# STATIC CONTINUUM THEORY OF NEMATIC LIQUID CRYSTALS.

### **Dmitry Golovaty**

The University of Akron

June 11, 2015

<sup>&</sup>lt;sup>1</sup>Some images are from Google Earth and http://www.personal.kent.edu/ bisenyuk/liquidcrystals

# NEMATIC LIQUID CRYSTALS

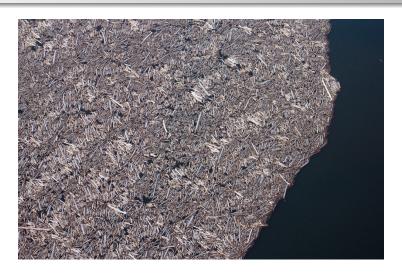


FIGURE: Logs in the Spirit Lake, Mt. St. Helens.

# NEMATIC LIQUID CRYSTALS



FIGURE: Logs in the Spirit Lake, Mt. St. Helens.

## DIRECTOR-BASED THEORY

Suppose that a nematic occupies a domain  $\Omega \subset \mathbb{R}^3$  and  $\mathbf{n}: \Omega \to \mathbb{S}^2$ . The *director* field  $\mathbf{n}(\mathbf{x})$  represents local orientation of nematic molecules near  $\mathbf{x} \in \Omega$ .

To formulate a continuum variational theory, need a functional space and an energy functional that take into account

- Elastic distortions of the director field  $\mathbf{n}$  in  $\Omega$
- Interactions of the nematic with the walls of the container, i.e. the boundary or *anchoring* conditions satisfied by the director field  $\bf n$  on  $\partial\Omega$ .

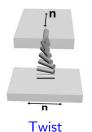
<u>Note:</u> Additional effects (magnetic field, etc.) can be taken into account—beyond the scope of this talk.

#### OSEEN-FRANK MODEL

Oseen-Frank elastic energy density (Frank, 1958):

$$f_{OF}(\mathbf{n}, \nabla \mathbf{n}) := \frac{K_1}{2} (\operatorname{div} \mathbf{n})^2 + \frac{K_2}{2} (\operatorname{curl} \mathbf{n} \cdot \mathbf{n})^2 + \frac{K_3}{2} |\operatorname{curl} \mathbf{n} \times \mathbf{n}|^2 + \frac{K_2 + K_4}{2} \left( \operatorname{tr} (\nabla \mathbf{n})^2 - (\operatorname{div} \mathbf{n})^2 \right)$$



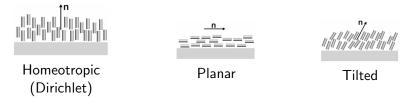




#### Anchoring Conditions

Controlled, e.g., by mechanical treatment or use of surfactants. Two possible types of boundary conditions:

Strong anchoring:



• Weak anchoring via a surface energy density term, e.g.:

$$f_{OF}^{s}(\mathbf{n}, \nu) = \gamma(\mathbf{n} \cdot \nu)^{2} \text{ or } f_{OF}^{s}(\mathbf{n}, \nu) = \gamma((\mathbf{n} \cdot \nu)^{2} - \cos^{2} \alpha)^{2}$$

where  $\nu$  is an outward unit normal to  $\partial\Omega$ . The first expression is a Rapini-Papoular surface energy density.

# VARIATIONAL PROBLEM (STRONG ANCHORING):

Minimize

$$F_{OF}[\mathbf{n}] := \int_{\Omega} \left\{ \frac{K_1}{2} (\operatorname{div} \mathbf{n})^2 + \frac{K_2}{2} (\operatorname{curl} \mathbf{n} \cdot \mathbf{n})^2 + \frac{K_3}{2} |\operatorname{curl} \mathbf{n} \times \mathbf{n}|^2 + \frac{K_2 + K_4}{2} \left( \operatorname{tr} \left( \nabla \mathbf{n} \right)^2 - (\operatorname{div} \mathbf{n})^2 \right) \right\}$$

in  $H^1\left(\Omega,\mathbb{S}^2\right)$  subject to the appropriate boundary data, i.e.,  $\mathbf{n}|_{\partial\Omega}=\nu$  for the homeotropic anchoring.

