

# Operator Algebras and Applications

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Simple, unital, separable  $UCT^*$   
 $C^*$ -algebras with finite decomposition  
rank are classifiable

Abstract. Following on their recent  
TA (point-line) algebra classification,  
Dong, Lin, and Niu, together with me,  
have shown that the class of the title  
coincides with their class. By work of  
Niu, Santiago, Tikuisis, and me (together  
with earlier results) it follows that this  
class also coincides with the class of  
separable simple unital ASH algebras  
with slow dimension growth.

TA something algebras (cf. Lin's TAF)

The following result of Winter (2013) is important for showing that the Dong-Lin-Niu classifiable class coincides with the more concrete class of simple, unital, separable, Jiang-Su stable ASH algebras on the one hand, and the more abstract class of simple, unital, separable, finite nuclear dimension, amenable (= nuclear), Jiang-Su stable, finite,  $\overset{\text{UCT}}{C^*}$ -algebras such that every trace is quasidiagonal — alternatively, with finite decomposition rank — on the other hand.

(Recall that the Dong-Lin-Niu class is those algebras, for which the tensor product with the universal UHF algebra  $\mathcal{Q}$  is TA point-line — more specifically TA point-line with  $K_1 = 0$ .)

Winter (2013): Let  $\mathcal{S}$  be a class of separable, unital  $C^*$ -algebras which can be finitely presented with weakly stable relations. Suppose further that  $\mathcal{S}$  is closed under taking finite direct sums and under taking tensor products with finite dimensional  $C^*$ -algebras, and that  $\mathcal{S}$  contains  $\mathbb{C}$  (and therefore all finite dimensional  $C^*$ -algebras).

Let  $A$  be a simple, unital, separable, exact  $C^*$ -algebra with  $\dim_{\text{mc}} A < +\infty$  and  $T(A) \neq \emptyset$ , and suppose that there exists a system of maps

$$A \xrightarrow{\sigma_i} B_i \xrightarrow{\rho_i} A$$

such that

$\rho_i$  might or  
well be unital  
— replace  $A$   
by  $A \otimes Q$ , and  
scale.

- (i)  $B_i \in \mathcal{S}$ ,  $i \in \mathbb{N}$
- (ii)  $\rho_i$  is an embedding,  $i \in \mathbb{N}$
- (iii)  $\sigma_i$  is c.p.c.,  $i \in \mathbb{N}$
- (iv)  $\bar{\sigma}: A \rightarrow \prod B_i / \bigoplus B_i$  induced by the sequence  $(\sigma_i)$  is a unital  $*$ -homomorphism
- (v)  $\sup \{ |\sigma(\rho_i \circ \sigma_i(a)) - a| \mid \{\sigma \in T(A)\} \rightarrow 0$ ,  $a \in A$ .

It follows that  $A \otimes Q \in \text{TAS}$ . ( $Q$  universal UHF.)

The reduction (of either the abstract or the ASH class - as a special case by ENST) to the GLN TA (point-line) class, then, goes as follows.

Let  $A$  be simple, unital, separable, UCT, and have finite nuclear dimension (implies amenable<sup>=nuclear</sup> and Jiang-Su stable).

The following three properties are equivalent.

- (i) Every trace on  $A$  is quasi-diagonal  
an approximate factorization of traces through
- (ii) There exists<sup>1</sup> a completely positive unital approximate homomorphism from  $A \otimes Q$  into a subalgebra which is<sup>1</sup> a point-line algebra tensor  $Q$ . (Note unique<sup>stable</sup>  $C^*$ -algebra with primitive quotients  $Q$  and primitive<sup>1</sup> spectrum)



- (iii)  $A \otimes Q$  is TA (point-line).

(i)  $\Rightarrow$  (iii) is Winter (2013).

(i)  $\Rightarrow$  (ii) is EN (special case) and EGLN. (June 2013)

((iii)  $\Rightarrow$  (i) is obvious, as consequence of Arveson extension theorem. ((iii)  $\Rightarrow$  (ii) it seems not obvious.)

Once have (iii),  $A \otimes Q$  is classifiable by GLN, and hence  $A$  ( $\cong A \otimes \mathbb{Z}$ ) is classifiable by the one-parameter deformation isomorphism theorem of Winter (2014) (with additional work by Lin (2014—appendix to Winter) and Lin-Niu (2008)).

