>is from the 1970's → is has Diagnosis A!</li> </ul>						E
						A



#### • Addresses two attacks on k-anonymity

- Homogeneity attack
- Background knowledge attack





- Addresses I-diversity limitations
- Metric is the attacker's information gain

#### BUT

- Difficult, sometimes unnecessary •
- Insufficient to prevent attribute disclosure
- it does not consider overall data distribution
- it does not consider semantics

BUT

- No computational procedure
- Limitations on the utility of data releases

# If you want to know more

- Sweeney, L.: k-Anonymity: a Model for Protecting Privacy. Int. J. Uncertainty, Fuzziness and Knowledge-based Systems 10(5), 557–570 (2002)
- Machanavajjhala, A., Kifer, D., Gehrke, J., Venkitasubramaniam, M.: I-diversity: Privacy beyond k-anonymity. In: Int Conf Data Engineering, ICDE 2006.
- Li, N., Li, T., Venkatasubramanian, S.: t-closeness: Privacy beyond k-anonymity and ldiversity. In: Int Conf Data Engineering, ICDE 2007.



# What is differential privacy?

## **Releasing Personal Data**

• Looking into two data releases:

(from a statistical database



# **Differential Privacy**

• Quantify the difference in what might be learned about any individual ( ) from a database with or without said individual



• Bound the risk to a factor of  $\varepsilon$ 

#### See

- ٠
- a factor of ε e Cynthia Dwork: Differential Privacy. In: 33rd International Colloquium on Automata, Languages and Programming, part II (ICALP 2006). Springer, Juli 2006 Cynthia Dwork, Frank McSherry, Kobbi Nissim, Adam Smith: *Calibrating Noise to Sensitivity in Private Data Analysis*. In: Shai Halevi, Tal Rabin (Hrsg.): *Theory of Cryptography*. Springer, 2006, ISBN 978-3-540-32731-8, ٠

## **Differential Privacy**

• Meaning:

an attacker () is not able to learn any additional information that she could not learn if the participant had opted out.



# How to do it?

• Add noise to the query result



how? it depends on...

- the mechanism design
- and the type of data.
   exponential mechanism categorical data
   Laplace mechanism numerical data

## Limitations

 Differential Privacy does not mean that learns nothing about 🌇 from the results



mind the background information!

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# RAPPOR

- RAPPOR: Randomized Aggregatable Privacy-Preserving Ordinal Response by Úlfar Erlingsson, Vasyl Pihur, Aleksandra Korolova (Google, USC)
- Built into Google Chrome browser
  - Detection of malicious websites
  - Problem:
    - Community wants to learn which websites are hosting Malware
    - · Individual does not want to reveal which websites it has visited

#### Details:

https://security.googleblog.com/2014/10/learning-statistics-with-privacy-aided.html https://github.com/google/rappor

#### RAPPOR




## RAPPOR





# RAPPOR

#### • In general:

- Add random noise to the statistical dataset
  - at the individual data sensors
  - Prior to sending the data to the collector
- Aggregated dataset then does not contain the noise-free individual data
- $\varepsilon$ -differential privacy, with  $\varepsilon = \ln(0.75 / (1 0.75))$
- Can be extended to other types of queries (e.g. scaled queries like "give a 5-star rating")

### • Problem:

• If you repeat asking the same question to the same person, you learn the correct answer with increasing probability

### RAPPOR



### How about more complex data?