(Hardt, Kinderlehrer and Lin, 1986) For the positive  $K_1$ ,  $K_2$ ,  $K_3$  global minimizers of  $F_{OF}$  exist among all maps in  $H^1\left(\Omega,\mathbb{S}^2\right)$  subject to Lipschitz,  $\mathbb{S}^2$ -valued Dirichlet boundary data. Any minimizer is smooth except for a closed set of Hausdorff dimension strictly less than 1.

#### Facts:

• When  $K_1 = K_2 = K_3 = K$  and  $K_4 = 0$ , the Oseen-Frank energy reduces to the Dirichlet integral

$$F_{OF}[\mathbf{n}] = K \int_{\Omega} |\nabla \mathbf{n}|^2.$$

- The saddle-splay term is a null Lagrangian, i.e., its integral over  $\Omega$  depends only on the boundary data  $\to$  this term reduces to a constant for Dirichlet boundary conditions on  $\mathbf{n}$ .
- Any configuration with a line singularity (observed experimentally), e.g.,

$$\mathbf{n}(\mathbf{x}) = (x/\sqrt{x^2 + y^2}, y/\sqrt{x^2 + y^2}, 0)$$

has an infinite energy.

Inability to model line defects with a finite energy within OF theory can be addressed by

- Moving to higher-dimensional order parameters (Ericksen's theory for nematics with variable degree of orientations, Landau-de Gennes theory)
- Making suitable modifications to the energy functional and the class of admissible maps (Ball and Bedford, 2014)—e.g., replacing  $|\nabla \mathbf{n}|^2$  with  $|\nabla \mathbf{n}|^p$ , where  $1 and/or allowing <math>\mathbf{n}$  to jump across surfaces by assuming that  $\mathbf{n} \in SBV\left(\Omega,\mathbb{S}^2\right)$ . The second modification addresses another shortcoming of director-based theories, the issue of orientability.

<u>Note:</u> Any version of a continuum theory based on a single vector field only works for <u>uniaxial</u> nematics and does not allow to model <u>biaxiality</u>.

#### NEMATICS WITH VARIABLE DEGREE OF ORIENTATION

To allow for line defects, Ericksen (1991) proposed to supplement  $\mathbf{n}$  with a scalar field  $s:\Omega\to\left(-\frac{1}{2},1\right)$  to describe the degree of local orientational order.

Simplified version of the energy functional:

$$F_{E}[s,\mathbf{n}] := \int_{\Omega} \left\{ K_{s} |\nabla s|^{2} + K_{n} s^{2} |\nabla \mathbf{n}|^{2} + W(s,T) \right\}$$

Here

$$\min_{s \in \left(-\frac{1}{2}, 1\right)} W(s, T) = W(s_0(T), T) = 0$$

and

$$\lim_{s\to -1/2}W(s,T)=\lim_{s\to 1}W(s,T)=\infty.$$

The model allows for a phase transition between nematic and isotropic states:

where  $T_c \in \mathbb{R}$  is a critical temperature.

If  $K_s = K_n = K$ , set  $\mathbf{u} = s\mathbf{n}$  then

$$F_{E}[\mathbf{u}] = \int_{\Omega} K |\nabla \mathbf{u}|^2 + W(|\mathbf{u}|, T)$$

- Ginzburg-Landau model.

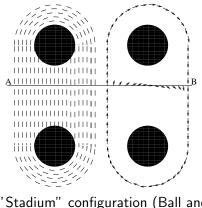
<u>Note:</u> As formulated, Ericksen's model does not resolve orientability issue and it cannot be used to model biaxiality.

#### **ORIENTABILITY**

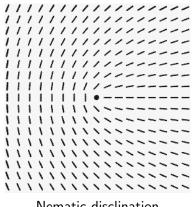
Experimental fact: Probability of finding the head of a molecule pointing in a given directions is equal to the probability of finding the tail of a molecule pointing in the same direction.

