

The Balian-Low Theorem and Vector Bundles

Ulrik Enstad

University of Oslo

07.12.2017

Work in progress with Franz Luef

Background

- Gabor frames \subseteq harmonic analysis.

- Gabor frames \subseteq harmonic analysis.
- Extension to locally compact (Hausdorff) Abelian groups G : \widehat{G} represents frequency, Haar measure gives us integration, Fourier transform, etc.

- Gabor frames \subseteq harmonic analysis.
- Extension to locally compact (Hausdorff) Abelian groups G : \widehat{G} represents frequency, Haar measure gives us integration, Fourier transform, etc.
- Balian-Low Theorem and vector bundles: Explored by R. Balan, 2001.

- Gabor frames \subseteq harmonic analysis.
- Extension to locally compact (Hausdorff) Abelian groups G : \widehat{G} represents frequency, Haar measure gives us integration, Fourier transform, etc.
- Balian-Low Theorem and vector bundles: Explored by R. Balan, 2001.
- M. Rieffel/F. Luef: Projective modules over noncommutative tori.

Classical Gabor frames

Classical Gabor frames

- Given $\eta \in L^2(\mathbb{R})$ and $\alpha, \beta > 0$, let

$$\eta_{k,l}(x) = e^{2\pi i \beta l x} \eta(x - \alpha k).$$

Classical Gabor frames

- Given $\eta \in L^2(\mathbb{R})$ and $\alpha, \beta > 0$, let

$$\eta_{k,l}(x) = e^{2\pi i \beta l x} \eta(x - \alpha k).$$

Definition

$\{\eta_{k,l}\}_{k,l \in \mathbb{Z}}$ is called a *Gabor frame* if there exist constants $C, D \geq 0$ such that

$$C\|\xi\|^2 \leq \sum_{k,l \in \mathbb{Z}} |\langle \xi, \eta_{k,l} \rangle|^2 \leq D\|\xi\|^2$$

for every $\xi \in L^2(\mathbb{R})$.

Classical Gabor frames

- Given $\eta \in L^2(\mathbb{R})$ and $\alpha, \beta > 0$, let

$$\eta_{k,l}(x) = e^{2\pi i \beta l x} \eta(x - \alpha k).$$

Definition

$\{\eta_{k,l}\}_{k,l \in \mathbb{Z}}$ is called a *Gabor frame* if there exist constants $C, D \geq 0$ such that

$$C \|\xi\|^2 \leq \sum_{k,l \in \mathbb{Z}} |\langle \xi, \eta_{k,l} \rangle|^2 \leq D \|\xi\|^2$$

for every $\xi \in L^2(\mathbb{R})$.

- For every $\xi \in L^2(\mathbb{R})$, we have that

$$\xi = \sum_{k,l \in \mathbb{Z}} \langle S^{-1} \xi, \eta_{k,l} \rangle \eta_{k,l}.$$

The Balian-Low Theorem

The Balian-Low Theorem

- Let $\xi(x) = e^{-\pi x^2}$ and $\eta = \chi_{[0,1]}$. Then $\{\xi_{k,l}\}_{k,l}$ is a Gabor frame for all $0 < \alpha\beta < 1$ but not $\alpha\beta = 1$. $\{\eta_{k,l}\}_{k,l}$ is a Gabor frame for $\alpha\beta = 1$.

The Balian-Low Theorem

- Let $\xi(x) = e^{-\pi x^2}$ and $\eta = \chi_{[0,1]}$. Then $\{\xi_{k,l}\}_{k,l}$ is a Gabor frame for all $0 < \alpha\beta < 1$ but not $\alpha\beta = 1$. $\{\eta_{k,l}\}_{k,l}$ is a Gabor frame for $\alpha\beta = 1$.

Theorem (R. Balian, F. Low)

Let $\eta \in L^2(\mathbb{R})$. Suppose that

- 1 $\alpha\beta = 1$.
- 2 η is “nice”: $\eta \in \mathcal{S}_0(\mathbb{R})$.

Then $\{\eta_{k,l} : k, l \in \mathbb{Z}\}$ is not a Gabor frame.