To get ASH use ENST to get finite decomposition rank (which implies finite nuclear dimension and trace quasidiagonal).

(Of course need to assume Jiang-Su stability, or slow dimension growth which by work of Toms and of Winter using the Cartan semigroup implies this.) (Proved differently earlier, also by EGLN.)

Also ENST implies that if  $A$  is the  $C^*$ -algebra associated with a minimal homeomorphism of a compact metrizable space, then  $A \otimes Q$  has finite decomposition rank<sup>(because locally SH)</sup>, and so  $A \otimes \mathbb{Z}$  belongs to the EN class.

(This was proven earlier by Lin—using ENST.) (Also EGLN, ASH.)  
 Hence by EN if mean dimension zero then  $A$  classifiable.

Outline<sup>(rough!)</sup> of the proof of (i)  $\Rightarrow$  (ii). (I.e., traces quasidiagonal implies traces factorize approximately, uniformly, via approximate homomorphisms, through ~~the~~ point-line algebras.)

1. Reduce to the situation that the identity on traces and  $K_0$  factorizes approximately through a point-line algebra (with  $K_0 = 0$ ), which might as well be taken as a subalgebra.

After tensoring with  $\mathbb{Q}$ , have a  $\mathbb{Q}$ -stabilized point-line algebra (more convenient). Say  $C$ .

2. First consider the case the primitive spectrum of  $C$  is just  $[-\infty, +\infty]$ . Assume we have an approximate homomorphism  $\theta: A \rightarrow C$  which is approximately compatible with the trace and  $K_0$ . (In other words, a small adjustment of  $K_0$  may be needed.)

3. Next, using (again!) quasidiagonality of traces, choose an approximate homomorphism (unital, completely positive) into the fibre at each point at infinity, again approximately compatible with respect to traces and  $K_0$  (and the trace- $K_0$  map).

4. Using a general lemma, move the goalposts  
 (the target  $K_0$ -classes) so that a slightly modified  
 try-map exactly hits the  $K_0$  goalposts.

5. Using the same general lemma, get conclusion  
 of Step 2 for each line in the spectrum.

6. Using the same general lemma, modify each  
 of the maps in 5 and each of the point maps in  
 4 so that, at the level of  $K_0$  and traces, they are  
 exactly compatible.

7. Now all that has to be done is glue the  
 maps to the points at infinity - brought down to  
 the ends of the various lines, to the ~~go~~ maps  
 at the ends of these lines. Again, this can be  
 done using the same general lemma - or in fact  
<sup>(roughly speaking)</sup>  
 just Step 2. One connects these two maps, which  
 have the same  $K_0$  and traces, by a path, which  
 does not move much at the level of traces, and  
 sticks this in.

Even briefer (middle of the night!) summary.

(a) Get map  $T(C_1) \rightarrow T(A)$ , approximately compatible with  $K_0(A) \rightarrow K_0(C_1)$ .

(b) By a general lemma,

$$\begin{aligned} & (\text{first try at infinite points})_{K_0} - (\text{goalposts}) \\ & = (\text{adjustment to goalposts!}) - (\text{adjustment to try})_{K_0}. \end{aligned}$$

Moving subtracted terms to other side, and adding, and renormalizing, have

$$(\text{second try at infinite goalposts})_{K_0} = (\text{new goalposts}).$$

(c) Look at results of current try at infinite points at each end of each line — same  $K_0$  at each end. Make initial try at each of closely spaced points (using quasidiagonality of traces) along each line. By another lemma,

$$\begin{aligned} & (\text{results on right, resp. left (same), of current try})_{K_0} \\ & \quad - (\text{tries at other points on line})_{K_0} \\ & = (\text{adjustment of tries at other points})_{K_0} \\ & \quad - (\text{results on right, resp. left (same), ends of new adjustment at infinite points})_{K_0}. \end{aligned}$$

Moving terms, adding, and renormalizing as before, have  $K_0$  of new tries at all chosen points of each line, together with  $K_0$  of new (fixed) results at both ends, equal.

(d) Now just glue. (Connect ends and close points along each line.)