Consequence:  $\mathbf{n}(\mathbf{x}) = \mathbf{n}_0$  and  $\mathbf{n}(\mathbf{x}) = -\mathbf{n}_0$  for some  $\mathbf{n}_0 \in \mathbb{S}^2$  correspond to the same nematic state at  $\mathbf{x}$ . The tensor field  $\mathbf{n} \otimes \mathbf{n}$  (possibly, translated and/or dilated) is, however, invariant under inversion  $\mathbf{n} \to -\mathbf{n}$ .

<u>Conclusion</u>: Local orientation of nematic molecules is described by a line field with values in  $\mathbb{RP}^2$  and not a vector field with values in  $\mathbb{S}^2$ . The classical OF theory will give incorrect predictions when a minimizing line field is not orientable (Ball and Zarnescu, 2010).



"Stadium" configuration (Ball and Zarnescu, 2010)



Nematic disclination

# Q-TENSOR THEORY

Let  $\Omega \subset \mathbb{R}^3$  and  $\rho(\mathbf{n}, x)$  be a pdf of molecular orientations at  $x \in \Omega$ , where  $\mathbf{n} \in \mathbb{S}^2$ .

Since head and tail are equiprobable  $\Longrightarrow \rho(-\mathbf{n},x) = \rho(\mathbf{n},x)$  and the first moment of  $\rho$  vanishes.

Nontrivial information about LC configuration at  $\boldsymbol{x}$  is given by the second moment

$$M(x) = \int_{\mathbb{S}^2} (\mathbf{n} \otimes \mathbf{n}) \rho(\mathbf{n}, x) d\mathbf{n}$$

Note:  $M^T(x) = M(x)$  and  $\operatorname{tr} M(x) = 1$  for all  $x \in \Omega$ .

LC is isotropic at x if  $\rho(\mathbf{n},x) \equiv \frac{1}{4\pi} \Longrightarrow M(x) = M_{iso} = \frac{1}{3}I$ .

Q-tensor:  $Q(x) = M(x) - M_{iso}$  so that Q vanishes in the isotropic state.

# Nematic Q-tensor

 $Q \in M_{sym}^{3 \times 3}$  is a traceless tensor  $\Rightarrow$  eigenvalues satisfy  $\lambda_1 + \lambda_2 + \lambda_3 = 0$  with a mutually orthonormal eigenframe  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ .

*Uniaxial nematic:* repeated nonzero eigenvalues  $\lambda_1 = \lambda_2 \Rightarrow Q = S\left(\mathbf{n} \otimes \mathbf{n} - \frac{1}{3}\mathbf{I}\right)$ , where  $S := \frac{3\lambda_3}{2}$  is the uniaxial nematic order parameter and  $\mathbf{n} \in \mathbf{S}^2$  is the nematic director.

*Biaxial nematic:* no repeated eigenvalues  $\Rightarrow$   $Q = S_1 \left( \mathbf{e}_1 \otimes \mathbf{e}_1 - \frac{1}{3} \mathbf{I} \right) + S_3 \left( \mathbf{e}_3 \otimes \mathbf{e}_3 - \frac{1}{3} \mathbf{I} \right)$ , where  $S_1 := 2\lambda_1 + \lambda_3$  and  $S_3 = \lambda_1 + 2\lambda_3$  are biaxial order parameters.

*Isotropic:* all eigenvalues are equal zero  $\Rightarrow Q = 0$ .

By construction,  $\lambda_i \in \left[-\frac{1}{3}, \frac{2}{3}\right]$ , where i = 1, 2, 3.