The Balian-Low Theorem

- Let $\xi(x) = e^{-\pi x^2}$ and $\eta = \chi_{[0,1]}$. Then $\{\xi_{k,l}\}_{k,l}$ is a Gabor frame for all $0 < \alpha\beta < 1$ but not $\alpha\beta = 1$. $\{\eta_{k,l}\}_{k,l}$ is a Gabor frame for $\alpha\beta = 1$.

Theorem (R. Balian, F. Low)

Let $\eta \in L^2(\mathbb{R})$. Suppose that

- 1 $\alpha\beta = 1$.
- 2 η is “nice”: $\eta \in \mathcal{S}_0(\mathbb{R})$.

Then $\{\eta_{k,l} : k, l \in \mathbb{Z}\}$ is not a Gabor frame.

- Moral: When working with Gabor frames at the critical density $\alpha\beta = 1$, we cannot expect well-behaved Gabor atoms η .

Gabor frames in $L^2(G)$

For the rest of the talk, G is a locally compact (Hausdorff) Abelian group.

Gabor frames in $L^2(G)$

For the rest of the talk, G is a locally compact (Hausdorff) Abelian group.

- For $x \in G$ and $\omega \in \widehat{G}$, define operators on $L^2(G)$ by

$$T_x \xi(t) = \xi(x^{-1}t) \qquad M_\omega \xi(t) = \omega(t)\xi(t).$$

Set $\pi(x, \omega) = M_\omega T_x$.

Gabor frames in $L^2(G)$

For the rest of the talk, G is a locally compact (Hausdorff) Abelian group.

- For $x \in G$ and $\omega \in \widehat{G}$, define operators on $L^2(G)$ by

$$T_x \xi(t) = \xi(x^{-1}t) \qquad M_\omega \xi(t) = \omega(t)\xi(t).$$

Set $\pi(x, \omega) = M_\omega T_x$.

- Given a discrete set $\Delta \subseteq G \times \widehat{G}$ and $\eta \in L^2(G)$, we call

$$\mathcal{G}(\eta, \Delta) = \{\pi(z)\eta : z \in \Delta\}$$

the *Gabor system* over Δ with *atom* η .

Gabor frames in $L^2(G)$

For the rest of the talk, G is a locally compact (Hausdorff) Abelian group.

- For $x \in G$ and $\omega \in \widehat{G}$, define operators on $L^2(G)$ by

$$T_x \xi(t) = \xi(x^{-1}t) \qquad M_\omega \xi(t) = \omega(t)\xi(t).$$

Set $\pi(x, \omega) = M_\omega T_x$.

- Given a discrete set $\Delta \subseteq G \times \widehat{G}$ and $\eta \in L^2(G)$, we call

$$\mathcal{G}(\eta, \Delta) = \{\pi(z)\eta : z \in \Delta\}$$

the *Gabor system* over Δ with *atom* η .

- If there exist $C, D \geq 0$ such that

$$C\|\xi\|^2 \leq \sum_{z \in \Delta} |\langle \xi, \pi(z)\eta \rangle|^2 \leq D\|\xi\|^2$$

for all $\xi \in L^2(G)$, then $\mathcal{G}(\eta, \Delta)$ is called a *Gabor frame*.

Balian-Low for $L^2(G)$

Balian-Low for $L^2(G)$

- A closed subgroup H of G is a *uniform lattice* in G if H is discrete and G/H is compact.

Balian-Low for $L^2(G)$

- A closed subgroup H of G is a *uniform lattice* in G if H is discrete and G/H is compact.
- The *annihilator* of H is the set H^\perp of $\omega \in \widehat{G}$ such that $\omega(h) = 1$ for every $h \in H$.

Balian-Low for $L^2(G)$

- A closed subgroup H of G is a *uniform lattice* in G if H is discrete and G/H is compact.
- The *annihilator* of H is the set H^\perp of $\omega \in \widehat{G}$ such that $\omega(h) = 1$ for every $h \in H$.

Theorem?