## General lemmas

I Basic (for everything). Let  $A$  be a unital separable simple amenable (= nuclear) quasidiagonal  $C^*$ -algebra satisfying the UCT. Assume  $A \cong A \otimes Q$ . Let a finite subset  $G \subseteq A$  and  $\epsilon_1, \epsilon_2 > 0$  be given. Let  $p_1, p_2, \dots, p_s \in \text{Proj}_0(A)$  be projections such that  $[1], [p_1], [p_2], \dots, [p_s]$  are  $Q$ -independent in  $A$ . Then there are a  $G$ - $\epsilon_1$ -multiplicative c.p.c. map  $\sigma: A \rightarrow Q \otimes K$  with  $\sigma(1)$  a projection satisfying

$$\text{tr}(\sigma(1)) < \epsilon_2,$$

and a  $\delta > 0$ , such that, for any  $r_1, \dots, r_s \in Q$  with  $|r_i| < \delta$ ,  $i = 1, \dots, s$ ,

there is a  $G$ - $\epsilon_1$ -multiplicative c.p.c. map

$\mu: A \rightarrow Q \otimes K$  such that  $\mu(1) = \sigma(1)$  and

$$[\sigma(p_i)] - [\mu(p_i)] = r_i, \quad i = 1, \dots, s.$$

(Proof uses all hypotheses.)

II (for (b) — moving goalposts at infinity). Let  $A$  be as in I. Let  $G \leq A$ ,  $\epsilon_1, \epsilon_2 > 0$ , and  $p_1, p_2, \dots, p_s \in \text{Proj}_\infty(A)$  be as in I. Then there is  $\delta > 0$  with the following property.

Let  $\gamma_0, \gamma_1 : Q^l \rightarrow Q^n$  be two unital homomorphisms, and set

$$\mathbb{D} = \{x \in Q^l; (\gamma_0)_*(x) = (\gamma_1)_*(x)\} \subseteq Q^l.$$

There exists a  $G$ - $\epsilon_1$ -multiplicative c.p.c. map

$$\Sigma : A \rightarrow Q^l$$

satisfying

$$\text{trace}\{\Sigma(I_A), \gamma_0 \circ \Sigma(I_A), \gamma_1 \circ \Sigma(I_A)\} < \epsilon_2, \text{ and}$$

$$[\Sigma(I_A)], [\Sigma(p_i)] \in \mathbb{D}, \quad i=1, \dots, s,$$

such that, for any  $r_1, r_2, \dots, r_s \in Q^l$  with

$$\|r_i\|_\infty < \delta, \quad i=1, \dots, s,$$

there is a  $G$ - $\epsilon_1$ -multiplicative c.p.c. map

$$\mu : A \rightarrow Q^l \text{ such that } [\mu(I_A)] = \Sigma(I_A) \text{ and}$$

$$[\Sigma(p_i)] - [\mu(p_i)] = r_i, \quad i=1, \dots, s.$$

III (for (c) — matching infinite points with lines).  
 Same as II, except that now  $r_1, r_2, \dots, r_s \in \mathbb{Q}^n$ ,  
 $\mu$  maps  $A$  to  $\mathbb{Q}^n$ ,

$$[\varphi_0 \circ \Sigma(l_A)] = [\varphi_1 \circ \Sigma(l_A)] = [\mu(l_A)],$$

and

$$\begin{aligned} [\varphi_0 \circ \Sigma(p_i)] - [\mu(p_i)] &= [\varphi_1 \circ \Sigma(p_i)] - [\mu(p_i)] \\ &= r_i, \quad i = 1, 2, \dots, s. \end{aligned}$$

Important uniqueness lemma.

Let  $A$  be a simple, unital, separable  $\mathbb{C}^*$ -algebra satisfying the UCT. For any finite subset  $F \subseteq A$  and any  $\epsilon > 0$ , there exist  $n \in \mathbb{N}$ , a finite subset  $G \subseteq A$ , a finite subset  $P \in \text{Proj}_\infty(A)$ , and  $\delta > 0$  with the following property.

For any three c.p.c. maps  $\varphi, \eta, \xi: A \rightarrow Q$  which are  $G$ - $\delta$ -multiplicative, with

$$\varphi(1) = \eta(1) = 1_Q - \xi(1) \text{ a projection,}$$

$$[\varphi(p)] = [\eta(p)] \text{ for all } p \in P, \text{ and}$$

$$\text{tr}(\varphi(1)) = \text{tr}(\eta(1)) < 1/n,$$

there exists a unitary  $u \in Q$  such that

$$\|u^*(\varphi(a) \otimes \xi(a))u - \eta(a) \otimes \xi(a)\| < \epsilon, \quad a \in F.$$