#### Landau-de Gennes Model

#### Bulk elastic energy density:

$$f_{e}(Q,\nabla Q):=\frac{L_{1}}{2}|\nabla Q|^{2}+\frac{L_{2}}{2}Q_{ik,j}Q_{ij,k}+\frac{L_{3}}{2}Q_{ij,j}Q_{ik,k}+\frac{L_{4}}{2}Q_{lk}Q_{ij,k}Q_{ij,l}$$

#### Bulk Landau-de Gennes energy density:

$$f_{LdG}(Q) := a \operatorname{tr}\left(Q^2\right) + \frac{2b}{3} \operatorname{tr}\left(Q^3\right) + \frac{c}{2} \left(\operatorname{tr}\left(Q^2\right)\right)^2$$

Here a(T) is temperature-dependent, c>0, and  $f_{LdG}\geq 0$  by adding an appropriate constant. Function of eigenvalues of Q only. Depending on T, minimum is either isotropic or nematic w/specific s.

Surface energy density (Either strong or weak anchoring):

$$f_s(Q) := f(Q, \nu)$$

on the boundary of the container and  $\nu \in \mathbb{S}^2$  is a normal to the surface of the liquid crystal.

#### Remarks:

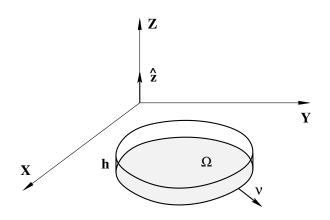
• When  $L_4 \neq 0$ , the energy

$$F_{LdG}[Q] = \int_{\Omega} f_{e}(Q, \nabla Q) + f_{LdG}(Q)$$

is unbounded from below. The existence of the global minimizer can be established, subject to constraints on  $L_i$ ,  $i=1,\ldots,4$  if the potential term is modified according to the Ericksen's idea (Ball and Majumdar (2009)).

• When  $L_4=0$ , the functional is coercive subject to constraints on  $L_i,\ i=1,2,3$  (Gartland and Davis (1998), Longa et al (1987)). However, in this case, two of the three elastic constants in a Oseen-Frank reduction (when  $Q=s\left(\mathbf{n}\otimes\mathbf{n}-\frac{1}{3}I\right)$  with a constant s and  $|\mathbf{n}|=1$ ) must be equal.

### NEMATIC FILM



 ${\bf Figure:}$  Geometry of the problem.

Here  $\Omega \subset \mathbf{R}^2$  and h > 0 is small.

Nematic energy functional:

$$E[Q] := \int_{\Omega \times [0,h]} \left\{ f_{\mathsf{e}}(Q, \nabla Q) + f_{\mathsf{LdG}}(Q) \right\} \, dV + \int_{\Omega \times \{0,h\}} f_{\mathsf{s}}(Q, \hat{z}) \, dA$$

Uniaxial data on the lateral boundary of the film:

$$Q|_{\partial\Omega\times[0,h]}=g\in H^{1/2}(\partial\Omega;\mathcal{A}).$$

Admissible class:

$$\mathcal{C}_{h}^{g}:=\left\{Q\in H^{1}\left(\Omega\times\left[0,h\right];\mathcal{A}\right):Q|_{\partial\Omega\times\left[0,h\right]}=g\right\},$$

where A is the set of three-by-three symmetric traceless matrices.

#### OSIPOV-HESS SURFACE ENERGY

"Bare" surface energy (Osipov-Hess):

$$f_s(Q, \nu) := c_1(Q\nu \cdot \nu) + c_2Q \cdot Q + c_3(Q\nu \cdot \nu)^2 + c_4|Q\nu|^2$$

where  $c_i$ , i = 1, ..., 4 are constants.

Observe that:

$$Q \cdot Q = 2|Q\nu|^2 - (Q\nu \cdot \nu)^2 + Q_2 \cdot Q_2,$$

where  $Q_{2}\in M_{sym}^{2 imes2}$  is a nonzero square block of  $\left(\mathbf{I}u\otimes
u\right)Q\left(\mathbf{I}u\otimes
u\right)$ .

The traceless condition for Q:

$$tr Q_2 + x \cdot \nu = 0$$

where  $x := Q\nu \in \mathbf{R}^3$ .