Let G be a locally compact Abelian group, and let H be a uniform lattice in G . If $\eta \in S_0(G)$, then $\mathcal{G}(\eta, H \times H^\perp)$ is not a Gabor frame for $L^2(G)$.

Balian-Low for $L^2(G)$

- A closed subgroup H of G is a *uniform lattice* in G if H is discrete and G/H is compact.
- The *annihilator* of H is the set H^\perp of $\omega \in \widehat{G}$ such that $\omega(h) = 1$ for every $h \in H$.

Theorem?

Let G be a locally compact Abelian group, and let H be a uniform lattice in G . If $\eta \in S_0(G)$, then $\mathcal{G}(\eta, H \times H^\perp)$ is not a Gabor frame for $L^2(G)$.

Example (Failure of general BL)

Let D be a discrete group and set $G = D \times \widehat{D}$, $H = D \times \{e\}$. Set $\eta = \chi_{\{e\} \times \widehat{D}}$. Then $\eta \in S_0(G)$, and $\mathcal{G}(\eta, H \times H^\perp)$ is an orthonormal basis for $L^2(G)$.

The Zak Transform

The Zak Transform

- For $\xi \in L^2(G)$, the function $Z_H\xi : G \times \widehat{G} \rightarrow \mathbb{C}$ given by

$$Z_H\xi(x, \omega) = \sum_{h \in H} \xi(h^{-1}x)\omega(h).$$

is called the *Zak transform* of ξ .

The Zak Transform

- For $\xi \in L^2(G)$, the function $Z_H\xi : G \times \widehat{G} \rightarrow \mathbb{C}$ given by

$$Z_H\xi(x, \omega) = \sum_{h \in H} \xi(h^{-1}x)\omega(h).$$

is called the *Zak transform* of ξ .

- The Zak transform $F = Z_H\xi$ satisfies the following *quasiperiodic* property:

$$F(xh, \omega\tau) = \overline{\tau(h)}F\xi(x, \omega) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp. \quad (1)$$

The Zak Transform

- For $\xi \in L^2(G)$, the function $Z_H\xi : G \times \widehat{G} \rightarrow \mathbb{C}$ given by

$$Z_H\xi(x, \omega) = \sum_{h \in H} \xi(h^{-1}x)\omega(h).$$

is called the *Zak transform* of ξ .

- The Zak transform $F = Z_H\xi$ satisfies the following *quasiperiodic* property:

$$F(xh, \omega\tau) = \overline{\tau(h)}F\xi(x, \omega) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp. \quad (1)$$

- Gröchenig 1998: $\mathcal{G}(\eta, H \times H^\perp)$ is a Gabor frame if and only if there exist $0 < A, B < \infty$ such that

$$A \leq |Z_H\eta(x, \omega)| \leq B$$

almost everywhere.

The Zak Transform

- For $\xi \in L^2(G)$, the function $Z_H\xi : G \times \widehat{G} \rightarrow \mathbb{C}$ given by

$$Z_H\xi(x, \omega) = \sum_{h \in H} \xi(h^{-1}x)\omega(h).$$

is called the *Zak transform* of ξ .

- The Zak transform $F = Z_H\xi$ satisfies the following *quasiperiodic* property:

$$F(xh, \omega\tau) = \overline{\tau(h)} F\xi(x, \omega) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp. \quad (1)$$

- Gröchenig 1998: $\mathcal{G}(\eta, H \times H^\perp)$ is a Gabor frame if and only if there exist $0 < A, B < \infty$ such that

$$A \leq |Z_H\eta(x, \omega)| \leq B$$

almost everywhere.

- If $\xi \in S_0(G)$, then $Z_H\xi$ is continuous and bounded. Hence, $\mathcal{G}(\eta, H \times H^\perp)$ is NOT a Gabor frame if and only if $Z_H\xi$ has a zero.

The vector bundle

The vector bundle

- Denote by $E = E_{G,H}$ the quotient of $G \times \widehat{G} \times \mathbb{C}$ by the equivalence relation generated by

$$(x, \omega, \lambda) \sim (xh, \omega\tau, \omega(h)\lambda) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp.$$

The vector bundle

- Denote by $E = E_{G,H}$ the quotient of $G \times \widehat{G} \times \mathbb{C}$ by the equivalence relation generated by

$$(x, \omega, \lambda) \sim (xh, \omega\tau, \omega(h)\lambda) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp.$$

The vector bundle

- Denote by $E = E_{G,H}$ the quotient of $G \times \widehat{G} \times \mathbb{C}$ by the equivalence relation generated by

$$(x, \omega, \lambda) \sim (xh, \omega\tau, \omega(h)\lambda) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp.$$

- Get line bundle E over the compact space $G/H \times \widehat{G}/H^\perp$.

The vector bundle

- Denote by $E = E_{G,H}$ the quotient of $G \times \widehat{G} \times \mathbb{C}$ by the equivalence relation generated by

$$(x, \omega, \lambda) \sim (xh, \omega\tau, \omega(h)\lambda) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp.$$

- Get line bundle E over the compact space $G/H \times \widehat{G}/H^\perp$.
- Then $\Gamma(E)$ can be identified as the continuous maps $F : G \times \widehat{G} \rightarrow \mathbb{C}$ satisfying (1).

The vector bundle

- Denote by $E = E_{G,H}$ the quotient of $G \times \widehat{G} \times \mathbb{C}$ by the equivalence relation generated by

$$(x, \omega, \lambda) \sim (xh, \omega\tau, \omega(h)\lambda) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp.$$

- Get line bundle E over the compact space $G/H \times \widehat{G}/H^\perp$.
- Then $\Gamma(E)$ can be identified as the continuous maps $F : G \times \widehat{G} \rightarrow \mathbb{C}$ satisfying (1).
- A line bundle is trivial if and only if it has a nonvanishing continuous section.

The vector bundle

- Denote by $E = E_{G,H}$ the quotient of $G \times \widehat{G} \times \mathbb{C}$ by the equivalence relation generated by

$$(x, \omega, \lambda) \sim (xh, \omega\tau, \omega(h)\lambda) \quad ; \quad x \in G, \omega \in \widehat{G}, h \in H, \tau \in H^\perp.$$

- Get line bundle E over the compact space $G/H \times \widehat{G}/H^\perp$.
- Then $\Gamma(E)$ can be identified as the continuous maps $F : G \times \widehat{G} \rightarrow \mathbb{C}$ satisfying (1).
- A line bundle is trivial if and only if it has a nonvanishing continuous section.

Theorem

Let G be a locally compact Abelian group, and let H be a uniform lattice in G . Then the vector bundle E is nontrivial if and only if for every $\eta \in L^2(G)$ the following implication holds: Whenever $Z_H \eta$ is continuous, then $\mathcal{G}(\eta, H \times H^\perp)$ is not a Gabor frame.

Twisted group C^* -algebras

Twisted group C^* -algebras

- The map $\pi(x, \omega) = M_\omega T_x$ satisfies

$$\pi(x, \omega)\pi(y, \tau) = \overline{\tau(x)}\pi(xy, \omega\tau).$$

where $c((x, \omega), (y, \tau)) = \overline{\tau(x)}$ is a 2-cocycle on $G \times \widehat{G}$.

Twisted group C^* -algebras

- The map $\pi(x, \omega) = M_\omega T_x$ satisfies

$$\pi(x, \omega)\pi(y, \tau) = \overline{\tau(x)}\pi(xy, \omega\tau).$$

where $c((x, \omega), (y, \tau)) = \overline{\tau(x)}$ is a 2-cocycle on $G \times \widehat{G}$.

- Given a discrete subgroup $\Delta \subseteq G \times \widehat{G}$, let $A_0 = L^1(\Delta, c)$ be the c -twisted convolution algebra with operations

$$(f * g)(z) = \sum_{w \in \Delta} f(w)g(w^{-1}z)c(w, w^{-1}z) \quad f^*(z) = \overline{c(z, z^{-1})f(z^{-1})}$$

Twisted group C^* -algebras

- The map $\pi(x, \omega) = M_\omega T_x$ satisfies

$$\pi(x, \omega)\pi(y, \tau) = \overline{\tau(x)}\pi(xy, \omega\tau).$$

where $c((x, \omega), (y, \tau)) = \overline{\tau(x)}$ is a 2-cocycle on $G \times \widehat{G}$.