In terms of x and  $Q_2$ :

$$f_s(Q, \nu) = c_1(x \cdot \nu) + c_2Q_2 \cdot Q_2 + (c_3 - c_2)(x \cdot \nu)^2 + (2c_2 + c_4)|x|^2$$

This expression has a family of surface-energy-minimizing tensors that is

- parameterized by at least one free eigenvalue
- onormal to the surface of the liquid crystal is an eigenvector

as long as  $c_2=0, \ \alpha=c_3+c_4>0, \ \text{and} \ \gamma=c_4>0.$  Then the surface energy has the form

$$f_s(Q, \nu) = \alpha \left[ (Q\nu \cdot \nu) - \beta \right]^2 + \gamma |(\mathbf{I} - \nu \otimes \nu) Q\nu|^2$$

where 
$$\beta = -\frac{c_1}{2(c_3 + c_4)}$$
.

### NONDIMENSIONALIZATION

Let  $L_4 = 0$  and

$$\tilde{x} = \frac{x}{D}, \ \tilde{y} = \frac{y}{D}, \ \tilde{z} = \frac{z}{h}, \ F_{\epsilon} = \frac{2}{L_1 h} E,$$

where  $D := \operatorname{diam}(\Omega)$ . Set

$$\xi = \frac{L_1}{2D^2}, \ \epsilon = \frac{h}{D}, \ \delta = \sqrt{\frac{2\xi}{c}}$$

$$K_2 = \frac{L_2}{L_1}, \ K_3 = \frac{L_3}{L_1}$$

$$A = \frac{a}{c}, \ B = \frac{b}{c}$$

$$\tilde{\alpha} = \frac{\alpha}{\xi}, \ \tilde{\gamma} = \frac{\gamma}{\xi}$$

#### NONDIMENSIONAL ENERGY

$$F_{\epsilon}[Q] = \int_{\Omega \times [0,1]} \left( f_{\epsilon}(\nabla Q) + \frac{1}{\delta^2} f_{LdG}(Q) \right) dV + \frac{1}{\epsilon} \int_{\Omega \times \{0,1\}} f_{\epsilon}(Q,\hat{z}) dA,$$

where

$$\begin{split} f_{e}(\nabla Q) := \left[ |\nabla_{xy} Q|^{2} + K_{2} Q_{ik,j} Q_{ij,k} + K_{3} Q_{ij,j} Q_{ik,k} \right] \\ + \frac{2}{\epsilon} \left[ K_{2} Q_{i3,j} Q_{ij,3} + K_{3} Q_{ij,j} Q_{i3,3} \right] \\ + \frac{1}{\epsilon^{2}} \left[ |Q_{z}|^{2} + (K_{2} + K_{3}) Q_{i3,3}^{2} \right], \\ f_{LdG}(Q) = 2A \operatorname{tr} \left( Q^{2} \right) + \frac{4}{3} B \operatorname{tr} \left( Q^{3} \right) + \left( \operatorname{tr} \left( Q^{2} \right) \right)^{2}, \\ f_{s}(Q, \hat{z}) = \alpha \left[ (Q \nu \cdot \nu) - \beta \right]^{2} + \gamma |(\mathbf{I} - \nu \otimes \nu) Q \nu|^{2}. \end{split}$$

#### ASSUMPTIONS

Suppose for simplicity that  $\mathcal{K}_2=\mathcal{K}_3=0$  then for every  $Q\in\mathcal{C}_1^{\mathcal{g}}$ 

$$\begin{split} F_{\epsilon}[Q] &= \int_{\Omega \times [0,1]} \left\{ |Q_{\mathsf{x}}|^2 + |Q_{\mathsf{y}}|^2 + \frac{1}{\epsilon^2} |Q_{\mathsf{z}}|^2 \right. \\ &\left. + \frac{1}{\delta^2} \left( 2A \operatorname{tr} \left( Q^2 \right) + \frac{4}{3} B \operatorname{tr} \left( Q^3 \right) + \left( \operatorname{tr} \left( Q^2 \right) \right)^2 \right) \right\} \, dV \\ &\left. + \frac{1}{\epsilon} \int_{\Omega \times \{0,1\}} \left( \alpha \left[ \left( Q \hat{z} \cdot \hat{z} \right) - \beta \right]^2 + \gamma |(\mathbf{I} - \hat{z} \otimes \hat{z}) \, Q \hat{z}|^2 \right) \, dA, \end{split}$$

and set

$$f_s(Q,\hat{z}) =: f_s^{(0)}(Q,\hat{z}) + \epsilon f_s^{(1)}(Q,\hat{z})$$

—this allows for different asymptotic regimes for  $\alpha$  and  $\gamma$ .