- Given a discrete subgroup $\Delta \subseteq G \times \widehat{G}$, let $A_0 = L^1(\Delta, c)$ be the c -twisted convolution algebra with operations

$$(f * g)(z) = \sum_{w \in \Delta} f(w)g(w^{-1}z)c(w, w^{-1}z) \quad f^*(z) = \overline{c(z, z^{-1})f(z^{-1})}$$

- Let $A = C^*(\Delta, c)$ be the corresponding c -twisted group C^* -algebra.

Twisted group C^* -algebras

- The map $\pi(x, \omega) = M_\omega T_x$ satisfies

$$\pi(x, \omega)\pi(y, \tau) = \overline{\tau(x)}\pi(xy, \omega\tau).$$

where $c((x, \omega), (y, \tau)) = \overline{\tau(x)}$ is a 2-cocycle on $G \times \widehat{G}$.

- Given a discrete subgroup $\Delta \subseteq G \times \widehat{G}$, let $A_0 = L^1(\Delta, c)$ be the c -twisted convolution algebra with operations

$$(f * g)(z) = \sum_{w \in \Delta} f(w)g(w^{-1}z)c(w, w^{-1}z) \quad f^*(z) = \overline{c(z, z^{-1})f(z^{-1})}$$

- Let $A = C^*(\Delta, c)$ be the corresponding c -twisted group C^* -algebra.
- We obtain the integrated representation $\Pi : C^*(\Delta, c) \rightarrow \mathcal{B}(L^2(G))$ given by

$$\Pi(f) = \sum_{z \in \Delta} f(z)\pi(z).$$

Modules over $C^*(\Delta, c)$

- M. Rieffel (1988)/F. Luef (2008): For $f \in L^1(\Delta, c)$ and $\xi, \eta \in S_0(G)$, the equations

$$f \cdot \xi = \Pi(f)\xi$$
$$\bullet \langle \xi, \eta \rangle (z) = \langle \xi, \pi(z)\eta \rangle$$

give $S_0(G)$ the structure of a pre-inner product A_0 -module \mathcal{E}_0 . Its completion \mathcal{E} is a finitely generated projective left Hilbert A -module.

- M. Rieffel (1988)/F. Luef (2008): For $f \in L^1(\Delta, c)$ and $\xi, \eta \in S_0(G)$, the equations

$$f \cdot \xi = \Pi(f)\xi$$

$$\bullet \langle \xi, \eta \rangle (z) = \langle \xi, \pi(z)\eta \rangle$$

give $S_0(G)$ the structure of a pre-inner product A_0 -module \mathcal{E}_0 . Its completion \mathcal{E} is a finitely generated projective left Hilbert A -module.

- Trace $\text{tr} : A \rightarrow \mathbb{C}$ given on A_0 by $\text{tr}(f) = f(e)$.

Module frames

Definition

A *module frame* in \mathcal{E} is a sequence $(\eta_j)_{j \in J}$ in \mathcal{E} such that there exist constants $C, D \geq 0$ with

$$C \bullet \langle \xi, \xi \rangle \leq \sum_{j \in J} \bullet \langle \xi, \eta_j \rangle^* \bullet \langle \xi, \eta_j \rangle \leq D \bullet \langle \xi, \xi \rangle$$

for all $\xi \in \mathcal{E}$.

Definition

A *module frame* in \mathcal{E} is a sequence $(\eta_j)_{j \in J}$ in \mathcal{E} such that there exist constants $C, D \geq 0$ with

$$C \cdot \langle \xi, \xi \rangle \leq \sum_{j \in J} \langle \xi, \eta_j \rangle^* \langle \xi, \eta_j \rangle \leq D \cdot \langle \xi, \xi \rangle$$

for all $\xi \in \mathcal{E}$.

- Restrict to a one-element module frame $\{\eta\}$. Taking traces, we obtain

$$C \|\xi\|^2 \leq \sum_{z \in \Delta} |\langle \xi, \pi(z)\eta \rangle|^2 \leq D \|\xi\|^2.$$

The critical density

The critical density

- When $\Delta = H \times H^\perp$, then $c|_{H \times H^\perp} = 1$.

The critical density

- When $\Delta = H \times H^\perp$, then $c|_{H \times H^\perp} = 1$.
- Hence

$$C^*(\Delta, c) \cong C(\widehat{H \times H^\perp}) \cong C(G/H \times \widehat{G}/H^\perp).$$

The critical density

- When $\Delta = H \times H^\perp$, then $c \upharpoonright_{H \times H^\perp} = 1$.

- Hence

$$C^*(\Delta, c) \cong C(\widehat{H \times H^\perp}) \cong C(G/H \times \widehat{G}/H^\perp).$$

- Thus, \mathcal{E} is a finitely generated projective module over the algebra of continuous functions on $G/H \times \widehat{G}/H^\perp$.

The critical density

- When $\Delta = H \times H^\perp$, then $c \upharpoonright_{H \times H^\perp} = 1$.
- Hence

$$C^*(\Delta, c) \cong C(\widehat{H \times H^\perp}) \cong C(G/H \times \widehat{G}/H^\perp).$$

- Thus, \mathcal{E} is a finitely generated projective module over the algebra of continuous functions on $G/H \times \widehat{G}/H^\perp$.
- By the Serre-Swan theorem, there exists a Hermitian vector bundle $E = E_{G,H} \rightarrow G/H \times \widehat{G}/H^\perp$ such that $\mathcal{E} \cong \Gamma(E)$ as left Hilbert C^* -modules.

The Zak transform as a map of modules

The Zak transform as a map of modules

- Equip $\Gamma(E)$ with the following $C(G/H \times \widehat{G}/H^\perp)$ -module structure and inner product: [Rieffel 1983: The case $G = \mathbb{R}$]

$$(f \cdot F)(x, \omega) = f([x], [\omega])F(x, \omega)$$

$$\bullet \langle F, G \rangle(x, \omega) = F(x, \omega) \overline{G(x, \omega)}$$

The Zak transform as a map of modules

- Equip $\Gamma(E)$ with the following $C(G/H \times \widehat{G}/H^\perp)$ -module structure and inner product: [Rieffel 1983: The case $G = \mathbb{R}$]

$$(f \cdot F)(x, \omega) = f([x], [\omega])F(x, \omega)$$

$$\langle F, G \rangle(x, \omega) = F(x, \omega)\overline{G(x, \omega)}$$

- The Zak transform $Z_H : S_0(G) \rightarrow \Gamma(E)$ is A -linear, and extends to an inner product preserving A -module homomorphism

$$Z_H : \mathcal{E} \rightarrow \Gamma(E).$$

The Zak transform as a map of modules

- Equip $\Gamma(E)$ with the following $C(G/H \times \widehat{G}/H^\perp)$ -module structure and inner product: [Rieffel 1983: The case $G = \mathbb{R}$]

$$(f \cdot F)(x, \omega) = f([x], [\omega])F(x, \omega)$$

$$\langle F, G \rangle(x, \omega) = F(x, \omega)\overline{G(x, \omega)}$$

- The Zak transform $Z_H : S_0(G) \rightarrow \Gamma(E)$ is A -linear, and extends to an inner product preserving A -module homomorphism

$$Z_H : \mathcal{E} \rightarrow \Gamma(E).$$

Module-theoretic proof of BL variant

Suppose $\eta \in S_0(G)$, and let H be a uniform lattice in G . Then if $E_{G,H}$ is nontrivial, then $\{\eta\}$ cannot be a module frame for $\mathcal{E}_{G,H}$.

- Investigate the situation where H is not necessarily a uniform lattice in G .
- Investigate more subgroups $\Delta \subset G \times \widehat{G}$ for which $C^*(\Delta, c)$ is commutative.

Thank you for your attention!