#### LIMITING PROBLEM

Let

$$F_0[Q] := \left\{ \begin{array}{ll} 2\int_{\Omega} \left\{ |\nabla_{xy}Q|^2 + \frac{1}{\delta^2} f_{LdG}(Q) + f_s^{(1)}(Q,\hat{z}) \right\} \, dA & \text{ if } \, Q \in H_g^1, \\ +\infty & \text{ otherwise.} \end{array} \right.$$

Here

$$H^1_g:=\left\{Q\in H^1(\Omega;\mathcal{D}):Q|_{\partial\Omega}=g\right\}$$

and

$$\mathcal{D}:=\left\{Q\in\mathcal{A}:Q\in\operatorname{argmin}_{Q\in\mathcal{A}}f_s^{(0)}(Q)\right\},$$

for some boundary data  $g: \partial \Omega \to \mathcal{D}$ .

#### THEOREM (G, MONTERO, STERNBERG (2015))

Fix  $g:\partial\Omega\to\mathcal{D}$  such that  $H_g^1$  is nonempty. Then  $\Gamma\text{-lim}_\epsilon\,F_\epsilon=F_0$  weakly in  $\mathcal{C}_1^g$ . Furthermore, if a sequence  $\{Q_\epsilon\}_{\epsilon>0}\subset\mathcal{C}_1^g$  satisfies a uniform energy bound  $F_\epsilon[Q_\epsilon]<\mathcal{C}_0$  then there is a subsequence weakly convergent in  $\mathcal{C}_1^g$  to a map in  $H_g^1$ .

#### PROOF.

Idea: can use a trivial recovery sequence. Indeed, if  $Q_{\epsilon} \equiv Q \in \mathcal{C}_1^g \setminus H_g^1$  then  $\lim_{\epsilon \to 0} F_{\epsilon}[Q_{\epsilon}] = +\infty = F_0[Q]$  and when  $Q_{\epsilon} \equiv Q \in H_g^1$  then  $F_{\epsilon}[Q_{\epsilon}] = F_0[Q_{\epsilon}] = F_0[Q]$  for all  $\epsilon$ .

## Asymtotic regimes - Regime I

Let

$$f_s^{(0)} = \alpha \left[ (Q\hat{z} \cdot \hat{z}) - \beta \right]^2 + \gamma |(\mathbf{I} - \hat{z} \otimes \hat{z}) Q\hat{z}|^2 \text{ and } f_s^{(1)} \equiv 0$$

 $\Rightarrow$  two types of  $\mathcal{D}$ -valued uniaxial Dirichlet data on  $\partial\Omega$ :

- $Q = -3\beta \left(\mathbf{n} \otimes \mathbf{n} \frac{1}{3}\mathbf{I}\right)$ , where  $\mathbf{n} \perp \hat{z}$  is any  $\mathbb{S}^1$ -valued field on  $\partial\Omega$ .

<u>Note:</u> In the first case the boundary data can have any degree, while in the second case the Dirichlet condition is completely rigid as Q is equal to a constant.

Can represent  $Q \in H_g^1$  as

$$Q = \left( \begin{array}{ccc} p_1 - \frac{\beta}{2} & p_2 & 0 \\ p_2 & -p_1 - \frac{\beta}{2} & 0 \\ 0 & 0 & \beta \end{array} \right).$$

Then

$$F_0[Q] = ilde{F}_0[\mathbf{p}] := \int_{\Omega} \left\{ 2|
abla \mathbf{p}|^2 + rac{1}{\delta^2}W(|\mathbf{p}|) 
ight\} dV,$$

where  $\mathbf{p} = (p_1, p_2)$  and

$$W(t) = 4t^4 + \tilde{C}t^2 + \tilde{D},$$

with  $\tilde{C}=6\beta^2-4B\beta+4A$  and  $\tilde{D}\in\mathbb{R}.$ 

lf

$$Q|_{\partial\Omega imes [0,1]} = rac{3}{2}eta\left(\hat{z}\otimes\hat{z} - rac{1}{3}\mathbf{I}
ight),$$

admissible functions satisfy the boundary condition

$$\mathbf{p}|_{\partial\Omega}=\mathbf{0}.$$

The minimizer of

$$ilde{\mathcal{F}}_0[\mathbf{p}] = \int_{\Omega} \left\{ 2|\nabla \mathbf{p}|^2 + \frac{1}{\delta^2}W(|\mathbf{p}|) \right\} dV$$

then has a constant angular component  $\Rightarrow$  scalar minimization problem for  $p:=|\mathbf{p}|$  and

- If  $\tilde{C} \geq 0$  then the minimizer  $p \equiv 0$ .
- ② If  $\tilde{C} < 0$  then the minimizer p solves the problem

$$-\Delta p + rac{1}{\delta^2} W'(p) = 0 ext{ in } \Omega, \quad p = 0 ext{ on } \partial \Omega.$$

Now suppose

$$Q|_{\partial\Omega\times[0,1]} = -3\beta\left(\mathbf{n}\otimes\mathbf{n} - \frac{1}{3}\mathbf{I}\right),$$

where  $\mathbf{n}:\partial\Omega\to\mathbb{S}^1$ .

We have

$$\mathbf{p} = -3\beta \left( n_1^2 - \frac{1}{2}, n_1 n_2 \right),$$

on  $\partial\Omega$  where  $|\mathbf{p}|=\frac{3\beta}{2}$ . If  $\mathbf{p}$  is smooth and nonvanishing, it has a well-defined winding number  $d\in\mathbb{Z}$ . We set the degree of g to be equal to d/2. Then  $\mathbf{p}_0$  must vanish somewhere within a vortex core structure of a characteristic size of  $\delta$  in  $\Omega$ .

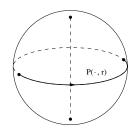


FIGURE: Geometry of the target space.

Topologically nontrivial boundary data will cause the director to "escape" from the xy-plane to the z-direction. The requirement that  $Q_0$  takes values in  $\mathcal D$  forces the escape to happen through biaxial states that are heavily penalized by the Landau-de Gennes energy.

Degree of biaxiality:

$$\xi(Q)^2 := 1 - 6 \frac{\left(\text{tr}Q^3\right)^2}{\left(\text{tr}Q^2\right)^3} = 1 - 27 \frac{\beta^2 \left(4p^2 - \beta^2\right)^2}{\left(4p^2 + 3\beta^2\right)^3}$$

where  $\xi(Q) = 0$  implies that Q is uniaxial.

### Asymtotic regimes - Regime II

Let  $\alpha = \epsilon a$  for some a > 0 and  $\gamma = O(1)$ . Then

$$f_s^{(0)}(Q,\hat{z}) = \gamma | (\mathbf{I} - \hat{z} \otimes \hat{z}) Q \hat{z} |^2$$

and

$$f_s^{(1)}(Q\hat{z}) = a\left[\left(Q\hat{z}\cdot\hat{z}\right) - \beta\right]^2$$

and the target set  $\mathcal D$  consists of traceless symmetric tensors having  $\hat z$  as one of its eigenvectors. The limiting functional for  $Q\in H^1_g$  is

$$F_0[Q] = 2\int_\Omega \left\{ |
abla Q|^2 + rac{1}{\delta^2} f_{LdG}(Q) + a \left[ (Q\hat{z}\cdot\hat{z}) - eta 
ight]^2 
ight\} dA$$

(cf. Bauman-Park-Phillips when  $\delta \to 0$  and a = 0).